

# Quantum Systems: Dynamics and Control<sup>1</sup>

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- 1 Pulse shaping with adiabatic control
- 2 Pulse shaping with optimal control

**1** Pulse shaping with adiabatic control

2 Pulse shaping with optimal control

## Time-adiabatic approximation without gap conditions<sup>5</sup>


Take  $m + 1$  Hermitian matrices  $n \times n$ :  $\mathbf{H}_0, \dots, \mathbf{H}_m$ . For  $u \in \mathbb{R}^m$  set  $\mathbf{H}(u) := \mathbf{H}_0 + \sum_{k=1}^m u_k \mathbf{H}_k$ . Assume that  $u$  is a **slowly varying time-function**:  $u = u(s)$  with  $s = \epsilon t \in [0, 1]$  and  $\epsilon$  a small positive parameter. Consider a solution  $[0, \frac{1}{\epsilon}] \ni t \mapsto |\psi\rangle_t^\epsilon$  of

$$i \frac{d}{dt} |\psi\rangle_t^\epsilon = \mathbf{H}(u(\epsilon t)) |\psi\rangle_t^\epsilon.$$

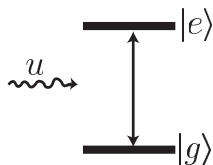
Take  $[0, 1] \ni s \mapsto \mathbf{P}(s)$  a **family of orthogonal projectors** such that for each  $s \in [0, 1]$ ,  $\mathbf{H}(u(s))\mathbf{P}(s) = E(s)\mathbf{P}(s)$  where  $E(s)$  is an eigenvalue of  $\mathbf{H}(u(s))$ . Assume that  $[0, 1] \ni s \mapsto \mathbf{H}(u(s))$  is  $C^2$ ,  $[0, 1] \ni s \mapsto \mathbf{P}(s)$  is  $C^2$  and that, **for almost all**  $s \in [0, 1]$ ,  $\mathbf{P}(s)$  is the **orthogonal projector on the eigenspace** associated to the eigenvalue  $E(s)$ . Then

$$\lim_{\epsilon \rightarrow 0^+} \left( \sup_{t \in [0, \frac{1}{\epsilon}]} \left| \|\mathbf{P}(\epsilon t) |\psi\rangle_t^\epsilon\|^2 - \|\mathbf{P}(0) |\psi\rangle_0^\epsilon\|^2 \right| \right) = 0.$$

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<sup>5</sup>Theorem 6.2, page 175 of *Adiabatic Perturbation Theory in Quantum Dynamics*, by S. Teufel, Lecture notes in Mathematics, Springer, 2003. 

# Chirped control of a 2-level system (1)



$$i\frac{d}{dt}|\psi\rangle = \left(\frac{\omega_{eg}}{2}\sigma_z + \frac{u}{2}\sigma_x\right)|\psi\rangle \text{ with quasi-resonant control } (|\omega_r - \omega_{eg}| \ll \omega_{eg})$$

$$u(t) = v(e^{i(\omega_r t + \theta)} + e^{-i(\omega_r t + \theta)})$$

where  $v, \theta \in \mathbb{R}$ ,  $|v|$  and  $|\frac{d\theta}{dt}|$  are small and slowly varying:

$$|v|, \left|\frac{d\theta}{dt}\right| \ll \omega_{eg}, \left|\frac{dv}{dt}\right| \ll \omega_{eg}|v|, \left|\frac{d^2\theta}{dt^2}\right| \ll \omega_{eg}\left|\frac{d\theta}{dt}\right|.$$

Passage to the interaction frame  $|\psi\rangle = e^{-i\frac{\omega_r t + \theta}{2}\sigma_z}|\phi\rangle$ :

$$i\frac{d}{dt}|\phi\rangle = \left(\frac{\omega_{eg} - \omega_r - \frac{d\theta}{dt}}{2}\sigma_z + \frac{ve^{2i(\omega_r t + \theta)} + v}{2}\sigma_+ + \frac{ve^{-2i(\omega_r t - \theta)} + v}{2}\sigma_-\right)|\phi\rangle.$$

