

# Control of open quantum systems by reservoir engineering

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# Outline

## Dynamics of open quantum systems

- Three quantum features

- The LKB photon box: a discrete time open quantum system

- Discrete time models: Markov chains and Kraus maps

- Continuous-time models: SDE and Lindblad ODE

## Two kind of feedback for quantum systems

- Measurement-based feedback

- Coherent (autonomous) feedback with reservoir engineering

## Reservoir engineering for discrete-time systems

- A general setting

- Stabilizing Schrödinger cats in the LKB photon box

## Reservoir engineering for continuous time systems

## Appendix: two fundamental quantum systems

- A qubit: 2 level system

- Harmonic oscillator

# Three quantum features<sup>1</sup>

1. **Schrödinger equation**: wave function  $|\psi\rangle \in \mathcal{H}$ , density operator  $\rho$

$$\frac{d}{dt}|\psi\rangle = -iH|\psi\rangle, \quad \frac{d}{dt}\rho = -i[H, \rho]$$

2. **Origin of dissipation and irreversibility: collapse of the wave packet** induced by the measure of observable  $\mathcal{O}$  with spectral decomposition  $\sum_{\mu} \lambda_{\mu} P_{\mu}$ :

- ▶ measure outcome  $\lambda_{\mu}$  with proba.  $p_{\mu} = \langle \psi | P_{\mu} | \psi \rangle = \text{Tr}(\rho P_{\mu})$  depending  $|\psi\rangle$ ,  $\rho$  just before the measurement
- ▶ measure back-action if outcome  $\lambda_{\mu}$ :

$$|\psi\rangle \mapsto |\psi\rangle_{+} = \frac{P_{\mu}|\psi\rangle}{\sqrt{\langle \psi | P_{\mu} | \psi \rangle}}, \quad \rho \mapsto \rho_{+} = \frac{P_{\mu}\rho P_{\mu}}{\text{Tr}(\rho P_{\mu})}$$

3. **Tensor product for the description of composite systems** ( $S, M$ ):

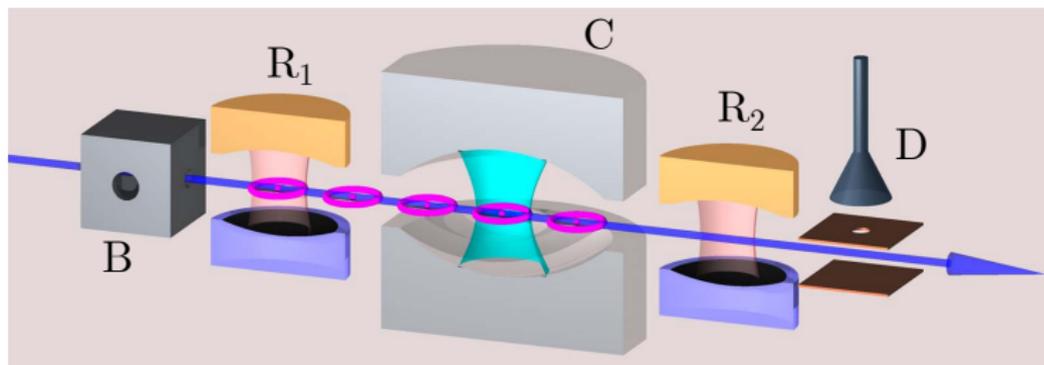
- ▶ Hilbert space  $\mathcal{H} = \mathcal{H}_S \otimes \mathcal{H}_M$
- ▶ Hamiltonian  $H = H_S \otimes \mathbb{I}_M + H_{int} + \mathbb{I}_S \otimes H_M$
- ▶ observable on sub-system  $M$  only:  $\mathcal{O} = \mathbb{I}_S \otimes \mathcal{O}_M$ .

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<sup>1</sup>S. Haroche and J.M. Raimond. *Exploring the Quantum: Atoms, Cavities and Photons*. Oxford Graduate Texts, 2006.



## The LKB Photon-Box: measuring photons with atoms



Atoms get out of box  $B$  one by one, undergo then a first Rabi pulse in Ramsey zone  $R_1$ , become entangled with electromagnetic field trapped in  $C$ , undergo a second Rabi pulse in Ramsey zone  $R_2$  and finally are measured in the detector  $D$ .

## The Markov chain model (1)

- ▶ **System**  $S$  corresponds to a quantized mode in  $C$ :

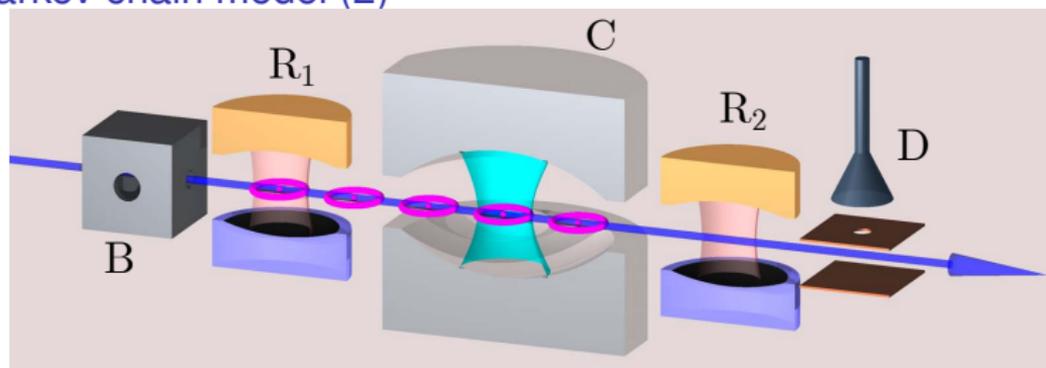
$$\mathcal{H}_S = \left\{ \sum_{n=0}^{\infty} \psi_n |n\rangle \mid (\psi_n)_{n=0}^{\infty} \in l^2(\mathbb{C}) \right\},$$

where  $|n\rangle$  represents the Fock state associated to exactly  $n$  photons inside the cavity

- ▶ **Meter**  $M$  is associated to atoms :  $\mathcal{H}_M = \mathbb{C}^2$ , each atom admits two-level and is described by a wave function  $c_g|g\rangle + c_e|e\rangle$  with  $|c_g|^2 + |c_e|^2 = 1$ ; atoms leaving  $B$  are all in state  $|g\rangle$
- ▶ When atom comes out  $B$ , the state  $|\Psi\rangle_B \in \mathcal{H}_S \otimes \mathcal{H}_M$  of the composite system atom/field is **separable**

$$|\Psi\rangle_B = |\psi\rangle \otimes |g\rangle.$$

## The Markov chain model (2)



- ▶ When atom comes out  $B$ :  $|\Psi\rangle_B = |\psi\rangle \otimes |g\rangle$ .
- ▶ When atom comes out the first Ramsey zone  $R_1$  the state remains separable but has changed to

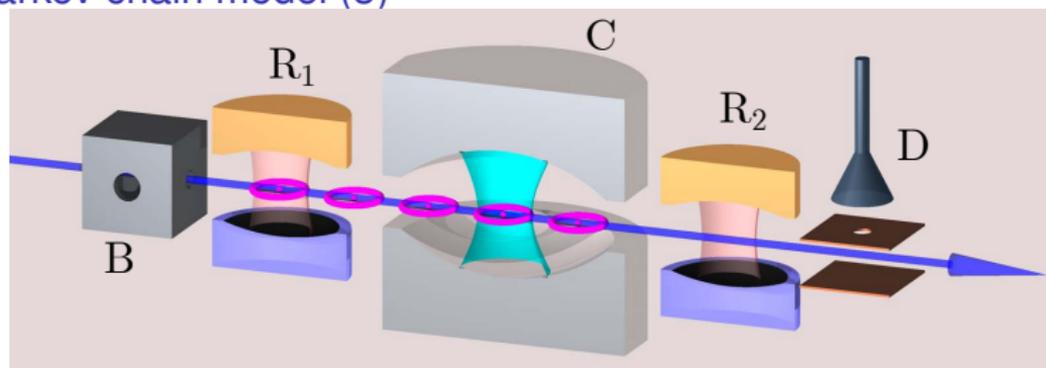
$$|\Psi\rangle_{R_1} = (\mathbb{I} \otimes U_{R_1})|\Psi\rangle_B = |\psi\rangle \otimes (U_{R_1}|g\rangle)$$

where the unitary transformation performed in  $R_1$  only affects the atom:

$$U_{R_1} = e^{-i\frac{\theta_1}{2}(x_1\sigma_x + y_1\sigma_y + z_1\sigma_z)} = \cos\left(\frac{\theta_1}{2}\right) - i\sin\left(\frac{\theta_1}{2}\right)(x_1\sigma_x + y_1\sigma_y + z_1\sigma_z)$$

corresponds, in the Bloch sphere representation, to a rotation of angle  $\theta_1$  around  $x_1\vec{i} + y_1\vec{j} + z_1\vec{k}$  ( $x_1^2 + y_1^2 + z_1^2 = 1$ )

