Quantum Systems: Dynamics and Control¹

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http://cas.ensmp.fr/~rouchon/MasterUPMC/index.html



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The Rotating Wave Approximation (RWA) recipes

Schrödinger dynamics $i\frac{d}{dt}|\psi\rangle = \mathbf{H}(t)|\psi\rangle$, with

$$H(t) = H_0 + \sum_{k=1}^m u_k(t)H_k, \qquad u_k(t) = \sum_{j=1}^r u_{k,j}e^{i\omega_jt} + u_{k,j}^*e^{-i\omega_jt}.$$

The Hamiltonian in interaction frame

$$m{H}_{ ext{int}}(t) = \sum_{k,j} \left(m{u}_{k,j} m{e}^{i\omega_j t} + m{u}_{k,j}^* m{e}^{-i\omega_j t}
ight) m{e}^{im{H}_0 t} m{H}_k m{e}^{-im{H}_0 t}$$

We define the first order Hamiltonian

$$\boldsymbol{H}_{\text{rwa}}^{\text{1st}} = \overline{\boldsymbol{H}_{\text{int}}} = \lim_{T \to \infty} \frac{1}{T} \int_{0}^{T} \boldsymbol{H}_{\text{int}}(t) dt,$$

and the second order Hamiltonian

$$\boldsymbol{H}_{\text{rwa}}^{2\text{nd}} = \boldsymbol{H}_{\text{rwa}}^{1\text{st}} - i \overline{\left(\boldsymbol{H}_{\text{int}} - \overline{\boldsymbol{H}_{\text{int}}}\right) \left(\int_{t} (\boldsymbol{H}_{\text{int}} - \overline{\boldsymbol{H}_{\text{int}}})\right)}$$

Choose the amplitudes $u_{k,j}$ and the frequencies ω_j such that the propagators of H^{1st}_{rwa} or H^{2nd}_{rwa} admit simple explicit forms that are used to find $t\mapsto u(t)$ steering $|\psi\rangle$ from one location to another one.

Outline

- 1 Averaging and control of a qubit
- 2 Averaging and control of spin/spring systems
 - The spin/spring model
 - Resonant interaction
 - Dispersive interaction
- 3 Resonant control: Law-Eberly method

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In $i\frac{d}{dt}|\psi\rangle = \left(\frac{\omega_{\text{eg}}}{2}\sigma_{\mathbf{z}} + \frac{u}{2}\sigma_{\mathbf{x}}\right)|\psi\rangle$, set $H_0 = \frac{\omega_{\text{eg}}}{2}\sigma_{\mathbf{z}}$ and $\epsilon H_1 = \frac{u}{2}\sigma_{\mathbf{x}}$ and consider $|\psi\rangle = e^{-\frac{i\omega_{\text{eg}}t}{2}\sigma_{\mathbf{z}}}|\phi\rangle$ to eliminate the drift H_0 and to get the Hamiltonian in the interaction frame:

$$i\frac{\textit{d}}{\textit{d}t}|\phi\rangle = \frac{\textit{u}}{2}e^{\frac{i\omega_{\text{eg}}t}{2}\sigma_{\!\textbf{z}}}\sigma_{\!\textbf{z}}e^{-\frac{i\omega_{\text{eg}}t}{2}\sigma_{\!\textbf{z}}}|\phi\rangle = \textit{\textbf{H}}_{\text{int}}|\phi\rangle$$

with
$$m{H}_{\mathrm{int}} = \frac{u}{2} e^{i\omega_{\mathrm{eg}}t} \underbrace{\frac{\sigma_{\star} = |e\rangle\langle g|}{\sigma_{\mathbf{x}} + i\sigma_{\mathbf{y}}}}_{2} + \frac{u}{2} e^{-i\omega_{\mathrm{eg}}t} \underbrace{\frac{\sigma_{\star} = |g\rangle\langle e|}{\sigma_{\mathbf{x}} - i\sigma_{\mathbf{y}}}}_{2}$$

Applying the resonant control $u = ue^{i\omega_{eg}t} + u^*e^{-i\omega_{eg}t}$ gives

$$H_{int} = \left(rac{oldsymbol{u}e^{2i\omega_{ ext{eg}}t} + oldsymbol{u}^*}{2}
ight) oldsymbol{\sigma_{ullet}} + \left(rac{oldsymbol{u} + oldsymbol{u}^*e^{-2i\omega_{ ext{eg}}t}}{2}
ight) oldsymbol{\sigma_{ullet}}.$$

When $|\boldsymbol{u}| \ll \omega_{\rm eg}$ and $\left|\frac{d}{dt}\boldsymbol{u}\right| \ll |\boldsymbol{u}| \left|\frac{d}{dt}e^{2i\omega_{\rm eg}t}\right|$, the variable $|\phi\rangle$ moves with a timescale of order $1/|\boldsymbol{u}|$ while H_{int} involves terms at a fast timescale $1/\omega_{\rm eg}$.

