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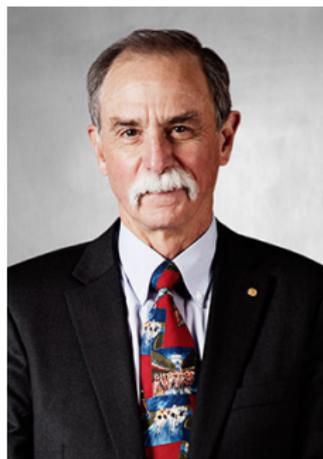
# Feedback Issues Underlying Quantum Error Correction

IFAC Mechatronics & Nolcos Conference 2019  
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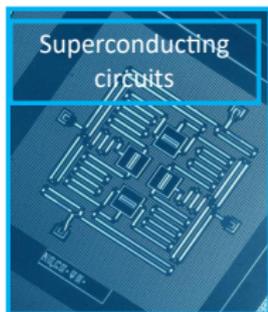
Serge Haroche



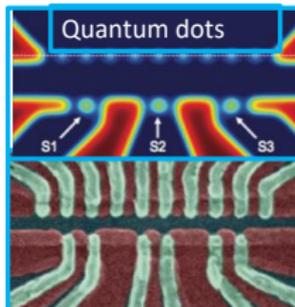
David J. Wineland

*" This year's Nobel Prize in Physics honours the experimental inventions and discoveries that have allowed the **measurement and control of individual quantum systems**. They belong to two separate but related technologies: ions in a harmonic trap and photons in a cavity"*

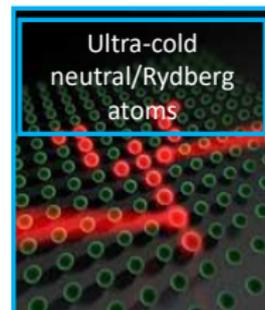
From the Scientific Background on the Nobel Prize in Physics 2012 compiled by the Class for Physics of the Royal Swedish Academy of Sciences, 9 October 2012.



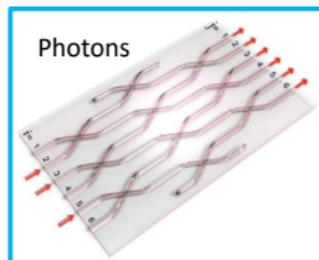
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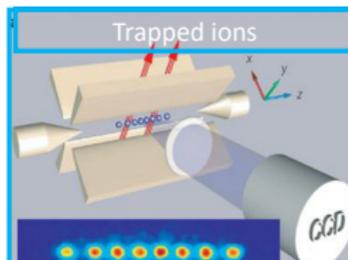
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## Requirements:

- scalable modular architecture;
- control software from the very beginning.

<sup>1</sup>Courtesy of Walter Riess, IBM Research - Zurich.

**Quantum Error Corrections (QEC) is based on an elementary discrete-time feedback loop:** a static-output feedback neglecting the finite bandwidth of the measurement and actuation processes.

- ▶ Current experiments:  $10^{-2}$  is the typical error probability during elementary gates (manipulations) involving few physical qubits.
- ▶ High-order error-correcting codes with an important overhead; **more than 1000 physical qubits to encode a controllable logical qubit<sup>2</sup>**.
- ▶ Today, no such controllable logical qubit has been built.
- ▶ **Key issue:** reduction by several magnitude orders such error rates, far below the threshold required by actual QEC, to build a controllable logical qubit encoded in a reasonable number of physical qubits and protected by QEC.

**Control engineering can play a crucial role** to build a controllable logical qubit protected by much **more elaborated feedback schemes increasing precision and stability.**

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<sup>2</sup>A.G. Fowler, M. Mariantoni, J.M. Martinis, A.N. Cleland (2012): Surface codes: Towards practical large-scale quantum computation. Phys. Rev. A,86(3):032324.

## Continuous-time dynamics of open quantum system

- Stochastic Master Equation (SME)

- Key characteristics of SME

- Quantum filtering and state estimation

## Feedback schemes

- Measurement-based feedback and classical controller

- Coherent feedback and quantum controller

- Merging measurement-based and coherent feedbacks

## Quantum Error Correction (QEC) and feedback

- QEC from scratch

- Storing a logical qubit in a high-quality harmonic oscillator

- Simplified feedback scheme for cat-qubit experiment

1. Schrödinger ( $\hbar = 1$ ): wave funct.  $|\psi\rangle \in \mathcal{H}$ , density op.  $\rho \sim |\psi\rangle\langle\psi|$

$$\frac{d}{dt}|\psi\rangle = -i\mathbf{H}|\psi\rangle, \quad \mathbf{H} = \mathbf{H}_0 + u\mathbf{H}_1 = \mathbf{H}^\dagger, \quad \frac{d}{dt}\rho = -i[\mathbf{H}, \rho].$$

2. Origin of dissipation: collapse of the wave packet induced by the measurement of  $\mathbf{O} = \mathbf{O}^\dagger$  with spectral decomp.  $\sum_y \lambda_y \mathbf{P}_y$ :

- ▶ measurement outcome  $y$  with proba.

$\mathbb{P}_y = \langle\psi|\mathbf{P}_y|\psi\rangle = \text{Tr}(\rho\mathbf{P}_y)$  depending on  $|\psi\rangle$ ,  $\rho$  just before the measurement

- ▶ measurement back-action if outcome  $y$ :

$$|\psi\rangle \mapsto |\psi\rangle_+ = \frac{\mathbf{P}_y|\psi\rangle}{\sqrt{\langle\psi|\mathbf{P}_y|\psi\rangle}}, \quad \rho \mapsto \rho_+ = \frac{\mathbf{P}_y\rho\mathbf{P}_y}{\text{Tr}(\rho\mathbf{P}_y)}$$

3. Tensor product for the description of composite systems ( $S, C$ ):

- ▶ Hilbert space  $\mathcal{H} = \mathcal{H}_S \otimes \mathcal{H}_C$
- ▶ Hamiltonian  $\mathbf{H} = \mathbf{H}_S \otimes \mathbf{I}_C + \mathbf{H}_{SC} + \mathbf{I}_S \otimes \mathbf{H}_C$
- ▶ observable on sub-system  $C$  only:  $\mathbf{O} = \mathbf{I}_S \otimes \mathbf{O}_C$ .

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<sup>3</sup>S. Haroche and J.M. Raimond (2006). *Exploring the Quantum: Atoms, Cavities and Photons*. Oxford Graduate Texts.

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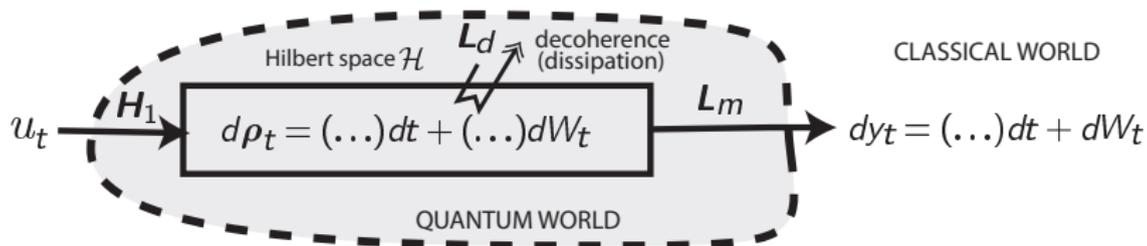
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**Continuous-time models:** stochastic differential systems (Itô formulation)

**density operator**  $\rho$  ( $\rho^\dagger = \rho$ ,  $\rho \geq 0$ ,  $\text{Tr}(\rho) = 1$ ) as state ( $\hbar \equiv 1$  here):