Set  $\Delta_r = \omega_{eg} - \omega_r$  and  $w = -\frac{d\theta}{dt}$ , RWA yields following averaged Hamiltonian

$$\frac{\mathbf{H}_{\text{chirp}}}{\hbar} = \frac{\Delta_r + w}{2}\sigma_z + \frac{v}{2}\sigma_x$$

where  $(v, w)$  are two real control inputs.

## Chirped control of a 2-level system (2)

In  $\frac{H_{\text{chirp}}}{\hbar} = \frac{\Delta_r + w}{2} \sigma_z + \frac{v}{2} \sigma_x$  set, for  $s = \epsilon t$  varying in  $[0, \pi]$ ,  $w = a \cos(\epsilon t)$  and  $v = b \sin^2(\epsilon t)$ . **Spectral decomposition** of  $H_{\text{chirp}}$  for  $s \in ]0, \pi[$ :

$$\Omega_- = -\frac{\sqrt{(\Delta_r + w)^2 + v^2}}{2} \quad \text{with } |-\rangle = \frac{\cos \alpha |g\rangle - (1 - \sin \alpha) |e\rangle}{\sqrt{2(1 - \sin \alpha)}}$$
$$\Omega_+ = \frac{\sqrt{(\Delta_r + w)^2 + v^2}}{2} \quad \text{with } |+\rangle = \frac{(1 - \sin \alpha) |g\rangle + \cos \alpha |e\rangle}{\sqrt{2(1 - \sin \alpha)}}$$

where  $\alpha \in ]\frac{-\pi}{2}, \frac{\pi}{2}[$  is defined by  $\tan \alpha = \frac{\Delta_r + w}{v}$ . With  $a > |\Delta_r|$  and  $b > 0$

$$\lim_{s \rightarrow 0^+} \alpha = \frac{\pi}{2} \quad \text{implies} \quad \lim_{s \rightarrow 0^+} |-\rangle_s = |g\rangle, \quad \lim_{s \rightarrow 0^+} |+\rangle_s = |e\rangle$$

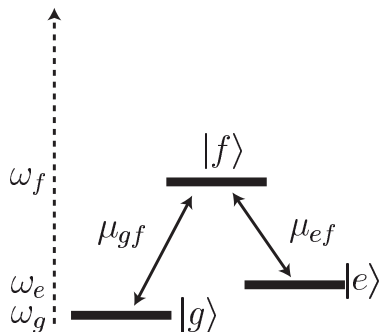
$$\lim_{s \rightarrow \pi^-} \alpha = -\frac{\pi}{2} \quad \text{implies} \quad \lim_{s \rightarrow \pi^-} |-\rangle_s = -|e\rangle, \quad \lim_{s \rightarrow \pi^-} |+\rangle_s = |g\rangle.$$

Adiabatic approximation: the solution of  $i\hbar \frac{d}{dt} |\phi\rangle = H_{\text{chirp}}(\epsilon t) |\phi\rangle$  starting from  $|\phi\rangle_0 = |g\rangle$  reads

$$|\phi\rangle_t = e^{i\vartheta_t} |-\rangle_{s=\epsilon t}, \quad t \in [0, \frac{\pi}{\epsilon}], \quad \text{with } \vartheta_t \text{ time-varying global phase.}$$

At  $t = \frac{\pi}{\epsilon}$ ,  $|\psi\rangle$  coincides with  $|e\rangle$  up to a global phase: **robustness** versus  $\Delta_r$ ,  $a$  and  $b$  (**ensemble controllability**).