## The Markov chain model (3)



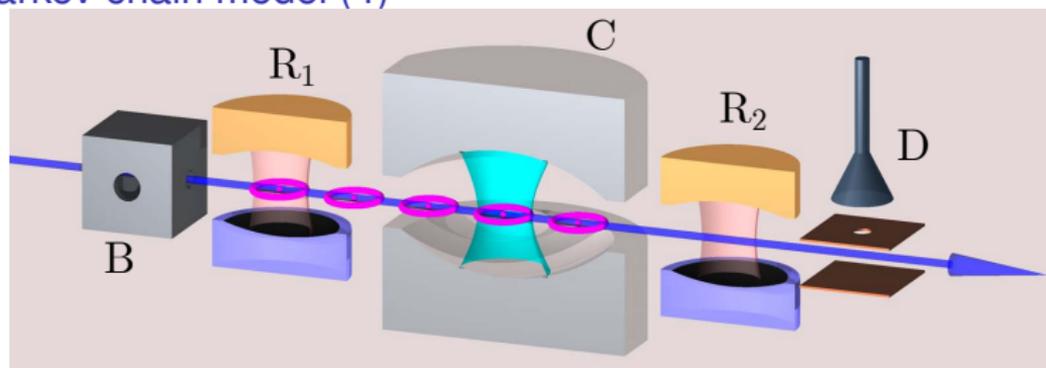
- ▶ When atom comes out the first Ramsey zone  $R_1$ :  
 $|\Psi\rangle_{R_1} = |\psi\rangle \otimes (U_{R_1}|g\rangle)$ .
- ▶ When atom comes out cavity  $C$ , the state does not remain separable: atom and field becomes entangled and the state is described by

$$|\Psi\rangle_C = U_C|\Psi\rangle_{R_1}$$

where the unitary transformation  $U_C$  on  $\mathcal{H}_S \otimes \mathcal{H}_M$  is associated to a Jaynes-Cummings Hamiltonian:

$$H_C = \frac{\Delta(t)}{2}\sigma_z + i\frac{\Omega(t)}{2}(a^\dagger\sigma_- - a\sigma_+) \quad \text{Parameters: } \Delta(t) = \omega_{eg} - \omega_C, \\ \Omega(t) \text{ depend on time } t.$$

## The Markov chain model (4)



- ▶ When atom comes out cavity  $C$ :  $|\Psi\rangle_C = U_C(|\psi\rangle \otimes (U_{R_1}|g\rangle))$ .
- ▶ When atom comes out second Ramsey zone  $R_2$ , the state becomes  $|\Psi\rangle_{R_2} = (\mathbb{I} \otimes U_{R_2})|\Psi\rangle_C$  with  $U_{R_2} = e^{-i\frac{\theta_2}{2}(x_2\sigma_x + y_2\sigma_y + z_2\sigma_z)}$ .
- ▶ Just before the measurement in  $D$ , the state is given by

$$|\Psi\rangle_{R_2} = U_{SM}(|\psi\rangle \otimes |g\rangle) = (M_g|\psi\rangle) \otimes |g\rangle + (M_e|\psi\rangle) \otimes |e\rangle$$

where  $U_{SM} = U_{R_2}U_CU_{R_1}$  is the total unitary transformation defining the linear measurement operators  $M_g$  and  $M_e$  on  $\mathcal{H}_S$ .

Since  $U_{SM}$  is unitary,  $M_g^\dagger M_g + M_e^\dagger M_e = \mathbb{I}$ .

## The Markov chain model (5)

Just before the measurement in  $D$ , the atom/field state is:

$$|g\rangle \otimes M_g|\psi\rangle + |e\rangle \otimes M_e|\psi\rangle$$

Denote by  $\mu \in \{g, e\}$  the measurement outcome in detector  $D$ : with probability  $p_\mu = \langle \psi | M_\mu^\dagger M_\mu | \psi \rangle$  we get  $\mu$ . Just after the measurement outcome  $\mu$ , the state becomes separable:

$$|\Psi\rangle_D = \frac{1}{\sqrt{p_\mu}} |\mu\rangle \otimes (M_\mu|\psi\rangle) = \frac{|\mu\rangle \otimes (M_\mu|\psi\rangle)}{\sqrt{\langle \psi | M_\mu^\dagger M_\mu | \psi \rangle}}.$$

Markov process (density matrix formulation)

$$\rho_+ = \begin{cases} \mathcal{M}_g(\rho) = \frac{M_g \rho M_g^\dagger}{\text{Tr}(M_g \rho M_g^\dagger)}, & \text{with probability } p_g = \text{Tr}(M_g \rho M_g^\dagger); \\ \mathcal{M}_e(\rho) = \frac{M_e \rho M_e^\dagger}{\text{Tr}(M_e \rho M_e^\dagger)}, & \text{with probability } p_e = \text{Tr}(M_e \rho M_e^\dagger). \end{cases}$$

## Positive Operator Valued Measurement (POVM) (1)

System  $S$  of interest (a **quantized electromagnetic field**) interacts with the meter  $M$  (**a probe atom**), and the **experimenter** measures projectively the meter  $M$  (the **probe atom**). Need for a **composite system**:  $\mathcal{H}_S \otimes \mathcal{H}_M$  where  $\mathcal{H}_S$  and  $\mathcal{H}_M$  are the Hilbert space of  $S$  and  $M$ .

Measurement process in three successive steps:

1. Initially the quantum state is **separable**

$$\mathcal{H}_S \otimes \mathcal{H}_M \ni |\Psi\rangle = |\psi_S\rangle \otimes |\theta_M\rangle$$

with a well defined and known state  $|\theta_M\rangle$  for  $M$ .

2. Then a **Schrödinger evolution** during a small time (unitary operator  $U_{S,M}$ ) of the composite system from  $|\psi_S\rangle \otimes |\theta_M\rangle$  and producing  $U_{S,M}(|\psi_S\rangle \otimes |\theta_M\rangle)$ , **entangled** in general.
3. Finally a **projective measurement** of the meter  $M$ :  
 $\mathcal{O}_M = \mathbb{I}_S \otimes (\sum_{\mu} \lambda_{\mu} P_{\mu})$  the measured observable for the meter. Projection operator  $P_{\mu}$  is a rank-1 projection in  $\mathcal{H}_M$  over the eigenstate  $|\lambda_{\mu}\rangle \in \mathcal{H}_M$ :  $P_{\mu} = |\lambda_{\mu}\rangle\langle\lambda_{\mu}|$ .

## Positive Operator Valued Measurement (POVM) (2)

Define the **measurement operators**  $M_\mu$  via

$$\forall |\psi_S\rangle \in \mathcal{H}_S, \quad U_{S,M}(|\psi_S\rangle \otimes |\theta_M\rangle) = \sum_{\mu} (M_\mu |\psi_S\rangle) \otimes |\lambda_\mu\rangle.$$

Then  $\sum_{\mu} M_\mu^\dagger M_\mu = \mathbb{I}_S$ . The set  $\{M_\mu\}$  defines a **Positive Operator Valued Measurement (POVM)**.