Averaging tells us how we can average out this fast timescale and concentrate on the effect of slowly varying \boldsymbol{u} .



Second order approximation and Bloch-Siegert shift

The decomposition of H_{int} ,

$$\mathbf{\textit{H}}_{\text{int}} = \underbrace{\frac{\mathbf{\textit{u}}^*}{2} \mathbf{\textit{\sigma}_{+}} + \frac{\mathbf{\textit{u}}}{2} \mathbf{\textit{\sigma}_{-}}}_{\mathbf{\textit{H}}_{\text{int}}} + \underbrace{\frac{\mathbf{\textit{u}} e^{2i\omega_{\text{eg}}t}}{2} \mathbf{\textit{\sigma}_{+}} + \frac{\mathbf{\textit{u}}^* e^{-2i\omega_{\text{eg}}t}}{2} \mathbf{\textit{\sigma}_{-}}}_{\mathbf{\textit{H}}_{\text{int}}},$$

provides the first order approximation (RWA)

$$m{H}_{\text{rwa}}^{\text{1SI}} = \overline{m{H}_{\text{int}}} = \lim_{T o \infty} rac{1}{T} \int_0^T m{H}_{\text{int}}(t) dt, = rac{m{u}^* m{\sigma_+} + m{u} m{\sigma}_t}{2}.$$
Since $\int_t m{H}_{\text{int}} - \overline{m{H}_{\text{int}}} = rac{m{u} e^{2i\omega_{\text{eg}}t}}{4i\omega_{\text{eg}}} m{\sigma_+} - rac{m{u}^* e^{-2i\omega_{\text{eg}}t}}{4i\omega_{\text{eg}}} m{\sigma}_c$, we have

$$\left(oldsymbol{H}_{ ext{int}} - \overline{oldsymbol{H}_{ ext{int}}}
ight) \left(\int_t (oldsymbol{H}_{ ext{int}} - \overline{oldsymbol{H}_{ ext{int}}})
ight) = -rac{|oldsymbol{u}|^2}{8i\omega_{ ext{eg}}} oldsymbol{\sigma_{oldsymbol{z}}}$$

(use $\sigma_{\perp}^2 = \sigma_{\perp}^2 = 0$ and $\sigma_{\overline{z}} = \sigma_{\perp}\sigma_{-} - \sigma_{-}\sigma_{\perp}$).

The second order approximation reads:

$$\begin{aligned} \boldsymbol{H}_{\text{rwa}}^{\text{2nd}} &= \boldsymbol{H}_{\text{rwa}}^{1\text{st}} - i \overline{\left(\boldsymbol{H}_{\text{int}} - \overline{\boldsymbol{H}_{\text{int}}}\right) \left(\int_{t} (\boldsymbol{H}_{\text{int}} - \overline{\boldsymbol{H}_{\text{int}}})\right)} \\ &= \boldsymbol{H}_{\text{rwa}}^{1\text{st}} + \left(\frac{|\boldsymbol{u}|^{2}}{8\omega_{\text{en}}}\right) \boldsymbol{\sigma}_{\mathbf{z}} = \frac{\boldsymbol{u}^{*}}{2} \boldsymbol{\sigma}_{+} + \frac{\boldsymbol{u}}{2} \boldsymbol{\sigma}_{-} + \left(\frac{|\boldsymbol{u}|^{2}}{8\omega_{\text{en}}}\right) \boldsymbol{\sigma}_{\mathbf{z}}. \end{aligned}$$

The 2nd order correction $\frac{|u|^2}{4\omega_{\rm eg}}(\sigma_{\rm z}/2)$ is called the Bloch-Siegert shift.



Take the first order approximation

$$(\Sigma) \quad i\frac{d}{dt}|\phi\rangle = \frac{(\textbf{\textit{u}}^*\sigma_{\!\scriptscriptstyle{+}} + \textbf{\textit{u}}\sigma_{\!\scriptscriptstyle{-}})}{2}|\phi\rangle = \frac{(\textbf{\textit{u}}^*|\textbf{\textit{e}}\rangle\langle\textbf{\textit{g}}| + \textbf{\textit{u}}|\textbf{\textit{g}}\rangle\langle\textbf{\textit{e}}|)}{2}|\phi\rangle$$

with control $\boldsymbol{u} \in \mathbb{C}$.