# Stimulated Raman Adiabatic Passage (STIRAP) (1)



$$\begin{aligned} \frac{\mathbf{H}}{\hbar} = & \omega_g |g\rangle\langle g| + \omega_e |e\rangle\langle e| + \omega_f |f\rangle\langle f| \\ & + u\mu_{gf} (|g\rangle\langle f| + |f\rangle\langle g|) \\ & + u\mu_{ef} (|e\rangle\langle f| + |f\rangle\langle e|). \end{aligned}$$

Set  $\omega_{gf} = \omega_f - \omega_g$ ,  $\omega_{ef} = \omega_f - \omega_e$  and  $u = u_{gf} \cos(\omega_{gf}t) + u_{ef} \cos(\omega_{ef}t)$  with slowly varying small real amplitudes  $u_{gf}$  and  $u_{ef}$ .

Put  $i\frac{d}{dt}|\psi\rangle = \mathbf{H}|\psi\rangle$  in the interaction frame:

$$|\psi\rangle = e^{-it(\omega_g |g\rangle\langle g| + \omega_e |e\rangle\langle e| + \omega_f |f\rangle\langle f|)} |\phi\rangle.$$

Rotation Wave Approximation yields  $i\hbar\frac{d}{dt}|\phi\rangle = \mathbf{H}_{\text{rwa}}|\phi\rangle$  with

$$\frac{\mathbf{H}_{\text{rwa}}}{\hbar} = \frac{\Omega_{gf}}{2} (|g\rangle\langle f| + |f\rangle\langle g|) + \frac{\Omega_{ef}}{2} (|e\rangle\langle f| + |f\rangle\langle e|)$$

with slowly varying Rabi pulsations  $\Omega_{gf} = \mu_{gf}u_{gf}$  and

$$\Omega_{ef} = \mu_{ef}u_{ef}.$$

## Stimulated Raman Adiabatic Passage (STIRAP) (2)

Spectral decomposition: as soon as  $\Omega_{gf}^2 + \Omega_{ef}^2 > 0$ ,

$\frac{\Omega_{gf}(|g\rangle\langle f| + |f\rangle\langle g|)}{2} + \frac{\Omega_{ef}(|e\rangle\langle f| + |f\rangle\langle e|)}{2}$  admits 3 distinct eigenvalues,

$$\Omega_- = -\frac{\sqrt{\Omega_{gf}^2 + \Omega_{ef}^2}}{2}, \quad \Omega_0 = 0, \quad \Omega_+ = \frac{\sqrt{\Omega_{gf}^2 + \Omega_{ef}^2}}{2}.$$

They correspond to the following 3 eigenvectors,

$$|-\rangle = \frac{\Omega_{gf}}{\sqrt{2(\Omega_{gf}^2 + \Omega_{ef}^2)}}|g\rangle + \frac{\Omega_{ef}}{\sqrt{2(\Omega_{gf}^2 + \Omega_{ef}^2)}}|e\rangle - \frac{1}{\sqrt{2}}|f\rangle$$

$$|0\rangle = \frac{-\Omega_{ef}}{\sqrt{\Omega_{gf}^2 + \Omega_{ef}^2}}|g\rangle + \frac{\Omega_{gf}}{\sqrt{\Omega_{gf}^2 + \Omega_{ef}^2}}|e\rangle$$

$$|+\rangle = \frac{\Omega_{gf}}{\sqrt{2(\Omega_{gf}^2 + \Omega_{ef}^2)}}|g\rangle + \frac{\Omega_{ef}}{\sqrt{2(\Omega_{gf}^2 + \Omega_{ef}^2)}}|e\rangle + \frac{1}{\sqrt{2}}|f\rangle.$$

For  $\epsilon t = s \in [0, \frac{3\pi}{2}]$  and  $\bar{\Omega}_g, \bar{\Omega}_e > 0$ , the adiabatic control