In  $\mathcal{H}_S \otimes \mathcal{H}_M$ , projective measurement of  $\mathcal{O}_M = \mathbb{I}_S \otimes (\sum_{\mu} \lambda_\mu P_\mu)$  with quantum state  $U_{S,M}(|\psi_S\rangle \otimes |\theta_M\rangle)$ :

1. The probability of obtaining the value  $\lambda_\mu$  is given by

$$p_\mu = \langle \psi_S | M_\mu^\dagger M_\mu | \psi_S \rangle$$

2. After the measurement, the conditional (a posteriori) state of the system, given the outcome  $\lambda_\mu$ , is

$$|\psi_S\rangle_+ = \frac{M_\mu |\psi_S\rangle}{\sqrt{p_\mu}}.$$

For **mixed state**  $\rho$  (instead of pure state  $|\psi_S\rangle$ ):

$$p_\mu = \text{Tr}(M_\mu \rho M_\mu^\dagger) \quad \text{and} \quad \rho_+ = \frac{M_\mu \rho M_\mu^\dagger}{\text{Tr}(M_\mu \rho M_\mu^\dagger)},$$

## Markov chain et Kraus map

- ▶ To the POVM on  $\mathcal{H}_S$  is attached a stochastic process of quantum state  $\rho$ ,  $\rho^\dagger = \rho \geq 0$ ,  $\text{Tr}(\rho) = 1$  ( $\sum_\mu M_\mu^\dagger M_\mu = \mathbb{I}$ )

$$\rho_+ = \frac{M_\mu \rho M_\mu^\dagger}{\text{Tr}(M_\mu \rho M_\mu^\dagger)} \text{ with probability } p_\mu = \text{Tr}(M_\mu \rho M_\mu^\dagger).$$

- ▶ For any observable  $A$  on  $\mathcal{H}_S$ , its **conditional expectation** value after the transition knowing the state  $\rho$

$$\mathbb{E}(\text{Tr}(A \rho_+) \mid \rho) = \text{Tr}(A \mathbf{K}(\rho))$$

where the linear map  $\rho \mapsto \mathbf{K}(\rho) = \sum_\mu M_\mu \rho M_\mu^\dagger$  is a **Kraus map** defining a quantum channel.

- ▶ If  $\bar{A}$  is a **stationary point of the adjoint Kraus map  $\mathbf{K}^*$** ,  $\mathbf{K}^*(\bar{A}) = \sum_\mu M_\mu^\dagger \bar{A} M_\mu$ , then  $\text{Tr}(\bar{A} \rho)$  is a **martingale**:

$$\mathbb{E}(\text{Tr}(\bar{A} \rho_+) \mid \rho) = \text{Tr}(\bar{A} \mathbf{K}(\rho)) = \text{Tr}(\rho \mathbf{K}^*(\bar{A})) = \text{Tr}(\rho \bar{A}).$$

## Models of open quantum systems

Discrete-time models are Markov chains

$$\rho_{k+1} = \frac{1}{p_\mu(\rho_k)} M_\mu \rho_k M_\mu^\dagger \quad \text{with proba.} \quad p_\mu(\rho_k) = \text{Tr}(M_\mu \rho_k M_\mu^\dagger)$$

with measure  $\mu$  and associated to Kraus maps (ensemble average, open quantum channels)

$$\mathbb{E}(\rho_{k+1}/\rho_k) = \mathbf{K}(\rho_k) = \sum_{\mu} M_\mu \rho_k M_\mu^\dagger \quad \text{with} \quad \sum_{\mu} M_\mu^\dagger M_\mu = \mathbb{I}$$

Continuous-time models are stochastic differential systems

$$d\rho = \left( -i[H, \rho] + L\rho L^\dagger - \frac{1}{2}(L^\dagger L\rho + \rho L^\dagger L) \right) dt + \left( L\rho + \rho L^\dagger - \text{Tr}((L + L^\dagger)\rho) \rho \right) dw$$

driven by Wiener processes<sup>3</sup>  $dw = dy - \text{Tr}((L + L^\dagger)\rho) dt$  with measure  $y$  and associated to Lindblad master equations:

$$\frac{d}{dt}\rho = -\frac{i}{\hbar}[H, \rho] + L\rho L^\dagger - \frac{1}{2}(L^\dagger L\rho + \rho L^\dagger L)$$

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<sup>3</sup>Another common possibility not considered here: SDE driven by Poisson processes.

## From discrete-time to continuous-time: heuristic connection

For Monte-Carlo simulations of

$$d\rho = \left( -i[H, \rho] + L\rho L^\dagger - \frac{1}{2}(L^\dagger L\rho + \rho L^\dagger L) \right) dt \\ + \left( L\rho + \rho L^\dagger - \text{Tr} \left( (L + L^\dagger)\rho \right) \rho \right) dw$$

take a small sampling time  $dt$ , generate a random number  $dw_t$  according to a Gaussian law of **standard deviation  $\sqrt{dt}$** , and set  $\rho_{t+dt} = \mathcal{M}_{dy_t}(\rho_t)$  where the jump operator  $\mathcal{M}_{dy_t}$  is labelled by the measurement outcome  $dy_t = \text{Tr} \left( (L + L^\dagger)\rho_t \right) dt + dw_t$ :

$$\mathcal{M}_{dy_t}(\rho_t) = \frac{\left( I + (-iH - \frac{1}{2}L^\dagger L)dt + Ldy_t \right) \rho_t \left( I + (iH - \frac{1}{2}L^\dagger L)dt + L^\dagger dy_t \right)}{\text{Tr} \left( \left( I + (-iH - \frac{1}{2}L^\dagger L)dt + Ldy_t \right) \rho_t \left( I + (iH - \frac{1}{2}L^\dagger L)dt + L^\dagger dy_t \right) \right)}.$$

Then  $\rho_{t+dt}$  remains always a density operator and using the Ito rules ( $dw$  of order  $\sqrt{dt}$  and  $dw^2 \equiv dt$ ) we get the good  $d\rho = \rho_{t+dt} - \rho_t$  up to  $O((dt)^{3/2})$  terms.

## From discrete-time to continuous-time: heuristic connection (end)

For the Lindblad equation

$$\frac{d}{dt}\rho = -\frac{i}{\hbar}[H, \rho] + L\rho L^\dagger - \frac{1}{2}(L^\dagger L\rho + \rho L^\dagger L)$$

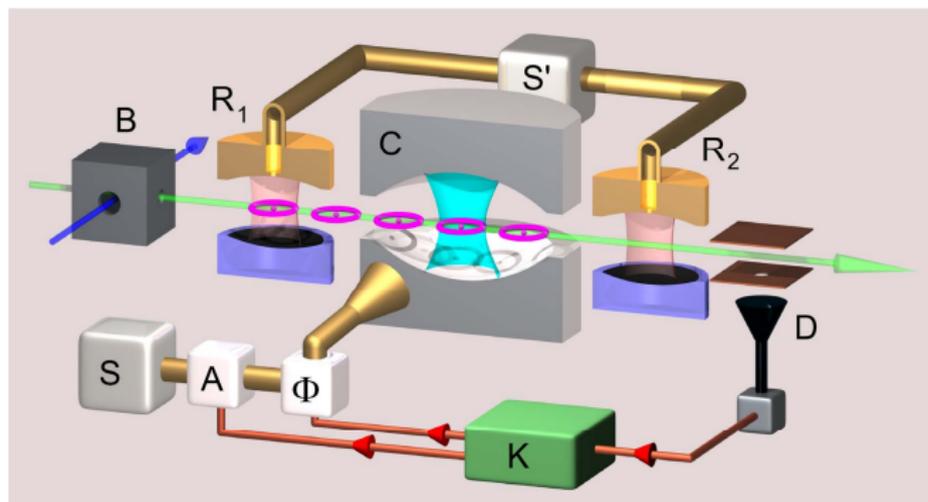
take a small sampling time  $dt$  and set

$$\rho_{t+dt} = \frac{\left(I + (-iH - \frac{1}{2}L^\dagger L)dt\right)\rho_t\left(I + (iH - \frac{1}{2}L^\dagger L)dt\right) + dtL\rho_tL^\dagger}{\text{Tr}\left(\left(I + (-iH - \frac{1}{2}L^\dagger L)dt\right)\rho_t\left(I + (iH - \frac{1}{2}L^\dagger L)dt\right) + dtL\rho_tL^\dagger\right)}.$$

Then  $\rho_{t+dt}$  remains always a density operator and

$\frac{d}{dt}\rho = (\rho_{t+dt} - \rho_t)/dt$  up to  $O(dt)$  terms.