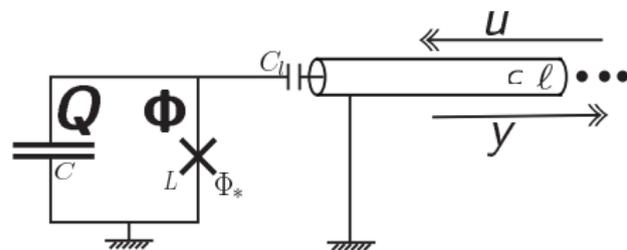
$$d\rho_t = \left( -i[H_0 + u_t H_1, \rho_t] + \sum_{\nu=d,m} L_\nu \rho_t L_\nu^\dagger - \frac{1}{2}(L_\nu^\dagger L_\nu \rho_t + \rho_t L_\nu^\dagger L_\nu) \right) dt + \sqrt{\eta_m} \left( L_m \rho_t + \rho_t L_m^\dagger - \text{Tr}((L_m + L_m^\dagger)\rho_t) \rho_t \right) dW_t$$

driven by the Wiener process  $W_t$ , with measurement  $y_t$ ,

$$dy_t = \sqrt{\eta_m} \text{Tr}((L_m + L_m^\dagger)\rho_t) dt + dW_t \quad \text{detection efficiencies } \eta_m \in [0, 1].$$

**Measurement backaction:**  $d\rho$  and  $dy$  share the same noises  $dW$ . Very different from the usual Kalman I/O state-space description.

<sup>4</sup>A. Barchielli, M. Gregoratti (2009): Quantum Trajectories and Measurements in Continuous Time: the Diffusive Case. Springer Verlag.



Classical model ( $\frac{C_l}{C+C_l} = \epsilon \ll 1$ ):

$$\frac{d}{dt} \Phi = \frac{1}{C} Q + 2\epsilon u - \epsilon^2 \sqrt{\frac{\ell}{c}} \frac{\Phi_*}{L} \sin\left(\frac{1}{\Phi_*} \Phi\right)$$

$$\frac{d}{dt} Q = -\frac{\Phi_*}{L} \sin\left(\frac{1}{\Phi_*} \Phi\right)$$

with  $y = u - \epsilon \sqrt{\frac{\ell}{c}} \frac{\Phi_*}{L} \sin\left(\frac{1}{\Phi_*} \Phi\right)$ .

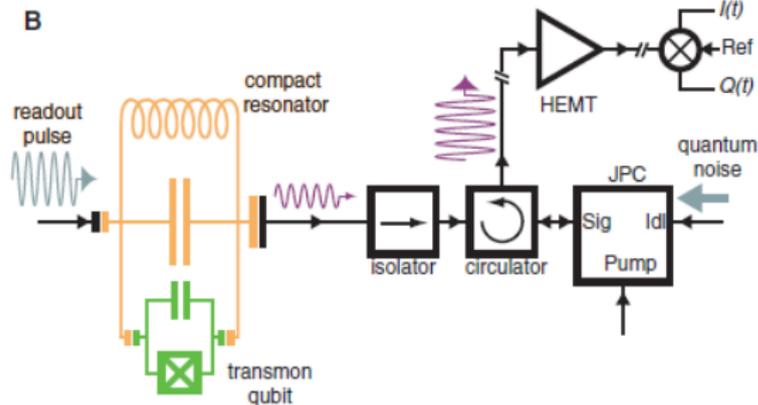
$H_s(\Phi, Q) = \frac{1}{2C} Q^2 - \frac{\Phi_*^2}{L} \cos\left(\frac{1}{\Phi_*} \Phi\right)$  with nonlinearity ( $\Phi_* < (L/C)^{1/4}$ ):

- ▶ anharmonic spectrum: frequency transition between the ground and first excited states larger than frequency transition between first and second excited states, ...
- ▶ qubit model based on restriction to these two slowest energy levels,  $|g\rangle$  and  $|e\rangle$ , with pulsation  $\omega_q \sim 1/\sqrt{LC}$ .

Two weak coupling regimes of the transmon qubit<sup>5</sup>:

- ▶ resonant, in/out wave pulsation  $\omega_q$ ;
- ▶ off-resonant, in/out wave pulsation  $\omega_q + \Delta$  with  $|\Delta| \ll \omega_q$ .

<sup>5</sup>J. Koch et al. (2007): Charge-insensitive qubit design derived from the Cooper pair box. Phys. Rev. A, 76:042319.



### Superconducting qubit

dispersively coupled to a cavity traversed by a microwave signal (input/output theory). The back-action on the qubit state of a single measurement of one output field quadrature  $y$  is described by a simple SME for the qubit density operator  $\rho$ ,  $2 \times 2$  Hermitian  $\geq 0$  matrix.

$$d\rho_t = \left( -\frac{i}{2}[\omega_q \mathbf{Z}, \rho_t] + \gamma(\mathbf{Z}\rho_t\mathbf{Z} - \rho_t) \right) dt + \sqrt{\eta\gamma} \left( \mathbf{Z}\rho_t + \rho_t\mathbf{Z} - 2\text{Tr}(\mathbf{Z}\rho_t)\rho_t \right) dW_t$$

with  $y_t$  given by  $dy_t = 2\sqrt{\eta\gamma} \text{Tr}(\mathbf{Z}\rho_t) dt + dW_t$  where  $\gamma \geq 0$  is related to the measurement strength and  $\eta \in [0, 1]$  is the detection efficiency.

<sup>6</sup>M. Hatridge et al. (2013): Quantum Back-Action of an Individual Variable-Strength Measurement. *Science*, 339, 178-181.

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With a single imperfect measurement  $dy_t = \sqrt{\eta} \text{Tr}((\mathbf{L} + \mathbf{L}^\dagger) \rho_t) dt + dW_t$  and detection efficiency  $\eta \in [0, 1]$ , the quantum state  $\rho_t$  obeys to

$$d\rho_t = \left( -i[\mathbf{H}_0 + \mathbf{u}_t \mathbf{H}_1, \rho_t] + \mathbf{L} \rho_t \mathbf{L}^\dagger - \frac{1}{2}(\mathbf{L}^\dagger \mathbf{L} \rho_t + \rho_t \mathbf{L}^\dagger \mathbf{L}) \right) dt \\ + \sqrt{\eta} \left( \mathbf{L} \rho_t + \rho_t \mathbf{L}^\dagger - \text{Tr}((\mathbf{L} + \mathbf{L}^\dagger) \rho_t) \rho_t \right) dW_t$$

driven by the Wiener process  $dW_t$

With **Itô rules**, it can be written as the following "discrete-time" Markov model

$$\rho_{t+dt} \triangleq \rho_t + d\rho_t = \frac{\mathbf{M}_{u_t, dy_t} \rho_t \mathbf{M}_{u_t, dy_t}^\dagger + (1 - \eta) \mathbf{L} \rho_t \mathbf{L}^\dagger dt}{\text{Tr}(\mathbf{M}_{u_t, dy_t} \rho_t \mathbf{M}_{u_t, dy_t}^\dagger + (1 - \eta) \mathbf{L} \rho_t \mathbf{L}^\dagger dt)}$$

with  $\mathbf{M}_{u_t, dy_t} = \mathbf{I} - (i(\mathbf{H}_0 + \mathbf{u}_t \mathbf{H}_1) + \frac{1}{2}(\mathbf{L}^\dagger \mathbf{L})) dt + \sqrt{\eta} \mathbf{L} dy_t$ .

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<sup>7</sup>PR (2014): Models and Feedback Stabilization of Open Quantum Systems. Proc. of Int. Congress of Mathematicians, vol. IV, pp 921–946, Seoul. (<http://arxiv.org/abs/1407.7810>).