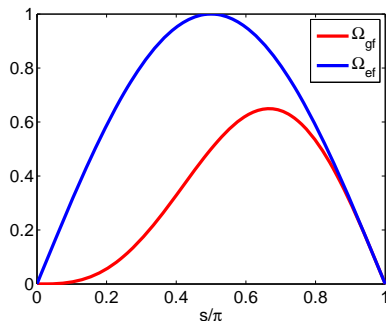
$$\Omega_{gf}(s) = \begin{cases} 0, & \text{for } s \in [0, \frac{\pi}{2}]; \\ \bar{\Omega}_g \cos^2 s, & \text{for } s \in [\frac{\pi}{2}, \frac{3\pi}{2}]; \end{cases}, \quad \Omega_{ef}(s) = \begin{cases} \bar{\Omega}_e \sin^2 s, & \text{for } s \in [0, \pi]; \\ 0, & \text{for } s \in [\pi, \frac{3\pi}{2}]. \end{cases}$$

provides the passage from  $|g\rangle$  at  $t = 0$  to  $|e\rangle$  at  $\epsilon t = \frac{3\pi}{2}$ .



## Exercise

Design an adiabatic passage  $s \mapsto (\Omega_{gf}(s), \Omega_{ef}(s))$  from  $|g\rangle$  to  $\frac{-|g\rangle+|e\rangle}{\sqrt{2}}$ , up to a global phase.



Take, e.g.,  $s = \epsilon t \in [0, \pi]$   
and  $\bar{\Omega} > 0$ , and set

$$\Omega_{gf}(s) = \frac{\bar{\Omega}}{2} \sin s - \frac{\bar{\Omega}}{4} \sin 2s$$

$$\Omega_{ef}(s) = \bar{\Omega} \sin s$$

$$\text{Results from } |0\rangle = \frac{-\Omega_{ef}}{\sqrt{\Omega_{gf}^2 + \Omega_{ef}^2}} |g\rangle + \frac{\Omega_{gf}}{\sqrt{\Omega_{gf}^2 + \Omega_{ef}^2}} |e\rangle$$

# Principle of quantum annealing

- Consider the following classical combinatorial problem. For a large integer  $n > 0$  and a collection  $(\lambda_{i,j})_{1 \leq i,j \leq n}$  of real numbers, find the argument  $\bar{x}$  of the minimum for

$$\{-1, +1\}^n \ni x \mapsto \Lambda(x) = \sum_{1 \leq i,j \leq n} \lambda_{i,j} x_i x_j.$$

- Assume that we have a  $n$ -qubit (wave function  $|\psi\rangle$  in  $(\mathbb{C}^2)^{\otimes n} \equiv \mathbb{C}^{2^n}$ ) with a scalar control  $u$  and with Hamiltonian

$$\mathbf{H}(u) = \sum_{1 \leq i,j \leq n} \lambda_{i,j} \sigma_z^{(i)} \sigma_z^{(j)} + u \sum_{1 \leq i \leq n} \sigma_x^{(i)}.$$

- Consider a smooth decreasing function  $f$  on  $[0, 1]$  with  $f(0) \gg \max_{1 \leq i,j \leq n} |\lambda_{i,j}|$  and  $f(1) = 0$ . Assume that, for any  $u \in [0, f(0)]$ , the smallest eigenvalue of  $\mathbf{H}_u$  is not degenerate.

- By the adiabatic theorem, for  $\epsilon > 0$  small enough, the solution of

$i \frac{d}{dt} |\psi\rangle = \mathbf{H}(f(\epsilon t)) |\psi\rangle$  starting from  $|\psi\rangle_0 = \left( \frac{|g\rangle - |e\rangle}{\sqrt{2}} \right)^{\otimes n}$  is close at time  $t = 1/\epsilon$  to the separable state  $|q_1\rangle \otimes |q_2\rangle \otimes \dots \otimes |q_n\rangle$  where  $|q_i\rangle = |g\rangle$  (resp  $|e\rangle$ ) when  $\bar{x}_i = -1$  (resp.  $\bar{x}_i = +1$ ).