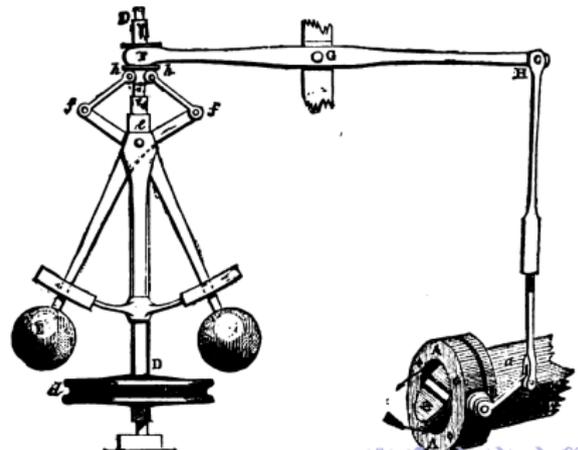
## Measurement-based stabilization of photon-number state<sup>4</sup>



- Control input  $u = Ae^{z\Phi}$ ; measure output  $y \in \{g, e\}$ .
- Sampling time  $80 \mu\text{s}$  long enough for numerical computations in  $K$ .

<sup>4</sup>C. Sayrin, I. Dotsenko, X. Zhou, B. Peaudecerf, Th. Rybarczyk, S. Gleyzes, P. R., M. Mirrahimi, H. Amini, M. Brune, J.M. Raimond, S. Haroche: Real-time quantum feedback prepares and stabilizes photon number states. Nature, 477(7362),2011.

Watt regulator: a classical analogue of quantum coherent feedback. <sup>5</sup>



Third order system

The first variations of speed  $\delta\omega$  and governor angle  $\delta\theta$  obey to

$$\frac{d}{dt}\delta\omega = -a\delta\theta$$

$$\frac{d^2}{dt^2}\delta\theta = -\Lambda\frac{d}{dt}\delta\theta - \Omega^2(\delta\theta - b\delta\omega)$$

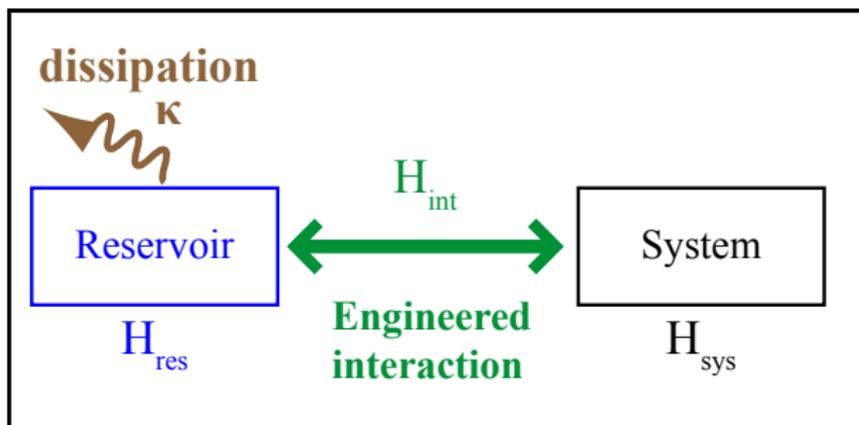
with  $(a, b, \Lambda, \Omega)$  positive parameters.

$$\frac{d^3}{dt^3}\delta\omega = -\Lambda\frac{d^2}{dt^2}\delta\omega - \Omega^2\frac{d}{dt}\delta\omega - ab\Omega^2\delta\omega = 0$$

Characteristic polynomial  $P(s) = s^3 + \Lambda s^2 + \Omega^2 s + ab\Omega^2$  with roots having negative real parts iff  $\Lambda > ab$ : **governor damping must be strong enough to ensure asymptotic stability** of the closed-loop system.

<sup>5</sup>J.C. Maxwell: On governors. Proc. of the Royal Society, No.100, 1868.

## Reservoir Engineering<sup>6</sup> and coherent feedback<sup>7</sup>



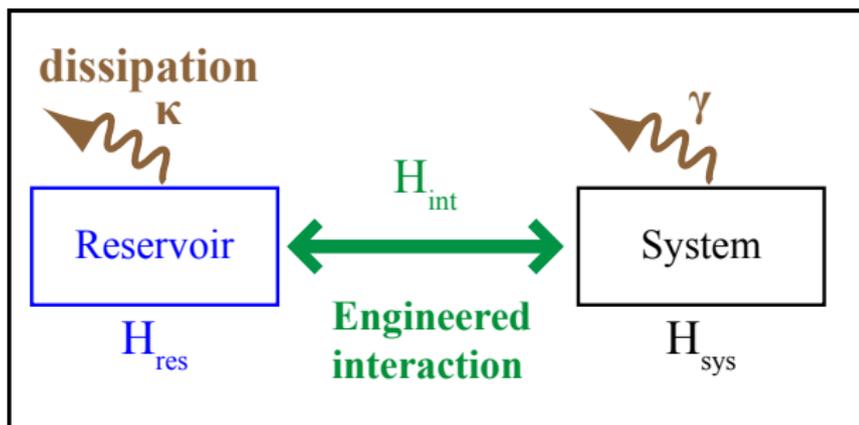
$$H = H_{\text{res}} + H_{\text{int}} + H_{\text{sys}}$$

if  $\rho \xrightarrow[t \rightarrow \infty]{} |\bar{\psi}\rangle\langle\bar{\psi}| \otimes \rho_{\text{res}}$  exponentially on a time scale of  $\tau \approx \kappa$  then . . . .

<sup>6</sup>Introduced by Poyatos, Cirac and Zoller, 1996.

<sup>7</sup>See, e.g., the lectures of H. Mabuchi delivered at the "école de physique des Houches", July 2011.

# Reservoir Engineering<sup>6</sup> and coherent feedback<sup>7</sup>



$$H = H_{\text{res}} + H_{\text{int}} + H_{\text{sys}}$$

$$\dots \rho \xrightarrow[t \rightarrow \infty]{} |\bar{\psi}\rangle\langle\bar{\psi}| \otimes \rho_{\text{res}} + \Delta, \text{ if } \kappa \gg \gamma \text{ then } \|\Delta\| \ll 1$$

<sup>6</sup>Introduced by Poyatos, Cirac and Zoller, 1996.

<sup>7</sup>See, e.g., the lectures of H. Mabuchi delivered at the "école de physique des Houches", July 2011.

# Reservoir engineering (coherent feedback) v.s. measurement-based feedback

## Advantages over measurement-based feedback

- ▶ Does not require knowing the measurement result.
- ▶ No external intervention on small time scale.

## Difficulty

- ▶ For each target state  $|\bar{\psi}\rangle$ , engineer a coupling to the reservoir which drives  $\rho$  to  $\rho_{res} \otimes |\bar{\psi}\rangle\langle\bar{\psi}|$ , compatible with lab constraints.

## Reservoir engineering for discrete-time systems

Data:  $\mathcal{H}_S$  with Hamiltonian  $H_S$ , a pure goal state  $\bar{\rho}_S = |\bar{\psi}_S\rangle\langle\bar{\psi}_S|$ .