Measured output map  $dy_t = \sqrt{\eta} \text{Tr} \left( (\mathbf{L} + \mathbf{L}^\dagger) \rho_t \right) dt + dW_t$  and measurement backaction described by

$$\rho_{t+dt} \triangleq \rho_t + d\rho_t = \frac{\mathbf{M}_{u_t, dy_t} \rho_t \mathbf{M}_{u_t, dy_t}^\dagger + (1 - \eta) \mathbf{L} \rho_t \mathbf{L}^\dagger dt}{\text{Tr} \left( \mathbf{M}_{u_t, dy_t} \rho_t \mathbf{M}_{u_t, dy_t}^\dagger + (1 - \eta) \mathbf{L} \rho_t \mathbf{L}^\dagger dt \right)}$$

- ▶ **if  $\rho_0$  density operator, then, for all  $t > 0$ ,  $\rho_t$  remains a density operator**  
The dynamics preserve the cone of non-negative Hermitian operators.
- ▶ **Positivity and trace preserving numerical scheme for quantum Monte-Carlo simulations.**
- ▶ When  $\eta = 1$ ,  $\text{rank}(\rho_t) \leq \text{rank}(\rho_0)$  for all  $t \geq 0$ . In particular if  $\rho_0$  is a rank one projector, then  $\rho_t$  remains a rank one projector (pure state).

$$d\rho_t = \left( -i[\mathbf{H}_0 + u\mathbf{H}_1, \rho_t] + \mathbf{L}\rho_t\mathbf{L}^\dagger - \frac{1}{2}(\mathbf{L}^\dagger\mathbf{L}\rho_t + \rho_t\mathbf{L}^\dagger\mathbf{L}) \right) dt + \sqrt{\eta} \left( \mathbf{L}\rho_t + \rho_t\mathbf{L}^\dagger - \text{Tr} \left( (\mathbf{L} + \mathbf{L}^\dagger)\rho_t \right) \rho_t \right) dW_t$$

with measured output map  $dy_t = \sqrt{\eta} \text{Tr} \left( (\mathbf{L} + \mathbf{L}^\dagger)\rho_t \right) dt + dW_t$

- ▶ **Invariance of the SME structure under unitary transformations.**

A time-varying change of frame  $\tilde{\rho} = \mathbf{U}_t^\dagger \rho \mathbf{U}_t$  with  $\mathbf{U}_t$  unitary.

The new density operator  $\tilde{\rho}$  obeys to a similar SME where

$\tilde{\mathbf{H}}_0 + u\tilde{\mathbf{H}}_1 = \mathbf{U}_t^\dagger (\mathbf{H}_0 + u\mathbf{H}_1) \mathbf{U}_t + i\mathbf{U}_t^\dagger \left( \frac{d}{dt} \mathbf{U}_t \right)$  and  $\tilde{\mathbf{L}} = \mathbf{U}_t^\dagger \mathbf{L} \mathbf{U}_t$ .

- ▶ **" $L^1$ -contraction" properties.** When  $\eta = 0$ , SME becomes deterministic

$$\frac{d}{dt} \rho = -i[\mathbf{H}_0 + u\mathbf{H}_1, \rho_t] + \mathbf{L}\rho_t\mathbf{L}^\dagger - \frac{1}{2}(\mathbf{L}^\dagger\mathbf{L}\rho_t + \rho_t\mathbf{L}^\dagger\mathbf{L})$$

generating a contraction semi-group for many distances (nuclear distance<sup>8</sup>, Hilbert metric on the cone of non negative operators<sup>9</sup>).

- ▶ If the non-negative Hermitian operator  $\mathbf{A}$  satisfies the operator inequality

$$i[\mathbf{H}_0 + u\mathbf{H}_1, \mathbf{A}] + \mathbf{L}^\dagger \mathbf{A} \mathbf{L} - \frac{1}{2}(\mathbf{L}^\dagger \mathbf{L} \mathbf{A} + \mathbf{A} \mathbf{L}^\dagger \mathbf{L}) \leq 0$$

then  $V(\rho) = \text{Tr}(\mathbf{A}\rho)$  is a **super-martingale (Lyapunov function)**.

<sup>8</sup>D. Petz (1996). Monotone metrics on matrix spaces. Linear Algebra and its Applications, 244, 81-96.

<sup>9</sup>R. Sepulchre, A. Sarlette, PR (2010). Consensus in non-commutative spaces. IEEE-CDC.

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Quantum state  $\rho_t$  producing  $dy_t = \sqrt{\eta} \operatorname{Tr} (L\rho_t + \rho_t L^\dagger) dt + dW_t$  and its estimate  $\hat{\rho}_t$ :

$$d\rho_t = \left( -i[H_0 + uH_1, \rho_t] + L\rho_t L^\dagger - \frac{1}{2}(L^\dagger L\rho_t + \rho_t L^\dagger L) \right) dt + \sqrt{\eta} \left( L\rho_t + \rho_t L^\dagger - \operatorname{Tr}((L + L^\dagger)\rho_t) \rho_t \right) dW_t$$

$$d\hat{\rho}_t = \left( -i[H_0 + uH_1, \hat{\rho}_t] + L\hat{\rho}_t L^\dagger - \frac{1}{2}(L^\dagger L\hat{\rho}_t + \hat{\rho}_t L^\dagger L) \right) dt + \sqrt{\eta} \left( L\hat{\rho}_t + \hat{\rho}_t L^\dagger - \operatorname{Tr}((L + L^\dagger)\hat{\rho}_t) \hat{\rho}_t \right) (dy_t - \sqrt{\eta} \operatorname{Tr} (L\hat{\rho}_t + \hat{\rho}_t L^\dagger) dt).$$

- ▶ **Stability**<sup>10</sup>: the fidelity  $F(\rho_t, \hat{\rho}_t) = \operatorname{Tr}^2(\sqrt{\sqrt{\rho_t}\hat{\rho}_t\sqrt{\rho_t}})$  is always a sub-martingale:

$$\forall t_1 \leq t_2, \quad \mathbb{E} \left( F(\rho_{t_2}, \hat{\rho}_{t_2}) \mid \rho_{t_1}, \hat{\rho}_{t_1} \right) \geq F(\rho_{t_1}, \hat{\rho}_{t_1}).$$

Fidelity:  $0 \leq F(\rho, \hat{\rho}) \leq 1$  and  $F(\rho, \hat{\rho}) = 1$  iff  $\rho = \hat{\rho}$ .

- ▶ **Convergence**<sup>11</sup> of  $\hat{\rho}_t$  towards  $\rho_t$  when  $t \mapsto +\infty$  is an open problem

<sup>10</sup>H. Amini, C. Pellegrini, C., PR (2014). Stability of continuous-time quantum filters with measurement imperfections. Russian Journal of Mathematical Physics, 21(3), 297-315.

<sup>11</sup>Partial result: R. van Handel (2009): The stability of quantum Markov filters. Infin. Dimens. Anal. Quantum Probab. Relat. Top., 12, 153-172.