- The measure of  $\sigma_z$  for each qubit gives then the solution  $\bar{x}$  of such a combinatorial problem.

1 Pulse shaping with adiabatic control

**2** Pulse shaping with optimal control

**Goal:** transfer the population from  $|\psi_i\rangle$  to  $|\psi_f\rangle$  for

$$i\frac{d}{dt}|\psi\rangle = \left( \mathbf{H}_0 + \sum_{k=1}^m u_k(t)\mathbf{H}_1 \right) |\psi\rangle.$$

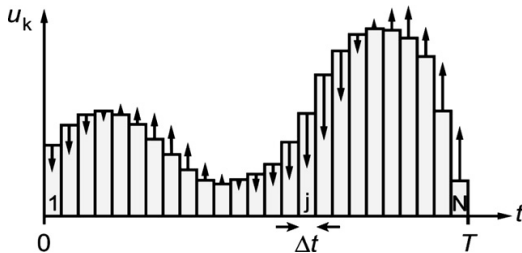
Derived from the unitary operator  $\mathbf{U}_u(t)$ , generated by the above Schrödinger equation, we set the functional

$$u([0, T]) \mapsto F(u) = \left| \langle \psi_{\text{end}} | \mathbf{U}_u(T) | \psi_{\text{ini}} \rangle \right|^2.$$

We wish to reach the maximum of this functional.

# Gradient ascent pulse engineering (GRAPE)

We discretize the problem



$$F(u) = \left| \langle \psi_{\text{end}} | \mathbf{U}_N \mathbf{U}_{N-1} \cdots \mathbf{U}_1 | \psi_{\text{ini}} \rangle \right|^2, \quad \mathbf{U}_j = \exp \left( -i\Delta t (\mathbf{H}_0 + \sum_{k=1}^m u_k(j) \mathbf{H}_k) \right).$$

Defining

$$|\psi_{j,\text{end}}\rangle = \mathbf{U}_{j+1}^\dagger \cdots \mathbf{U}_N^\dagger |\psi_{\text{end}}\rangle, \quad |\psi_{j,\text{ini}}\rangle = \mathbf{U}_j \cdots \mathbf{U}_1 |\psi_{\text{ini}}\rangle$$

We have (up to second terms in  $\Delta t$ ):

$$\frac{\partial F}{\partial u_k(j)} \approx -i\Delta t \left( \langle \psi_{j,\text{end}} | \mathbf{H}_k | \psi_{j,\text{ini}} \rangle \langle \psi_{j,\text{ini}} | \psi_{j,\text{end}} \rangle - \langle \psi_{j,\text{ini}} | \mathbf{H}_k | \psi_{j,\text{end}} \rangle \langle \psi_{j,\text{end}} | \psi_{j,\text{ini}} \rangle \right).$$

# GRAPE algorithm

- 1 Start with an initial control guess  $u_k(j)$  (important because of local maxima).
- 2 Calculate for all  $j$ ,  $|\psi_{j,\text{ini}}\rangle = \mathbf{U}_j \cdots \mathbf{U}_1 |\psi_{\text{ini}}\rangle$ .
- 3 Calculate for all  $j$ ,  $|\psi_{j,\text{end}}\rangle = \mathbf{U}_{j+1}^\dagger \cdots \mathbf{U}_N^\dagger |\psi_{\text{end}}\rangle$ .
- 4 Evaluate  $\frac{\partial F}{\partial u_k(j)}$  and update the  $m \times N$  control amplitudes  $u_k(j)$  according to

$$u_k(j) \rightarrow u_k(j) + \epsilon \frac{\partial F}{\partial u_k(j)}.$$

with  $\epsilon > 0$  and small enough.

- 5 Go to step 2.

Algorithm terminates if the change in functional is smaller than a threshold.