**Find a "realistic" meter system** of Hilbert space  $\mathcal{H}_M$  with initial state  $|\theta_M\rangle$ , with Hamiltonian  $H_M$  and interaction Hamiltonian  $H_{int}$  such that

1. the propagator  $U_{S,M} = U(T)$  between 0 and time  $T$  ( $\frac{d}{dt}U = -i(H_S + H_M + H_{int})U$ ,  $U(0) = \mathbb{I}$ ) reads:

$$\forall |\psi_S\rangle \in \mathcal{H}_S, \quad U_{S,M}(|\psi_S\rangle \otimes |\theta_M\rangle) = \sum_{\mu} (M_{\mu}|\psi_S\rangle) \otimes |\lambda_{\mu}\rangle$$

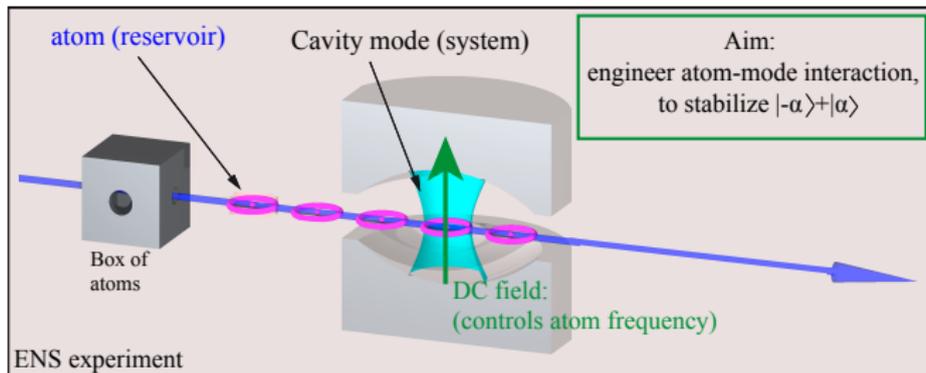
where  $|\lambda_{\mu}\rangle$  is a ortho-normal basis of  $\mathcal{H}_M$ .

2. the resulting measurement operators  $M_{\mu}$  admit  $|\bar{\psi}_S\rangle$  as common eigen-vector, i.e.,  $\bar{\rho}_S$  is a fixed point of the Kraus map  $\mathbf{K}(\rho) = \sum_{\mu} M_{\mu}\rho M_{\mu}^{\dagger}$ :  $\mathbf{K}(\bar{\rho}_S) = \bar{\rho}_S$ .
3. iterates of  $\mathbf{K}$  converge to  $\bar{\rho}_S$  for any initial condition  $\rho_0$ :

$$\lim_{k \rightarrow +\infty} \rho_k = \bar{\rho}_S \text{ where } \rho_k = \mathbf{K}(\rho_{k-1}).$$

Here the reservoir is made of the infinite set of identical meter systems with initial state  $|\theta_M\rangle$  at  $t = (k - 1)T$  and interacting with  $\mathcal{H}_S$  during  $[(k - 1)T, kT]$ ,  $k = 1, 2, \dots$

## Reservoir stabilizing "Schrödinger cats" <sup>8</sup>



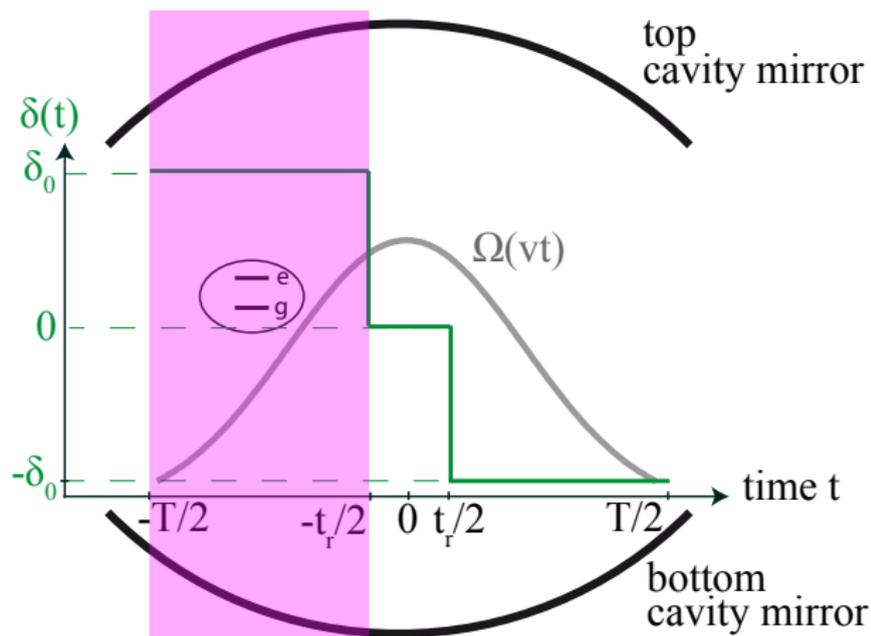
Here  $\mathcal{H}_S = \mathcal{H}_c = \{ \sum_{n \geq 0} \psi_n |n\rangle, (\psi_n)_{n \geq 0} \in \ell^2(\mathbb{C}) \}$  and  $\mathcal{H}_M = \mathcal{H}_q = \{ c_g |g\rangle + c_e |e\rangle, c_g, c_e \in \mathbb{C} \}$ .  
 $H_S + H_M + H_{int}$  is the Jaynes-Cummings Hamiltonian

$$\mathbf{H}(t) = \omega_c \mathbf{a}^\dagger \mathbf{a} + \frac{\delta(t)}{2} \boldsymbol{\sigma}_z + i \frac{\Omega(vt)}{2} (\mathbf{a}^\dagger |g\rangle \langle e| - \mathbf{a} |e\rangle \langle g|)$$

which is time varying with control  $\delta(t) = \omega_q(t) - \omega_c$  and Gaussian radial profile  $\Omega(x) = \Omega_0 e^{-\frac{x^2}{w^2}}$ ,  $x = vt$  with  $v$  atom velocity.

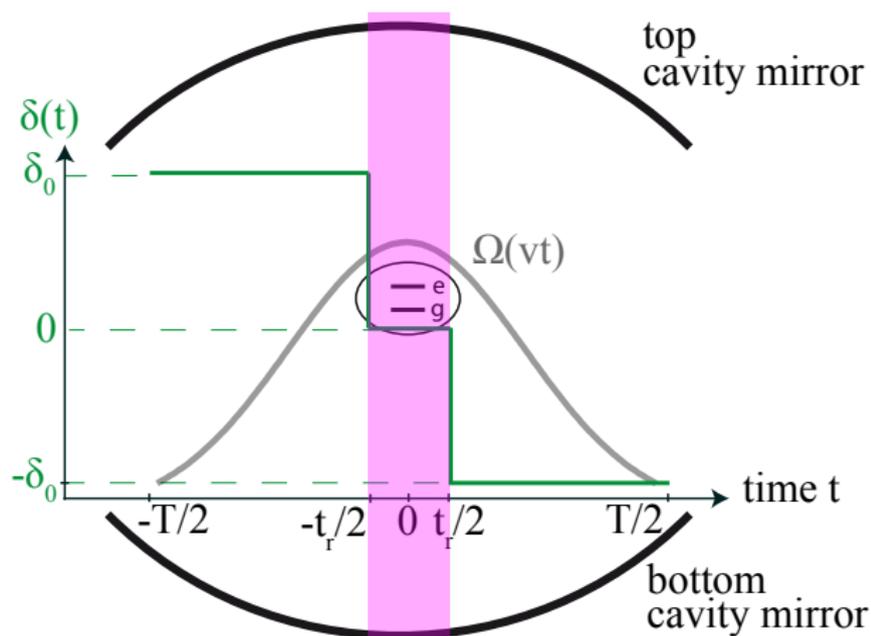
<sup>8</sup>A. Sarlette, Z. Leghtas, M. Brune, J.M. Raimond, P.R.: Stabilization of nonclassical states of one and two-mode radiation fields by reservoir engineering. Phys. Rev. A 86, 012114 (2012)