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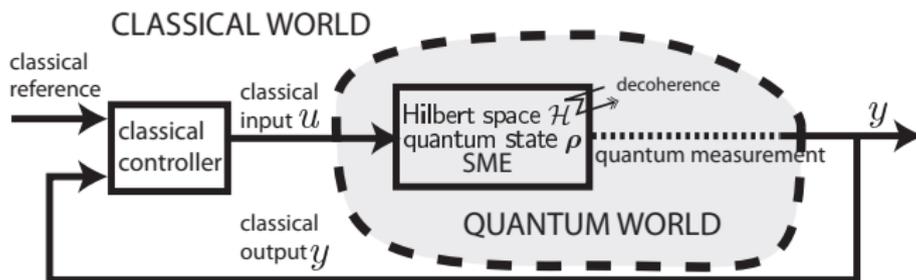
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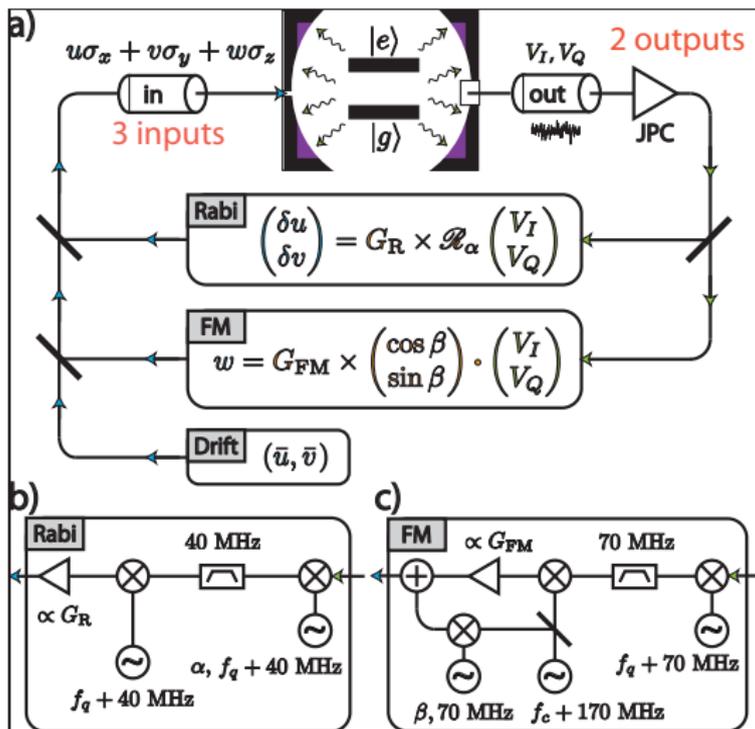
Simplified feedback scheme for cat-qubit experiment



- ▶ **P-controller (Markovian feedback<sup>12</sup>)** for  $u_t dt = k dy_t$ , the ensemble average closed-loop dynamics of  $\rho$  remains governed by a linear Lindblad master equation.
- ▶ **PID controller:** no Lindblad master equation in closed-loop for dynamics output feedback
- ▶ **Nonlinear hidden-state stochastic systems:** Lyapunov state-feedback<sup>13</sup>; many open issues on convergence rates, delays, robustness, ...
- ▶ **Short sampling times limit feedback complexity**

<sup>12</sup>H. Wiseman, G. Milburn (2009). Quantum Measurement and Control. Cambridge University Press.

<sup>13</sup>See e.g.: C. Ahn et. al (2002): Continuous quantum error correction via quantum feedback control. Phys. Rev. A 65;  
 M. Mirrahimi, R. Handel (2007): Stabilizing feedback controls for quantum systems. SIAM Journal on Control and Optimization, 46(2), 445-467;  
 G. Cardona, A. Sarlette, PR (2019): Continuous-time quantum error correction with noise-assisted quantum feedback. IFAC Mechatronics & Nolcos Conf.



<sup>14</sup>P. Campagne-Ibarcq, . . . , PR, B. Huard (2016): Using Spontaneous Emission of a Qubit as a Resource for Feedback Control. Phys. Rev. Lett. 117(6).

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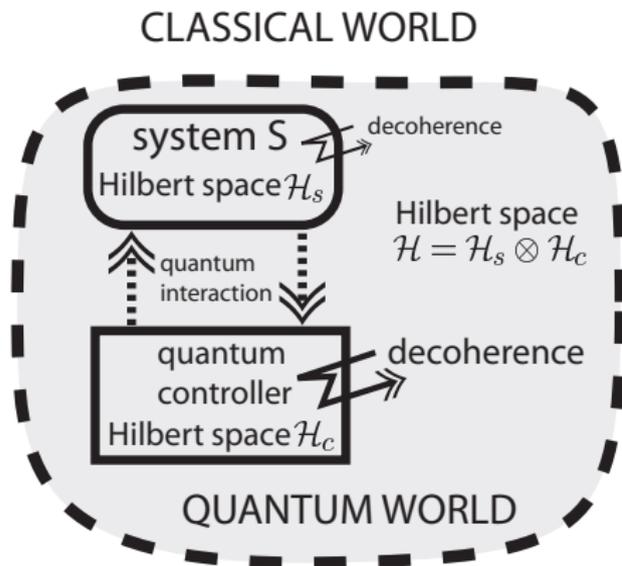
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Quantum analogue of Watt speed governor: a **dissipative** mechanical system controls another mechanical system <sup>15</sup>



Optical pumping (Kastler 1950), coherent population trapping (Arimondo 1996)

Dissipation engineering, autonomous feedback: (Zoller, Cirac, Wolf, Verstraete, Devoret, Schoelkopf, Siddiqi, Martinis, Raimond, Brune, . . . , Lloyd, Viola, Ticozzi, Leghtas, Mirrahimi, Sarlette, PR, ...)

**(S,L,H) theory** and **linear quantum systems**: quantum feedback networks based on stochastic Schrödinger equation, Heisenberg picture (Gardiner, Yurke, Mabuchi, Genoni, Serafini, Milburn, Wiseman, Doherty, . . . , Gough, James, Petersen, Nurdin, Yamamoto, Zhang, Dong, . . . )

**Stability analysis**: Kraus maps and Lindblad propagators are always contractions (non commutative diffusion and consensus).

<sup>15</sup>J.C. Maxwell (1868): [On governors](#). Proc. of the Royal Society, No.100.

The closed-loop Lindblad master equation on  $\mathcal{H} = \mathcal{H}_s \otimes \mathcal{H}_c$ :

$$\frac{d}{dt}\rho = -i\left[\mathbf{H}_s \otimes \mathbf{I}_c + \mathbf{I}_s \otimes \mathbf{H}_c + \mathbf{H}_{sc}, \rho\right] + \sum_{\nu} \mathbb{D}_{\mathbf{L}_{s,\nu} \otimes \mathbf{I}_c}(\rho) + \sum_{\nu'} \mathbb{D}_{\mathbf{I}_s \otimes \mathbf{L}_{c,\nu'}}(\rho)$$

with  $\mathbb{D}_{\mathbf{L}}(\rho) = \mathbf{L}\rho\mathbf{L}^\dagger - \frac{1}{2}(\mathbf{L}^\dagger\mathbf{L}\rho + \rho\mathbf{L}^\dagger\mathbf{L})$  and operators made of **tensor products**.

- Consider a convex subset  $\overline{\mathcal{D}}_s$  of steady-states for original system  $S$ : each density operator  $\overline{\rho}_s$  on  $\mathcal{H}_s$  belonging to  $\overline{\mathcal{D}}_s$  satisfy  $i[\mathbf{H}_s, \overline{\rho}_s] = \sum_{\nu} \mathbb{D}_{\mathbf{L}_{s,\nu}}(\overline{\rho}_s)$ .
- Designing a **realistic** quantum controller  $C(\mathbf{H}_c, \mathbf{L}_{c,\nu'})$  and coupling Hamiltonian  $\mathbf{H}_{sc}$  stabilizing  $\overline{\mathcal{D}}_s$  is non trivial. **Realistic** means in particular relying on **physical time-scales** and constraints:
  - ▶ Fastest time-scales attached to  $\mathbf{H}_s$  and  $\mathbf{H}_c$  (Bohr frequencies) and **averaging approximations**:  $\|\mathbf{H}_s\|, \|\mathbf{H}_c\| \gg \|\mathbf{H}_{sc}\|$ ,
  - ▶ High-quality oscillations:  $\|\mathbf{H}_s\| \gg \|\mathbf{L}_{s,\nu}^\dagger \mathbf{L}_{s,\nu}\|$  and  $\|\mathbf{H}_c\| \gg \|\mathbf{L}_{c,\nu'}^\dagger \mathbf{L}_{c,\nu'}\|$ .
  - ▶ Decoherence rates of  $S$  much slower than those of  $C$ :  $\|\mathbf{L}_{s,\nu}^\dagger \mathbf{L}_{s,\nu}\| \ll \|\mathbf{L}_{c,\nu'}^\dagger \mathbf{L}_{c,\nu'}\|$ : model reduction by **quasi-static approximations** (adiabatic elimination, singular perturbations).