**Limited control amplitudes:** we add a penalty functional parameterized by  $\alpha_k > 0$  with  $k = 1, \dots, m$ . Functional  $F$  is replaced by  $F + F_{\text{pen}}$  with

$$F_{\text{pen}} = -\frac{1}{2} \sum_{j=1}^N \sum_{k=1}^m \alpha_k u_k^2(j) \Delta t, \quad \text{with} \quad \frac{\partial F_{\text{pen}}}{\partial u_k(j)} = -\alpha_k u_k(j) \Delta t.$$

## Another approach: two optimal control problems

For given  $T$ ,  $|\psi_{\text{ini}}\rangle$  and  $|\psi_{\text{end}}\rangle$ , find the **open-loop control**  $[0, T] \ni t \mapsto u(t)$  such that

$$\begin{aligned} & \min_{u_k \in L^2([0, T], \mathbb{R})} && \frac{1}{2} \int_0^T \left( \sum_{k=1}^m u_k^2 \right) \\ & i \frac{d}{dt} |\psi\rangle = (H_0 + \sum_{k=1}^m u_k H_k) |\psi\rangle \\ & |\psi\rangle_{t=0} = |\psi_{\text{ini}}\rangle, \quad |\langle \psi_{\text{end}} | \psi \rangle|_{t=T}^2 = 1 \end{aligned}$$

Since the initial and final constraints are difficult to satisfy simultaneously from a numerical point of view, consider the second problem where the **final constraint is penalized** with  $\alpha > 0$ :

$$\begin{aligned} & \min_{u_k \in L^2([0, T], \mathbb{R})} && \frac{1}{2} \int_0^T \left( \sum_{k=1}^m u_k^2 \right) + \frac{\alpha}{2} \left( 1 - |\langle \psi_{\text{end}} | \psi \rangle|_T^2 \right) \\ & i \frac{d}{dt} |\psi\rangle = (H_0 + \sum_{k=1}^m u_k H_k) |\psi\rangle \\ & |\psi\rangle_{t=0} = |\psi_{\text{ini}}\rangle \end{aligned}$$

For two-points problem, the first order stationary conditions read:

$$\left\{ \begin{array}{l} i \frac{d}{dt} |\psi\rangle = (H_0 + \sum_{k=1}^m u_k H_k) |\psi\rangle, \quad t \in (0, T) \\ i \frac{d}{dt} |\rho\rangle = (H_0 + \sum_{k=1}^m u_k H_k) |\rho\rangle, \quad t \in (0, T) \\ u_k = -\Im \left( \langle \rho | H_k | \psi \rangle \right), \quad k = 1, \dots, m, \quad t \in (0, T) \\ |\psi\rangle_{t=0} = |\psi_{\text{ini}}\rangle, \quad |\langle \psi_{\text{end}} | \psi \rangle|_{t=T}^2 = 1 \end{array} \right.$$

For the relaxed problem, the first order stationary conditions read:

$$\left\{ \begin{array}{l} i \frac{d}{dt} |\psi\rangle = (H_0 + \sum_{k=1}^m u_k H_k) |\psi\rangle, \quad t \in (0, T) \\ i \frac{d}{dt} |\rho\rangle = (H_0 + \sum_{k=1}^m u_k H_k) |\rho\rangle, \quad t \in (0, T) \\ u_k = -\Im \left( \langle \rho | H_k | \psi \rangle \right), \quad k = 1, \dots, m, \quad t \in (0, T) \\ |\psi\rangle_{t=0} = |\psi_{\text{ini}}\rangle, \quad |\rho\rangle_{t=T} = -\alpha \langle \psi_{\text{end}} | \psi \rangle_{t=T} |\psi_{\text{end}}\rangle. \end{array} \right.$$



Take an  $L^2$  control  $[0, T] \ni t \mapsto u(t)$  ( $\dim(u) = 1$  here) and denote by