## Composite interaction



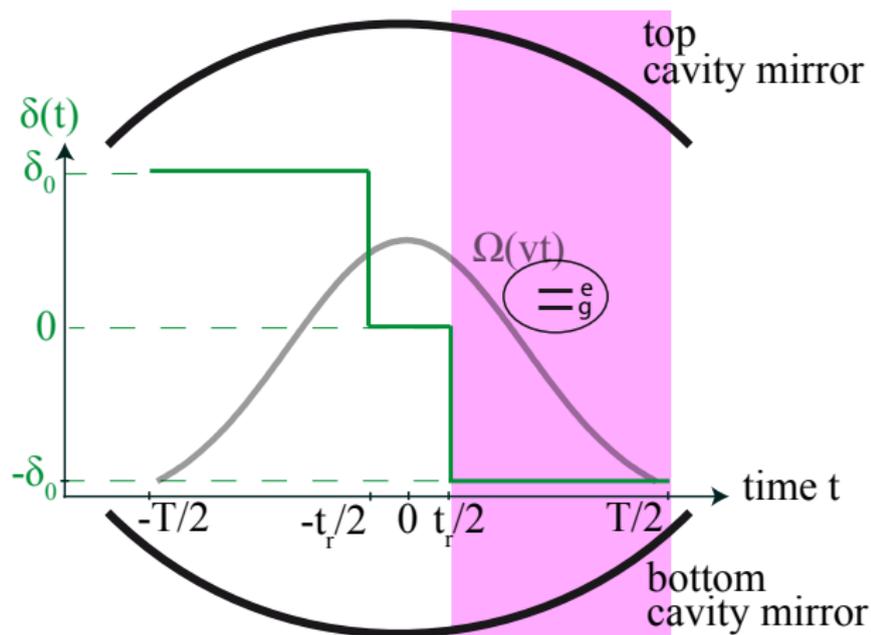
$$U = U_{\text{off-resonant}}$$

## Composite interaction



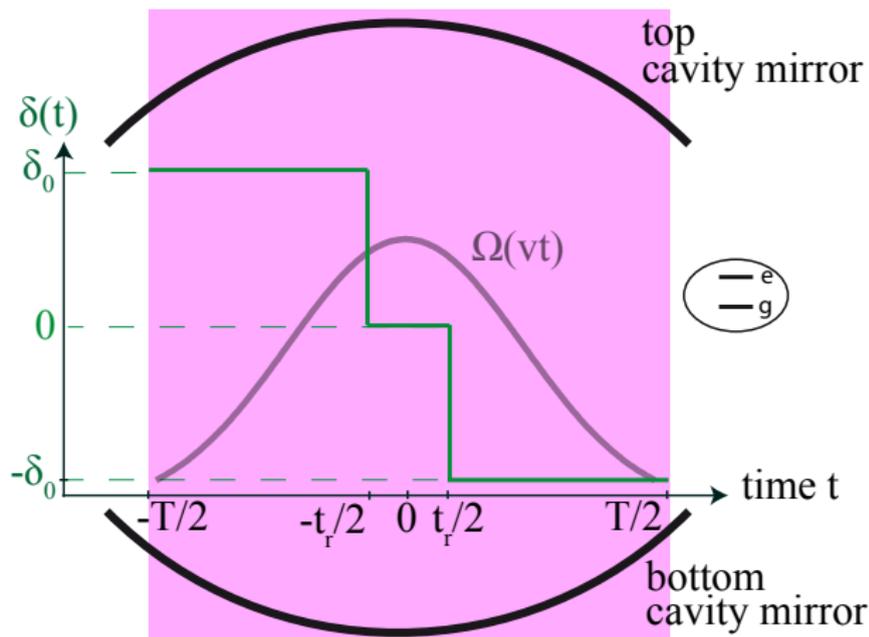
$$U = U_{\text{resonant}} U_{\text{off-resonant}}$$

## Composite interaction



$$U = U_{\text{off-resonant}}^\dagger U_{\text{resonant}} U_{\text{off-resonant}}$$

## Composite interaction



$$U \approx e^{-i\phi_{\text{Kerr}} n^2} U_{\text{resonant}} e^{i\phi_{\text{Kerr}} n^2}$$

**Simulation: convergence toward the cat from vacuum  $\rho_0 = |0\rangle\langle 0|$**

**Simulation: convergence after a cat jump**

## Reservoir engineering for continuous time systems

Data:  $\mathcal{H}_S$  with Hamiltonian  $H_S$ , a pure goal state  $\bar{\rho}_S = |\bar{\psi}_S\rangle\langle\bar{\psi}_S|$ .

**Find a "realistic" controller** of Hilbert space  $\mathcal{H}_M$ , with Hamiltonian  $H_M$  and interaction Hamiltonian  $H_{int}$  and Lindblad operators  $L_\mu$  acting only on  $\mathcal{H}_M$  such that

1. the Lindblad master equation of the composite system  $\mathcal{H}_S \otimes \mathcal{H}_M$  governing the density operator  $\rho$  evolution

$$\frac{d}{dt}\rho = -i\left[H_S + H_M + H_{int}, \rho\right] + \sum_{\mu} L_{\mu}\rho L_{\mu}^{\dagger} - \frac{1}{2}L_{\mu}^{\dagger}L_{\mu}\rho - \frac{1}{2}\rho L_{\mu}^{\dagger}L_{\mu}$$

admits a separable steady state  $\bar{\rho} = \bar{\rho}_S \otimes \bar{\rho}_M$  for some density operator  $\bar{\rho}_M$  on  $\mathcal{H}_M$ .

2. For any initial condition  $\rho(0)$ ,  $\lim_{t \rightarrow +\infty} \rho(t) = \bar{\rho}$ .

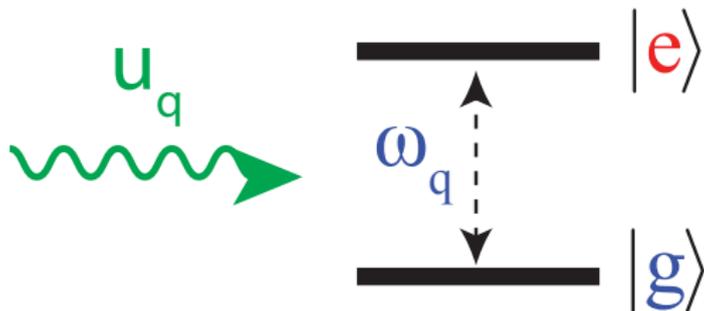
**Example:** cavity cooling towards  $\bar{\rho}_S = |0\rangle\langle 0|$  with a qubit-controller

$$H_S = \omega_c \mathbf{a}^{\dagger} \mathbf{a}, \quad H_M = \frac{\delta}{2}(|e\rangle\langle e| - |g\rangle\langle g|), \quad H_{int} = \frac{\Omega}{2}(\mathbf{a}^{\dagger} |g\rangle\langle e| + \mathbf{a} |e\rangle\langle g|)$$

when  $|e\rangle$  is unstable of life-time  $T_q$ :  $L = \sqrt{\frac{1}{T_q}}|g\rangle\langle e|$ ,  $\bar{\rho} = |0\rangle\langle 0| \otimes |g\rangle\langle g|$   
and  $\frac{d}{dt} \text{Tr}(\bar{\rho}\rho) = \frac{\text{Tr}(|0\rangle\langle 0| \otimes |e\rangle\langle e| \rho)}{T_q} \geq 0$  as Lyapunov function .

## A qubit: 2 level system

- ▶ State space:  $\mathcal{H}_q = \{c_g|g\rangle + c_e|e\rangle, c_g, c_e \in \mathbb{C}\}$ .
- ▶ Operators:  $\sigma_z = |e\rangle\langle e| - |g\rangle\langle g|$ ,  $\sigma_x = |e\rangle\langle g| + |g\rangle\langle e|$ ,  
 $\sigma_y = -i|e\rangle\langle g| + i|g\rangle\langle e|$ .
- ▶ Hamiltonian:  $H_q = \omega_q\sigma_z/2 + u_q\sigma_x$ .



## A cavity: quantum harmonic oscillator

▶ State space:  $\mathcal{H}_c = \{ \sum_{n \geq 0} \psi_n |n\rangle, (\psi_n)_{n \geq 0} \in \ell^2(\mathbb{C}) \}$ .