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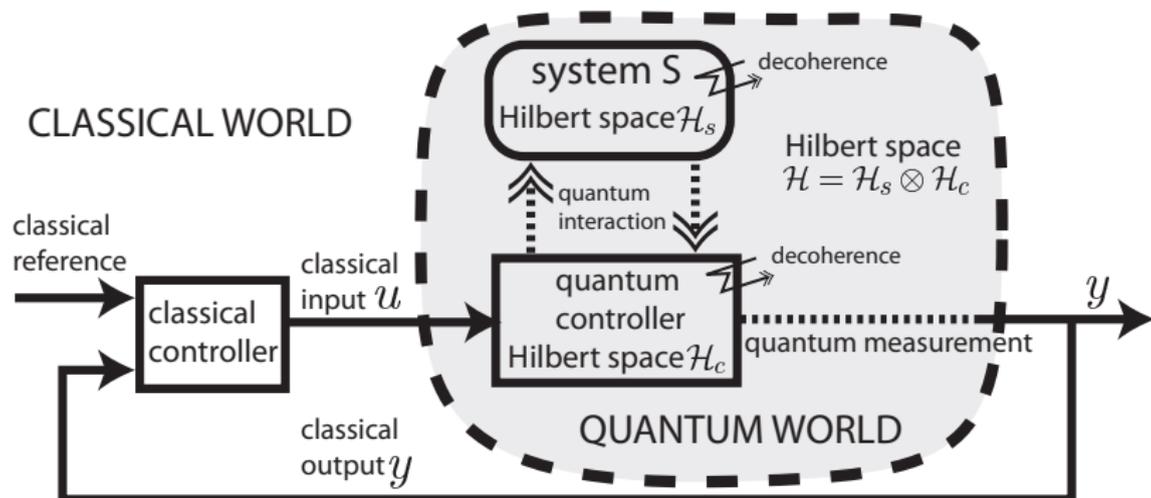
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To stabilize the quantum information localized in system S:

- ▶ **fast** decoherence addressed preferentially by **quantum controllers** (coherent feedback);
- ▶ **slow** decoherence, perturbations and parameter drifts tackled mainly by **classical controllers** (measurement-based feedback).

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- Single bit error model: the bit  $b \in \{0, 1\}$  flips with probability  $p < 1/2$  during  $\Delta t$  (for usual DRAM:  $p/\Delta t \leq 10^{-14} \text{ s}^{-1}$ ).
- Multi-bit error model: each bit  $b_k \in \{0, 1\}$  flips with probability  $p < 1/2$  during  $\Delta t$ ; **no correlation between the bit flips**.
- Use **redundancy** to construct with several physical bits  $b_k$  of flip probability  $p$ , a logical bit  $b_L$  with a flip probability  $p_L < p$ .
- The simplest solution, the **3-bit code** (sampling time  $\Delta t$ ):

$t = 0$ :  $b_L = [bbb]$  with  $b \in \{0, 1\}$

$t = \Delta t$ : measure the three physical bits of  $b_L = [b_1 b_2 b_3]$   
(**instantaneous**) :

1. if all 3 bits coincide, nothing to do.
2. if one bit differs from the two other ones, flip this bit  
(**instantaneous**);

- Since the flip probability laws of the physical bits are independent, the probability that the logical bit  $b_L$  (protected with the above error correction code) flips during  $\Delta t$  is  $p_L = 3p^2 - 2p^3 < p$  since  $p < 1/2$ .

- **Local bit-flip errors:** each physical qubit  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$  becomes  $\mathbf{X}|\psi\rangle = \alpha|1\rangle + \beta|0\rangle$  <sup>16</sup> with probability  $p < 1/2$  during  $\Delta t$ .  
(for actual super-conducting qubit  $p/\Delta t > 10^3 \text{ s}^{-1}$ ).
- $t = 0$ :  $|\psi_L\rangle = \alpha|0_L\rangle + \beta|1_L\rangle \in \mathbb{C}^2 \otimes \mathbb{C}^2 \otimes \mathbb{C}^2 \equiv \mathbb{C}^8$  with  $|0_L\rangle = |000\rangle$  and  $|1_L\rangle = |111\rangle$ .
- $t = \Delta t$ :  $|\psi_L\rangle$  becomes with

$$1 \text{ flip: } \begin{cases} \alpha|100\rangle + \beta|011\rangle \\ \alpha|010\rangle + \beta|101\rangle \\ \alpha|001\rangle + \beta|110\rangle \end{cases} ; 2 \text{ flips: } \begin{cases} \alpha|110\rangle + \beta|001\rangle \\ \alpha|101\rangle + \beta|010\rangle \\ \alpha|011\rangle + \beta|100\rangle \end{cases} ; 3 \text{ flips: } \alpha|111\rangle + \beta|000\rangle.$$

- Key fact: **4 orthogonal planes**  $\mathcal{P}_c = \text{span}(|000\rangle, |111\rangle)$ ,  $\mathcal{P}_1 = \text{span}(|100\rangle, |011\rangle)$ ,  $\mathcal{P}_2 = \text{span}(|010\rangle, |101\rangle)$  and  $\mathcal{P}_3 = \text{span}(|001\rangle, |110\rangle)$ .
- **Error syndromes:** 3 commuting observables  $\mathbf{S}_1 = \mathbf{I} \otimes \mathbf{Z} \otimes \mathbf{Z}$ ,  $\mathbf{S}_2 = \mathbf{Z} \otimes \mathbf{I} \otimes \mathbf{Z}$  and  $\mathbf{S}_3 = \mathbf{Z} \otimes \mathbf{Z} \otimes \mathbf{I}$  with spectrum  $\{-1, +1\}$  and outcomes  $(s_1, s_2, s_3) \in \{-1, +1\}$ .

$$\begin{aligned} -1- s_1 = s_2 = s_3: \mathcal{P}_c \ni |\psi_L\rangle &= \begin{cases} \alpha|000\rangle + \beta|111\rangle & 0 \text{ flip} \\ \beta|000\rangle + \alpha|111\rangle & 3 \text{ flips} \end{cases} ; \text{no correction} \\ -2- s_1 \neq s_2 = s_3: \mathcal{P}_1 \ni |\psi_L\rangle &= \begin{cases} \alpha|100\rangle + \beta|011\rangle & 1 \text{ flip} \\ \beta|100\rangle + \alpha|011\rangle & 2 \text{ flips} \end{cases} ; (\mathbf{X} \otimes \mathbf{I} \otimes \mathbf{I})|\psi_L\rangle \in \mathcal{P}_c. \\ -3- s_2 \neq s_3 = s_1: \mathcal{P}_2 \ni |\psi_L\rangle &= \begin{cases} \alpha|010\rangle + \beta|101\rangle & 1 \text{ flip} \\ \beta|010\rangle + \alpha|101\rangle & 2 \text{ flips} \end{cases} ; (\mathbf{I} \otimes \mathbf{X} \otimes \mathbf{I})|\psi_L\rangle \in \mathcal{P}_c. \\ -4- s_3 \neq s_1 = s_2: \mathcal{P}_3 \ni |\psi_L\rangle &= \begin{cases} \alpha|001\rangle + \beta|110\rangle & 1 \text{ flip} \\ \beta|001\rangle + \alpha|110\rangle & 2 \text{ flips} \end{cases} ; (\mathbf{I} \otimes \mathbf{I} \otimes \mathbf{X})|\psi_L\rangle \in \mathcal{P}_c. \end{aligned}$$

<sup>16</sup>  $\mathbf{X} = |1\rangle\langle 0| + |0\rangle\langle 1|$  and  $\mathbf{Z} = |0\rangle\langle 0| - |1\rangle\langle 1|$ .