- $|\psi_u\rangle$  the solution of **forward system**  $i\frac{d}{dt}|\psi\rangle = (H_0 + uH_1)|\psi\rangle$  starting from  $|\psi_{\text{ini}}\rangle$ .
- $|\rho_u\rangle$  the adjoint associated to  $u$ , i.e. the solution of the **backward system**  $i\frac{d}{dt}|\rho_u\rangle = (H_0 + uH_1)|\rho_u\rangle$  with  $|\rho_u\rangle_T = -\alpha P|\psi_u\rangle_T$ ,  **$P$  projector on**  $|\psi_{\text{end}}\rangle$ ,  
 $P|\phi\rangle \equiv \langle\psi_{\text{end}}|\phi\rangle |\psi_{\text{end}}\rangle$ .
- $J(u) = \frac{1}{2} \int_0^T u^2 + \frac{\alpha}{2} (1 - |\langle\psi_{\text{end}}|\psi_u\rangle|_T^2)$ .

Starting from an initial guess  $u^0 \in L^2([0, T], \mathbb{R})$ , the monotone scheme generates a sequence of controls  $u^\nu \in L^2([0, T], \mathbb{R})$ ,  $\nu = 1, 2, \dots$ , such that the cost  $J(u^\nu)$  is decreasing,  $J(u^{\nu+1}) \leq J(u^\nu)$ .

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<sup>6</sup>D. Tannor, V. Kazakov, and V. Orlov. *Time Dependent Quantum Molecular Dynamics*, chapter Control of photochemical branching: Novel procedures for finding optimal pulses and global upper bounds, pages 347–360. Plenum, 1992.

Assume that, at step  $\nu$ , we have computed the control  $u^\nu$ , the associated quantum state  $|\psi^\nu\rangle = |\psi_{u^\nu}\rangle$  and its adjoint  $\langle p^\nu\rangle = \langle p_{u^\nu}\rangle$ . We get their new time values  $u^{\nu+1}$ ,  $|\psi^{\nu+1}\rangle$  and  $\langle p^{\nu+1}\rangle$  in two steps:

- 1 Imposing  $u^{\nu+1} = -\mathfrak{S}(\langle p^\nu | H_1 | \psi^{\nu+1} \rangle)$  is just a feedback; one get  $u^{\nu+1}$  just by a **forward integration** of the nonlinear Schrödinger equation,

$$i \frac{d}{dt} |\psi\rangle = (H_0 - \mathfrak{S}(\langle p^\nu | H_1 | \psi \rangle) H_1) |\psi\rangle, \quad |\psi\rangle_0 = |\psi_{\text{ini}}\rangle,$$

that provides  $[0, T] \ni t \mapsto |\psi^{\nu+1}\rangle$  and the new control  $u^{\nu+1}$ .

- 2 **Backward integration** from  $t = T$  to  $t = 0$  of

$$i \frac{d}{dt} |p\rangle = \left( H_0 + u^{\nu+1}(t) H_1 \right) |p\rangle, \quad |p\rangle_T = -\alpha \langle \psi_{\text{end}} | \psi^{\nu+1} \rangle_T |\psi_{\text{end}}\rangle$$

yields to the new adjoint trajectory  $[0, T] \ni t \mapsto |p^{\nu+1}\rangle$ .

Why  $J(u^{\nu+1}) \leq J(u^\nu)$  ?

- Because we have the **identity for any open-loop controls**  $u$  and  $v$  ( $P = |\psi_{\text{end}}\rangle\langle\psi_{\text{end}}|$ )

$$J(u) - J(v) = -\frac{\alpha}{2} (\langle\psi_u - \psi_v|P|\psi_u - \psi_v\rangle)_T + \frac{1}{2} \left( \int_0^T (u - v)(u + v + 2\Im(\langle p_v | H_1 | \psi_u \rangle)) \right).$$

- If  $u = -\Im(\langle p_v | H_1 | \psi_u \rangle)$  for all  $t \in [0, T)$ , we have

$$J(u) - J(v) = -\frac{\alpha}{2} (\langle\psi_u - \psi_v|P|\psi_u - \psi_v\rangle)_T - \frac{1}{2} \left( \int_0^T (u - v)^2 \right)$$

and thus  $J(u) \leq J(v)$ .