▶  $\mathcal{D} = \{ \rho \in \mathcal{L}(\mathcal{H}_c), \rho^\dagger = \rho, \text{Tr}(\rho) = 1, \rho \geq 0 \}$ .

▶ Operators:

$$\mathbf{a}|n\rangle = \sqrt{n}|n-1\rangle, \quad \mathbf{a}^\dagger|n\rangle = \sqrt{n+1}|n+1\rangle,$$

$$\mathbf{n}|n\rangle = \mathbf{a}^\dagger \mathbf{a}|n\rangle = n|n\rangle, \quad \mathbf{D}_\alpha = e^{\alpha \mathbf{a}^\dagger - \alpha^\dagger \mathbf{a}}.$$

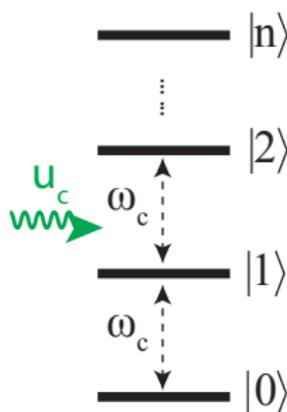
▶ Hamiltonian:  $\mathbf{H}_c = \omega_c \mathbf{a}^\dagger \mathbf{a} + u_c (\mathbf{a} + \mathbf{a}^\dagger)$ .

▶ Coherent state of amplitude  $\alpha \in \mathbb{C}$ :

$$|\alpha\rangle = \sum_{n \geq 0} \left( e^{-|\alpha|^2/2} \frac{\alpha^n}{\sqrt{n!}} \right) |n\rangle.$$

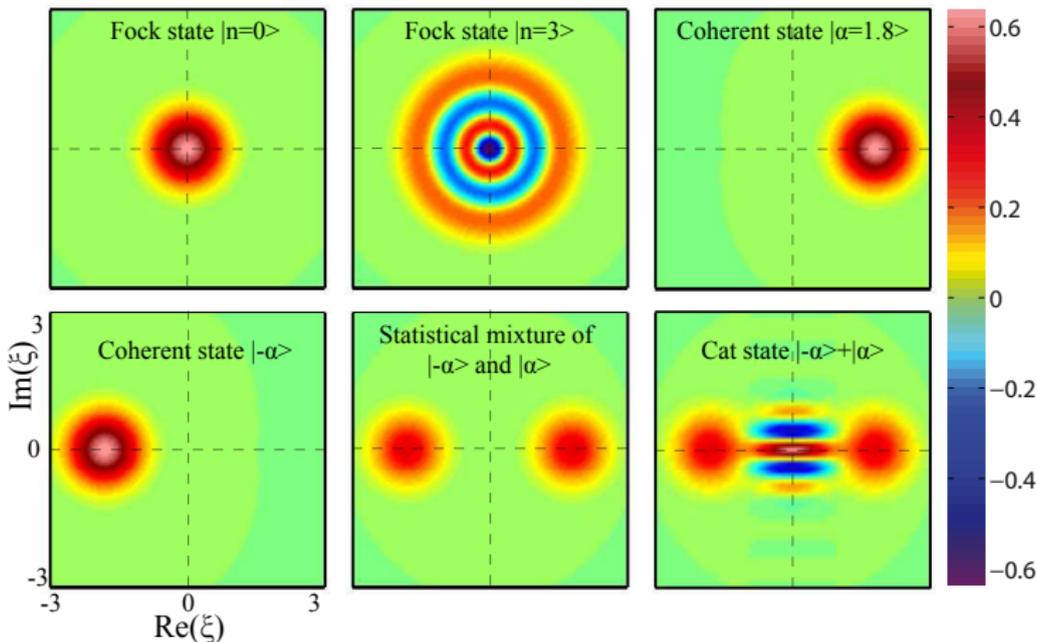
▶  $\mathbf{a}|\alpha\rangle = \alpha|\alpha\rangle$ .

▶  $\mathbf{D}_\alpha|0\rangle = |\alpha\rangle$ .



## Cavity state representation: the Wigner function

$$W_\rho : \mathbb{C} \ni \xi \rightarrow \frac{2}{\pi} \text{Tr} \left( e^{i\pi a^\dagger a} \mathbf{D}_{-\xi} \rho \mathbf{D}_\xi \right) \in \mathbb{R}$$



## Harmonic oscillator<sup>9</sup> (1): quantization and correspondence principle

Classical Hamiltonian formulation of  $\frac{d^2}{dt^2}x = -\omega^2x$

$$\frac{d}{dt}x = \omega p = \frac{\partial \mathbb{H}}{\partial p}, \quad \frac{d}{dt}p = -\omega x = -\frac{\partial \mathbb{H}}{\partial x}, \quad \mathbb{H} = \frac{\omega}{2}(p^2 + x^2).$$

**Quantization:** probability wave function  $|\psi\rangle_t \sim (\psi(x, t))_{x \in \mathbb{R}}$  with  $|\psi\rangle_t \sim \psi(\cdot, t) \in L^2(\mathbb{R}, \mathbb{C})$  obeys to the Schrödinger equation ( $\hbar = 1$  in all the lectures)

$$i \frac{d}{dt}|\psi\rangle = H|\psi\rangle, \quad H = \omega(P^2 + X^2) = -\frac{\omega}{2} \frac{\partial^2}{\partial x^2} + \frac{\omega}{2} x^2$$

where  $H$  results from  $\mathbb{H}$  by replacing  $x$  by position operator  $\sqrt{2}X$  and  $p$  by impulsion operator  $\sqrt{2}P = -i\frac{\partial}{\partial x}$ .

**PDE model:**  $i \frac{\partial \psi}{\partial t}(x, t) = -\frac{\omega}{2} \frac{\partial^2 \psi}{\partial x^2}(x, t) + \frac{\omega}{2} x^2 \psi(x, t), \quad x \in \mathbb{R}.$

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<sup>9</sup>Two references: C. Cohen-Tannoudji, B. Diu, and F. Laloë. *Mécanique Quantique*, volume I& II. Hermann, Paris, 1977.

M. Barnett and P. M. Radmore. *Methods in Theoretical Quantum Optics*. Oxford University Press, 2003.

## Harmonic oscillator (2): annihilation and creation operators

Averaged position  $\langle X \rangle_t = \langle \psi | X | \psi \rangle$  and impulsion  $\langle P \rangle_t = \langle \psi | P | \psi \rangle$ <sup>10</sup>:

$$\langle X \rangle_t = \frac{1}{\sqrt{2}} \int_{-\infty}^{+\infty} x |\psi|^2 dx, \quad \langle P \rangle_t = -\frac{i}{\sqrt{2}} \int_{-\infty}^{+\infty} \psi^* \frac{\partial \psi}{\partial x} dx.$$

**Annihilation**  $a$  and **creation** operators  $a^\dagger$ :

$$a = X + iP = \frac{1}{\sqrt{2}} \left( x + \frac{\partial}{\partial x} \right), \quad a^\dagger = X - iP = \frac{1}{\sqrt{2}} \left( x - \frac{\partial}{\partial x} \right)$$

**Commutation relationships:**

$$[X, P] = \frac{i}{2}, \quad [a, a^\dagger] = 1, \quad H = \omega(P^2 + X^2) = \omega \left( a^\dagger a + \frac{1}{2} \right).$$

Set  $X_\lambda = \frac{1}{2} (e^{-i\lambda} a + e^{i\lambda} a^\dagger)$  for any angle  $\lambda$ :

$$\left[ X_\lambda, X_{\lambda + \frac{\pi}{2}} \right] = \frac{i}{2}.$$

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<sup>10</sup>We assume everywhere that for each  $t$ ,  $x \mapsto \psi(x, t)$  is of the Schwartz class (fast decay at infinity + smooth).