<sup>17</sup> M.A Nielsen, I.L. Chuang (2000): Quantum Computation and Quantum Information. Cambridge University Press.

- **Local phase-flip error:** each physical qubit  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$  becomes  $Z|\psi\rangle = \alpha|0\rangle - \beta|1\rangle$ <sup>18</sup> with probability  $p < 1/2$  during  $\Delta t$ .
- Since  $X = HZH$  and  $Z = HXH$  ( $H^2 = I$ ), use the **3-qubit bit flip code in the frame defined by H**:

$$|0\rangle \mapsto \frac{|0\rangle + |1\rangle}{\sqrt{2}} \triangleq |+\rangle, \quad |1\rangle \mapsto \frac{|0\rangle - |1\rangle}{\sqrt{2}} \triangleq |-\rangle, \quad X \mapsto HXH = Z = |+\rangle\langle +| + |-\rangle\langle -|.$$

- $t = +$ :  $|\psi_L\rangle = \alpha|+_L\rangle + \beta|-_L\rangle$  with  $|+_L\rangle = |+++ \rangle$  and  $|-_L\rangle = |-- \rangle$ .
- $t = \Delta t$ :  $|\psi_L\rangle$  becomes with

$$1 \text{ flip: } \begin{cases} \alpha| - ++ \rangle + \beta| + -- \rangle \\ \alpha| + - + \rangle + \beta| - + - \rangle \\ \alpha| + + - \rangle + \beta| - - + \rangle \end{cases}; \quad 2 \text{ flips: } \begin{cases} \alpha| - - + \rangle + \beta| + + - \rangle \\ \alpha| - + - \rangle + \beta| + - + \rangle \\ \alpha| + - - \rangle + \beta| - + + \rangle \end{cases}; \quad 3 \text{ flips: } \alpha| - - - \rangle + \beta| + + + \rangle.$$

- **Key fact:** **4 orthogonal planes**  $\mathcal{P}_C = \text{span}(|+++ \rangle, |-- \rangle)$ ,  $\mathcal{P}_1 = \text{span}(|- + + \rangle, | + - - \rangle)$ ,  $\mathcal{P}_2 = \text{span}(| + - + \rangle, | - + - \rangle)$  and  $\mathcal{P}_3 = \text{span}(| + + - \rangle, | - - + \rangle)$ .
- **Error syndromes:** 3 commuting observables  $S_1 = I \otimes X \otimes X$ ,  $S_2 = X \otimes I \otimes X$  and  $S_3 = X \otimes X \otimes I$  with spectrum  $\{-1, +1\}$  and outcomes  $(s_1, s_2, s_3) \in \{-1, +1\}$ .

$$\begin{aligned}
 -1- s_1 = s_2 = s_3: \mathcal{P}_C \ni |\psi_L\rangle &= \begin{cases} \alpha|+++ \rangle + \beta|-- \rangle & 0 \text{ flip} \\ \beta|+++ \rangle + \alpha|-- \rangle & 3 \text{ flips} \end{cases} & ; \text{ no correction} \\
 -2- s_1 \neq s_2 = s_3: \mathcal{P}_1 \ni |\psi_L\rangle &= \begin{cases} \alpha| - ++ \rangle + \beta| + -- \rangle & 1 \text{ flip} \\ \beta| - ++ \rangle + \alpha| + -- \rangle & 2 \text{ flips} \end{cases} & ; (Z \otimes I \otimes I)|\psi_L\rangle \in \mathcal{P}_C. \\
 -3- s_2 \neq s_3 = s_1: \mathcal{P}_2 \ni |\psi_L\rangle &= \begin{cases} \alpha| + - + \rangle + \beta| - + - \rangle & 1 \text{ flip} \\ \beta| + - + \rangle + \alpha| - + - \rangle & 2 \text{ flips} \end{cases} & ; (I \otimes Z \otimes I)|\psi_L\rangle \in \mathcal{P}_C. \\
 -4- s_3 \neq s_1 = s_2: \mathcal{P}_3 \ni |\psi_L\rangle &= \begin{cases} \alpha| + + - \rangle + \beta| - - + \rangle & 1 \text{ flip} \\ \beta| + + - \rangle + \alpha| - - + \rangle & 2 \text{ flips} \end{cases} & ; (I \otimes I \otimes Z)|\psi_L\rangle \in \mathcal{P}_C.
 \end{aligned}$$

<sup>18</sup>  $X = |1\rangle\langle 0| + |0\rangle\langle 1|$ ,  $Z = |0\rangle\langle 0| - |1\rangle\langle 1|$  and  $H = \left(\frac{|0\rangle+|1\rangle}{\sqrt{2}}\right)\langle 0| + \left(\frac{|0\rangle-|1\rangle}{\sqrt{2}}\right)\langle 1|$ .

- Take the phase flip code  $|+++ \rangle$  and  $|--- \rangle$ . Replace each  $|+\rangle$  (resp.  $|-\rangle$ ) by  $\frac{|000\rangle+|111\rangle}{\sqrt{2}}$  (resp.  $\frac{|000\rangle-|111\rangle}{\sqrt{2}}$ ).

**New logical qubit**  $|\psi_L\rangle = \alpha|0_L\rangle + \beta|1_L\rangle \in \mathbb{C}^{2^9} \equiv \mathbb{C}^{512}$ :

$$|0_L\rangle = \frac{(|000\rangle+|111\rangle)(|000\rangle+|111\rangle)(|000\rangle+|111\rangle)}{2\sqrt{2}}, \quad |1_L\rangle = \frac{(|000\rangle-|111\rangle)(|000\rangle-|111\rangle)(|000\rangle-|111\rangle)}{2\sqrt{2}}$$

- Local errors**: each of the 9 physical qubits can have a bit-flip  $X$ , a phase flip  $Z$  or a bit flip followed by a phase flip  $ZX = iY$ <sup>19</sup> with probability  $p$  during  $\Delta t$ .
- Denote by  $X_k$  (resp.  $Y_k$  and  $Z_k$ ), the local operator  $X$  (resp.  $Y$  and  $Z$ ) acting on physical qubit no  $k \in \{1, \dots, 9\}$ . Denote by  $\mathcal{P}_c = \text{span}(|0_L\rangle, |1_L\rangle)$  the code space. One get a family of the  $1 + 3 \times 9 = 28$  **orthogonal planes**:

$$\mathcal{P}_c, \quad \left(X_k \mathcal{P}_c\right)_{k=1, \dots, 9}, \quad \left(Y_k \mathcal{P}_c\right)_{k=1, \dots, 9}, \quad \left(Z_k \mathcal{P}_c\right)_{k=1, \dots, 9}.$$

- One can always construct **error syndromes** to obtain, when there is only one error among the 9 qubits during  $\Delta t$ , **the number  $k$  of the qubit and the error type it has undergone ( $X$ ,  $Y$  or  $Z$ )**. These 28 planes are then eigen-planes by the syndromes.
- If the physical qubit  $k$  is subject to **any kind of local errors** associated to arbitrary operator  $M_k = gI + aX_k + bY_k + cZ_k$  ( $g, a, b, c \in \mathbb{C}$ ),  $|\psi_L\rangle \mapsto \frac{M_k|\psi_L\rangle}{\sqrt{\langle\psi_L|M_k^\dagger M_k|\psi_L\rangle}}$ , the **syndrome measurements will project the corrupted logical qubit on one of the 4 planes  $\mathcal{P}_c, X_k \mathcal{P}_c, Y_k \mathcal{P}_c$  or  $Z_k \mathcal{P}_c$** . It is then simple by using either  $I, X_k, Y_k$  or  $Z_k$ , to **recover up to a global phase the original logical qubit  $|\psi_L\rangle$** .