- Take  $v = u^\nu$ ,  $u = u^{\nu+1}$ : then  $|p_v\rangle = |p^\nu\rangle$ ,  $|\psi_v\rangle = |\psi^\nu\rangle$ ,  $|p_u\rangle = |p^{\nu+1}\rangle$  and  $|\psi_u\rangle = |\psi^{\nu+1}\rangle$ .

# Monotone numerical scheme for the relaxed problem (4)

**Proof of**

$$J(u) - J(v) = -\frac{\alpha}{2} (\langle \psi_u - \psi_v | P | \psi_u - \psi_v \rangle_T) + \frac{1}{2} \left( \int_0^T (u - v)(u + v + 2\Im(\langle \rho_v | H_1 | \psi_u \rangle)) \right).$$

**Start with**

$$J(u) - J(v) = -\frac{\alpha \left( \langle \psi_u - \psi_v | P | \psi_u - \psi_v \rangle_T + \langle \psi_u - \psi_v | P | \psi_v \rangle_T + \langle \psi_v | P | \psi_u - \psi_v \rangle_T \right)}{2} + \int_0^T \frac{(u - v)(u + v)}{2}.$$

**Hermitian product of**  $i \frac{d}{dt} (|\psi_u\rangle - |\psi_v\rangle) = (H_0 + vH_1) (|\psi_u\rangle - |\psi_v\rangle) + (u - v)H_1 |\psi_u\rangle$  **with**  $|\rho_v\rangle$ :

$$\left\langle \rho_v \left| \frac{d(\psi_u - \psi_v)}{dt} \right. \right\rangle = \left\langle \rho_v \left| \frac{H_0 + vH_1}{i} \right| \psi_u - \psi_v \right\rangle + \left\langle \rho_v \left| \frac{(u - v)H_1}{i} \right| \psi_u \right\rangle.$$

**Integration by parts (use**  $|\psi_v\rangle_0 = |\psi_u\rangle_0$ ,  $|\rho_v\rangle_T = -\alpha P |\psi_v\rangle_T$  **and**  $\frac{d}{dt} \langle \rho_v | = -\langle \rho_v | \left( \frac{H_0 + vH_1}{i} \right)$ ):

$$\begin{aligned} \int_0^T \left\langle \rho_v \left| \frac{d(\psi_u - \psi_v)}{dt} \right. \right\rangle &= \langle \rho_v | \psi_u - \psi_v \rangle_T - \langle \rho_v | \psi_u - \psi_v \rangle_0 - \int_0^T \left\langle \frac{d\rho_v}{dt} \left| \psi_u - \psi_v \right. \right\rangle \\ &= -\alpha \langle \psi_v | P | \psi_u - \psi_v \rangle_T + \int_0^T \left\langle \rho_v \left| \frac{H_0 + vH_1}{i} \right| \psi_u - \psi_v \right\rangle \end{aligned}$$

**Thus**  $-\alpha \langle \psi_v | P | \psi_u - \psi_v \rangle_T = \int_0^T \left\langle \rho_v \left| \frac{(u - v)H_1}{i} \right| \psi_u \right\rangle$  **and**

$\alpha \Re(\langle \psi_v | P | \psi_u - \psi_v \rangle_T) = -\int_0^T \Im(\langle \rho_v | (u - v)H_1 | \psi_u \rangle)$ . **Finally we have**

$$J(u) - J(v) = -\frac{\alpha}{2} (\langle \psi_u - \psi_v | P | \psi_u - \psi_v \rangle_T) + \frac{1}{2} \left( \int_0^T (u - v)(u + v + 2\Im(\langle \rho_v | H_1 | \psi_u \rangle)) \right).$$