### Harmonic oscillator (3): spectral decomposition and Fock states

$[a, a^\dagger] = 1$  and  $\text{Ker}(a)$  of dimension one imply that the spectrum of  $N = a^\dagger a$  is non-degenerate and is  $\mathbb{N}$ . More we have the useful commutations for any entire function  $f$ :

$$a f(N) = f(N + 1) a, \quad f(N) a^\dagger = a^\dagger f(N + 1).$$

**Fock state** with  $n$  photon(s): the eigen-state of  $N$  associated to the eigen-value  $n$ :

$$N|n\rangle = n|n\rangle, \quad a|n\rangle = \sqrt{n} |n - 1\rangle, \quad a^\dagger|n\rangle = \sqrt{n + 1} |n + 1\rangle.$$

The **ground state**  $|0\rangle$  (0 photon state or vacuum state) satisfies  $a|0\rangle = 0$  and corresponds to the **Gaussian function**:

$$|0\rangle \sim \psi_0(x) = \frac{1}{\pi^{1/4}} \exp(-x^2/2).$$

The operator  $a$  (resp.  $a^\dagger$ ) is the annihilation (resp. creation) operator since it transfers  $|n\rangle$  to  $|n - 1\rangle$  (resp.  $|n + 1\rangle$ ) and thus decreases (resp. increases) the quantum number  $n$  by one unit.

## Harmonic oscillator (4): displacement operator

Quantization of  $\frac{d^2}{dt^2}x = -\omega^2x - \omega\sqrt{2}u$

$$H = \omega \left( a^\dagger a + \frac{1}{2} \right) + u(a + a^\dagger).$$

The associated controlled PDE

$$i\frac{\partial\psi}{\partial t}(x, t) = -\frac{\omega}{2}\frac{\partial^2\psi}{\partial x^2}(x, t) + \left(\frac{\omega}{2}x^2 + \sqrt{2}ux\right)\psi(x, t).$$

Glauber **displacement operator**  $D_\alpha$  (unitary) with  $\alpha \in \mathbb{C}$ :

$$D_\alpha = e^{\alpha a^\dagger - \alpha^* a} = e^{2i\Im\alpha X - 2i\Re\alpha P}$$

From **Baker-Campbell Hausdorff formula** valid for any operators  $A$  and  $B$ ,

$$e^A B e^{-A} = B + [A, B] + \frac{1}{2!}[A, [A, B]] + \frac{1}{3!}[A, [A, [A, B]]] + \dots$$

we get the **Glauber formula** when  $[A, [A, B]] = [B, [A, B]] = 0$ :

$$e^{A+B} = e^A e^B e^{-\frac{1}{2}[A, B]}.$$

(show that  $C_t = e^{t(A+B)} - e^{tA} e^{tB} e^{-\frac{t^2}{2}[A, B]}$  satisfies  $\frac{d}{dt}C = (A+B)C$ )

## Harmonic oscillator (5): identities resulting from Glauber formula

With  $A = \alpha a^\dagger$  and  $B = -\alpha^* a$ , Glauber formula gives:

$$D_\alpha = e^{-\frac{|\alpha|^2}{2}} e^{\alpha a^\dagger} e^{-\alpha^* a} = e^{+\frac{|\alpha|^2}{2}} e^{-\alpha^* a} e^{\alpha a^\dagger}$$

$$D_{-\alpha} a D_\alpha = a + \alpha \quad \text{and} \quad D_{-\alpha} a^\dagger D_\alpha = a^\dagger + \alpha^*.$$

With  $A = 2i\Im\alpha X \sim i\sqrt{2}\Im\alpha x$  and  $B = -2i\Re\alpha P \sim -\sqrt{2}\Re\alpha \frac{\partial}{\partial x}$ , Glauber formula gives<sup>11</sup>:

$$D_\alpha = e^{-i\Re\alpha\Im\alpha} e^{i\sqrt{2}\Im\alpha x} e^{-\sqrt{2}\Re\alpha \frac{\partial}{\partial x}}$$

$$(D_\alpha |\psi\rangle)_{x,t} = e^{-i\Re\alpha\Im\alpha} e^{i\sqrt{2}\Im\alpha x} \psi(x - \sqrt{2}\Re\alpha, t)$$

### Exercise

For any  $\alpha, \beta, \epsilon \in \mathbb{C}$ , prove that

$$D_{\alpha+\beta} = e^{\frac{\alpha^* \beta - \alpha \beta^*}{2}} D_\alpha D_\beta$$

$$D_{\alpha+\epsilon} D_{-\alpha} = \left(1 + \frac{\alpha \epsilon^* - \alpha^* \epsilon}{2}\right) \mathbb{I} + \epsilon a^\dagger - \epsilon^* a + O(|\epsilon|^2)$$

$$\left(\frac{d}{dt} D_\alpha\right) D_{-\alpha} = \left(\frac{\alpha \frac{d}{dt} \alpha^* - \alpha^* \frac{d}{dt} \alpha}{2}\right) \mathbb{I} + \left(\frac{d}{dt} \alpha\right) a^\dagger - \left(\frac{d}{dt} \alpha^*\right) a.$$

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<sup>11</sup>Remember that a time-delay of  $r$  corresponds to the operator  $e^{-r \frac{d}{dt}}$ .

## Harmonic oscillator (6): lack of controllability

Take  $|\psi\rangle$  solution of the **controlled Schrödinger equation**

$i\frac{d}{dt}|\psi\rangle = (\omega(a^\dagger a + \frac{1}{2}) + u(a + a^\dagger))|\psi\rangle$ . Set  $\langle a \rangle = \langle \psi | a | \psi \rangle$ . Then

$$\frac{d}{dt}\langle a \rangle = -i\omega\langle a \rangle - iu.$$

From  $a = X + iP$ , we have  $\langle a \rangle = \langle X \rangle + i\langle P \rangle$  where  $\langle X \rangle = \langle \psi | X | \psi \rangle \in \mathbb{R}$  and  $\langle P \rangle = \langle \psi | P | \psi \rangle \in \mathbb{R}$ . Consequently:

$$\frac{d}{dt}\langle X \rangle = \omega\langle P \rangle, \quad \frac{d}{dt}\langle P \rangle = -\omega\langle X \rangle - u.$$

Consider the **change of frame**  $|\psi\rangle = e^{-i\theta_t} D_{\langle a \rangle_t} |\chi\rangle$  with

$$\theta_t = \int_0^t (|\langle a \rangle|^2 + u\Re(\langle a \rangle)) dt, \quad D_{\langle a \rangle_t} = e^{\langle a \rangle_t a^\dagger - \langle a \rangle_t^* a},$$

Then  $|\chi\rangle$  obeys to **autonomous Schrödinger equation**

$$i \frac{d}{dt} |\chi\rangle = \omega a^\dagger a |\chi\rangle.$$

The dynamics of  $|\psi\rangle$  can be decomposed into two parts:

- ▶ a **controllable part of dimension two** for  $\langle a \rangle$
- ▶ an uncontrollable part of infinite dimension for  $|\chi\rangle$ .

## Harmonic oscillator (7): coherent states as reachable ones from $|0\rangle$

### Coherent states

$$|\alpha\rangle = D_\alpha|0\rangle = e^{-\frac{|\alpha|^2}{2}} \sum_{n=0}^{+\infty} \frac{\alpha^n}{\sqrt{n!}} |n\rangle, \quad \alpha \in \mathbb{C}$$

are the states reachable from vacuum set. They are also the **eigen-state** of  $a$ :  $a|\alpha\rangle = \alpha|\alpha\rangle$ .

A widely known result in quantum optics<sup>12</sup>: classical currents and sources (generalizing the role played by  $u$ ) only generate classical light (**quasi-classical states** of the quantized field generalizing the coherent state introduced here)

We just propose here a control theoretic interpretation in terms of reachable set from vacuum<sup>13</sup>

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<sup>12</sup>See complement  $B_{III}$ , page 217 of C. Cohen-Tannoudji, J. Dupont-Roc, and G. Grynberg. *Photons and Atoms: Introduction to Quantum Electrodynamics*. Wiley, 1989.

<sup>13</sup>see also: MM-PR, IEEE Trans. Automatic Control, 2004 and MM-PR, CDC-ECC, 2005.