<sup>19</sup> $X = |1\rangle\langle 0| + |0\rangle\langle 1|$ ,  $Z = |0\rangle\langle 0| - |1\rangle\langle 1|$  and  $Y = i|1\rangle\langle 0| - i|0\rangle\langle 1|$ .

- For a logical qubit relying on  $n$  physical qubits, the dimension of the Hilbert has to be larger than  $2(1 + 3n)$  to recover a single but arbitrary qubit error:  $2^n \geq 2(1 + 3n)$  imposing  $n \geq 5$  ( $\mathcal{H} = \mathbb{C}^{2^5} = \mathbb{C}^{32}$ )
  - Efficient constructions of quantum error-correcting codes: stabilizer codes, surface codes where the physical qubits are located on a 2D-lattice, topological codes, ...
  - Fault tolerant computations: computing on encoded quantum states; fault-tolerant operations to avoid propagations of errors during encoding, gates and measurement; concatenation and threshold theorem, ...
  - Actual experiments:  $10^{-2}$  is the typical error probability during elementary gates involving few physical qubits.
  - High-order error-correcting codes with an important overhead; **more than 1000 physical qubits to encode a logical qubit** <sup>20</sup>  $\mathcal{H} \sim \mathbb{C}^{2^{1000}}$ .
- <sup>20</sup>A.G. Fowler, M. Mariantoni, J.M. Martinis, A.N. Cleland (2012): Surface codes: Towards practical large-scale quantum computation. Phys. Rev. A,86(3):032324.

## Continuous-time dynamics of open quantum system

Stochastic Master Equation (SME)

Key characteristics of SME

Quantum filtering and state estimation

## Feedback schemes

Measurement-based feedback and classical controller

Coherent feedback and quantum controller

Merging measurement-based and coherent feedbacks

## Quantum Error Correction (QEC) and feedback

QEC from scratch

Storing a logical qubit in a high-quality harmonic oscillator

Simplified feedback scheme for cat-qubit experiment

- System  $S$  ( $LC$  circuit): a high-quality harmonic oscillator ( $1 \ll \omega_s/\kappa_s \sim 10^6$ ):

$$\frac{d}{dt}\tilde{\rho}_s = -i\omega_s[\mathbf{N}_s, \tilde{\rho}_s] + \kappa_s\mathbb{D}_{\mathbf{a}_s}(\tilde{\rho}_s)$$

with  $\mathcal{H}_s = \text{span}\{|0\rangle, |1\rangle, \dots, |n\rangle, \dots\}$ , photon-number operator  $\mathbf{N}_s$  and annihilation operator  $\mathbf{a}_s$  ( $\mathbf{N}_s = \mathbf{a}_s^\dagger \mathbf{a}_s$ ,  $\mathbf{N}_s|n\rangle = n|n\rangle$ ,  $\mathbf{a}_s|n\rangle = \sqrt{n}|n-1\rangle$ ).

- In the rotation frame ( $\tilde{\rho}_s = e^{-i\omega_s t \mathbf{N}_s} \rho_s e^{+i\omega_s t \mathbf{N}_s}$ ):

$$\frac{d}{dt}\rho_s = \kappa_s\mathbb{D}_{\mathbf{a}_s}(\rho_s) = \kappa_s \left( \mathbf{a}_s \rho_s \mathbf{a}_s^\dagger - \frac{1}{2}(\mathbf{a}_s^\dagger \mathbf{a}_s \rho_s + \rho_s \mathbf{a}_s^\dagger \mathbf{a}_s) \right).$$

- Goal: engineer a **logical qubit with superpositions of coherent states**

$$|\beta\rangle = e^{-\frac{|\beta|^2}{2}} \sum_{n \geq 0} \frac{\beta^n}{\sqrt{n!}} |n\rangle$$

of complex amplitudes  $\beta \in \{\alpha, i\alpha, -\alpha, -i\alpha\}$  with  $\alpha \gg 1$  (typically  $\alpha \geq 3$ ).

- **Coherent states are robust to decoherence:**  $\mathbf{a}_s|\beta\rangle = \beta|\beta\rangle$ : if  $\rho_s(0) = |\beta_0\rangle\langle\beta_0|$ , then  $\rho_s(t) = |\beta_t\rangle\langle\beta_t|$  with  $\beta_t = \beta_0 e^{-\kappa_s t/2}$ .

<sup>21</sup>M. Mirrahimi, Z. Leghtas . . . , M. Devoret. (2014). Dynamically protected cat-qubits: a new paradigm for universal quantum computation. *New Journal of Physics*, 16, 045014.

## Continuous-time dynamics of open quantum system

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Measurement-based feedback and classical controller

Coherent feedback and quantum controller

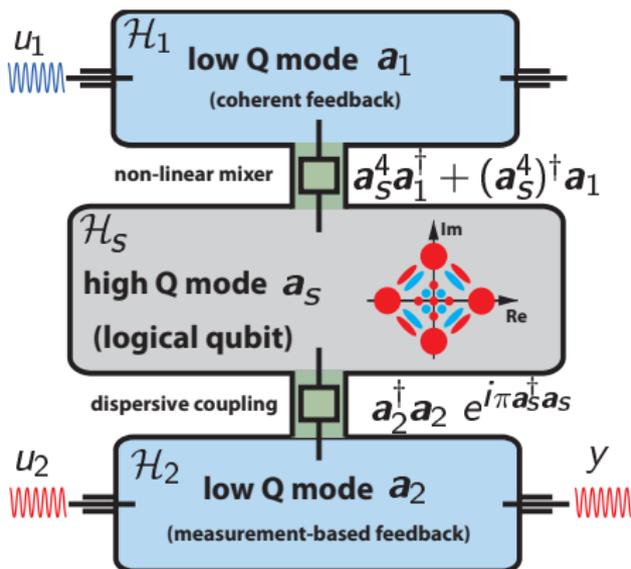
Merging measurement-based and coherent feedbacks

## Quantum Error Correction (QEC) and feedback

QEC from scratch

Storing a logical qubit in a high-quality harmonic oscillator

Simplified feedback scheme for cat-qubit experiment

Stochastic master equation on  $\mathcal{H}_S \otimes \mathcal{H}_1 \otimes \mathcal{H}_2$  :

$$d\rho = \kappa_S \mathbb{D}_{a_S}(\rho) dt - i[\mathbf{H}, \rho] dt + \kappa_1 \mathbb{D}_{a_1}(\rho) dt + \kappa_2 \mathbb{D}_{a_2}(\rho) dt + \sqrt{\eta\kappa_2} \left( ia_2 \rho - i\rho a_2^\dagger - \text{Tr}(ia_2 \rho - i\rho a_2^\dagger) \rho \right) dW_t$$

Engineered Hamiltonian

$$\mathbf{H} = i(u_1 a_1^\dagger - u_1^* a_1) + i(u_2 a_2^\dagger - u_2^* a_2) + g_1 (a_S^4 a_1^\dagger + (a_S^4)^\dagger a_1) + g_2 a_2^\dagger a_2 e^{i\pi a_S^\dagger a_S},$$

Classical control inputs  $u_1, u_2 \in \mathbb{C}$ 

Measurement output classical signal:

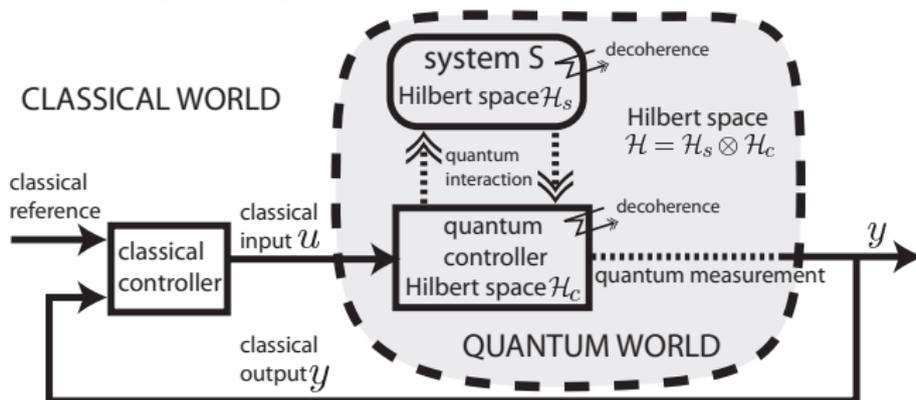
$$dy_t = \sqrt{\eta\kappa_2} \text{Tr}(ia_2 \rho - i\rho a_2^\dagger) dt + dW_t$$

**Many time-scales,**  $\kappa_S \ll g_1, g_2 \ll \kappa_1, \kappa_2$  and  $\kappa_S \ll \frac{g_1^2}{\kappa_1}, \frac{g_2^2}{\kappa_2}$ , providing a reduced slow SME on  $\text{span}\{|\alpha\rangle, |i\alpha\rangle, |-\alpha\rangle, |-i\alpha\rangle\}$  with  $\alpha = \sqrt[4]{u_1/g_1}$

<sup>22</sup>Inspired by

- N. Ofek, . . . , M. Mirrahimi, M. Devoret, R. Schoelkopf (2016). Extending the lifetime of a quantum bit with error correction in superconducting circuits. *Nature*, 536(7617), 441-445.
- R. Lescanne, . . . , M. Mirrahimi, Z. Leghtas (2019): Exponential suppression of bit-flips in a qubit encoded in an oscillator. arXiv:1907.11729 [quant-ph].

## Quantum feedback engineering for robust quantum information processing



To protect quantum information stored in system S (alternative to usual QEC):

- ▶ fast stabilization and protection mainly achieved by a **quantum controller** (coherent feedback stabilizing decoherence-free sub-spaces);
- ▶ slow decoherence and perturbations mainly tackled by a **classical controller** (measurement-based feedback "finishing the job")

Underlying **mathematical methods** for high-precision dynamical modeling and control based on **stochastic master equations** (SME):

- ▶ High-order averaging methods and geometric singular perturbations for coherent feedback.
- ▶ Stochastic control Lyapunov methods for exponential stabilization via measurement-based feedback.

- ▶ Hilbert space:

$$\mathcal{H}_M = \mathbb{C}^2 = \{c_g|g\rangle + c_e|e\rangle, c_g, c_e \in \mathbb{C}\}.$$

- ▶ Quantum state space:

$$\mathcal{D} = \{\rho \in \mathcal{L}(\mathcal{H}_M), \rho^\dagger = \rho, \text{Tr}(\rho) = 1, \rho \geq 0\}.$$

- ▶ Operators and commutations:

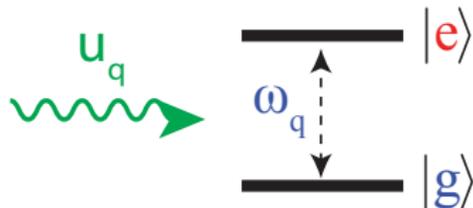
$$\sigma_z = |g\rangle\langle e|, \sigma_+ = \sigma_z^\dagger = |e\rangle\langle g|$$

$$\mathbf{X} \equiv \sigma_x = \sigma_+ + \sigma_- = |g\rangle\langle e| + |e\rangle\langle g|;$$

$$\mathbf{Y} \equiv \sigma_y = i\sigma_- - i\sigma_+ = i|g\rangle\langle e| - i|e\rangle\langle g|;$$

$$\mathbf{Z} \equiv \sigma_z = \sigma_+\sigma_- - \sigma_-\sigma_+ = |e\rangle\langle e| - |g\rangle\langle g|;$$

$$\sigma_x^2 = I, \sigma_x\sigma_y = i\sigma_z, [\sigma_x, \sigma_y] = 2i\sigma_z, \dots$$



- ▶ Hamiltonian:  $H_M = \omega_q\sigma_z/2 + u_q\sigma_x$ .

- ▶ Bloch sphere representation:

$$\mathcal{D} = \left\{ \frac{1}{2}(I + x\sigma_x + y\sigma_y + z\sigma_z) \mid (x, y, z) \in \mathbb{R}^3, x^2 + y^2 + z^2 \leq 1 \right\}$$

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<sup>23</sup> See S. M. Barnett, P.M. Radmore (2003): Methods in Theoretical Quantum Optics. Oxford University Press.

- ▶ Hilbert space:

$$\mathcal{H}_S = \left\{ \sum_{n \geq 0} \psi_n |n\rangle, (\psi_n)_{n \geq 0} \in l^2(\mathbb{C}) \right\} \equiv L^2(\mathbb{R}, \mathbb{C})$$

- ▶ Quantum state space:

$$\mathcal{D} = \{ \rho \in \mathcal{L}(\mathcal{H}_S), \rho^\dagger = \rho, \text{Tr}(\rho) = 1, \rho \geq 0 \}.$$

- ▶ Operators and commutations:

$$a|n\rangle = \sqrt{n} |n-1\rangle, a^\dagger|n\rangle = \sqrt{n+1} |n+1\rangle;$$

$$N = a^\dagger a, N|n\rangle = n|n\rangle;$$

$$[a, a^\dagger] = I, af(N) = f(N+I)a;$$

$$D_\alpha = e^{\alpha a^\dagger - \alpha^\dagger a}.$$

$$a = X + iP = \frac{1}{\sqrt{2}} \left( x + \frac{\partial}{\partial x} \right), [X, P] = iI/2.$$

- ▶ Hamiltonian:  $H_S = \omega_c a^\dagger a + u_c (a + a^\dagger)$ .

(associated classical dynamics:

$$\frac{dx}{dt} = \omega_c p, \frac{dp}{dt} = -\omega_c x - \sqrt{2}u_c).$$

- ▶ Classical pure state  $\equiv$  coherent state  $|\alpha\rangle$

$$\alpha \in \mathbb{C} : |\alpha\rangle = \sum_{n \geq 0} \left( e^{-|\alpha|^2/2} \frac{\alpha^n}{\sqrt{n!}} \right) |n\rangle; |\alpha\rangle \equiv \frac{1}{\pi^{1/4}} e^{i\sqrt{2}x\Im\alpha} e^{-\frac{(x-\sqrt{2}\Re\alpha)^2}{2}}$$

$$a|\alpha\rangle = \alpha|\alpha\rangle, D_\alpha|0\rangle = |\alpha\rangle.$$