- 1 Take constant control $\boldsymbol{u}(t) = \Omega_r e^{i\theta}$ for $t \in [0, T]$, T > 0. Show that $i\frac{d}{dt}|\phi\rangle = \frac{\Omega_r(\cos\theta\sigma_{\mathbf{x}} + \sin\theta\sigma_{\mathbf{y}})}{2}|\phi\rangle$.
- Set $\Theta_r = \frac{\Omega_r}{2}T$. Show that the solution at T of the propagator $\boldsymbol{U}_t \in SU(2)$, $i\frac{d}{dt}\boldsymbol{U} = \frac{\Omega_r(\cos\theta\sigma_{\mathbf{x}} + \sin\theta\sigma_{\mathbf{y}})}{2}\boldsymbol{U}$, $\boldsymbol{U}_0 = \boldsymbol{I}$ is given by

$$\boldsymbol{U}_T = \cos \Theta_r \boldsymbol{I} - i \sin \Theta_r \left(\cos \theta \boldsymbol{\sigma_x} + \sin \theta \boldsymbol{\sigma_y} \right),$$

- Take any constant $|\bar{\phi}\rangle$. Show that there exist Ω_r and θ such that $\mathbf{U}_T|\mathbf{g}\rangle = \mathbf{e}^{i\alpha}|\bar{\phi}\rangle$, where α is some global phase.
- 4 Prove that for any given two wave functions $|\phi_a\rangle$ and $|\phi_b\rangle$ there exists a piecewise constant control $[0,2T]\ni t\mapsto \boldsymbol{u}(t)\in\mathbb{C}$ such that the solution of (Σ) with $|\phi\rangle_0=|\phi_a\rangle$ satisfies $|\phi\rangle_T=e^{i\beta}|\phi_b\rangle$ for some global phase β .



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The Schrödinger system

$$irac{\mathcal{d}}{\mathcal{d}t}|\psi
angle = \left(rac{\omega_{ ext{eg}}}{2}\sigma_{ extbf{z}} + \omega_{c}\left(extbf{\emph{a}}^{\dagger}\, extbf{\emph{a}} + rac{ extbf{\emph{l}}}{2}
ight) + irac{\Omega}{2}\sigma_{ extbf{\emph{x}}}(extbf{\emph{a}}^{\dagger} - extbf{\emph{a}})
ight)|\psi
angle$$

corresponds to two coupled scalar PDE's:

$$\begin{split} &i\frac{\partial\psi_{\mathrm{e}}}{\partial t} = +\frac{\omega_{\mathrm{eg}}}{2}\psi_{\mathrm{e}} + \frac{\omega_{\mathrm{c}}}{2}\left(x^2 - \frac{\partial^2}{\partial x^2}\right)\psi_{\mathrm{e}} - i\frac{\Omega}{\sqrt{2}}\frac{\partial}{\partial x}\psi_{\mathrm{g}} \\ &i\frac{\partial\psi_{\mathrm{g}}}{\partial t} = -\frac{\omega_{\mathrm{eg}}}{2}\psi_{\mathrm{g}} + \frac{\omega_{\mathrm{c}}}{2}\left(x^2 - \frac{\partial^2}{\partial x^2}\right)\psi_{\mathrm{g}} - i\frac{\Omega}{\sqrt{2}}\frac{\partial}{\partial x}\psi_{\mathrm{e}} \end{split}$$

since $\mathbf{a} = \frac{1}{\sqrt{2}} \left(x + \frac{\partial}{\partial x} \right)$ and $|\psi\rangle$ corresponds to $(\psi_{\mathbf{e}}(x,t), \psi_{g}(x,t))$ where $\psi_{\mathbf{e}}(.,t), \psi_{g}(.,t) \in L^{2}(\mathbb{R},\mathbb{C})$ and $\|\psi_{\mathbf{e}}\|^{2} + \|\psi_{g}\|^{2} = 1$.

Resonant case: passage to the interaction frame

In $\frac{\pmb{H}}{\hbar} = \frac{\omega_{\rm eg}}{2} \pmb{\sigma_z} + \omega_c \left(\pmb{a}^\dagger \pmb{a} + \frac{1}{2} \right) + i \frac{\Omega}{2} \pmb{\sigma_x} (\pmb{a}^\dagger - \pmb{a})$, take $\omega_{\rm eg} = \omega_c + \Delta = \omega + \Delta$ with $|\Omega|, |\Delta| \ll \omega$. Then $\pmb{H} = \pmb{H}_0 + \epsilon \pmb{H}_1$ where ϵ is a small parameter and

$$\begin{split} &\frac{\pmb{\mathcal{H}}_0}{\hbar} = \tfrac{\omega}{2} \pmb{\sigma_z} + \omega \left(\pmb{a}^\dagger \pmb{a} + \frac{\pmb{\mathsf{I}}}{2} \right) \\ &\epsilon \frac{\pmb{\mathcal{H}}_1}{\hbar} = \tfrac{\Delta}{2} \pmb{\sigma_z} + i \tfrac{\Omega}{2} \pmb{\sigma_x} (\pmb{a}^\dagger - \pmb{a}). \end{split}$$

 $m{H}_{
m int}$ is obtained by setting $|\psi
angle = e^{-i\omega t\left(m{a}^\daggerm{a}+rac{1}{2}
ight)}e^{rac{-i\omega t}{2}m{\sigma_z}}|\phi
angle$ in $i\hbarrac{d}{dt}|\psi
angle = m{H}|\psi
angle$ to get $i\hbarrac{d}{dt}|\phi
angle = m{H}_{
m int}|\phi
angle$ with

$$\frac{\mathbf{H}_{\text{int}}}{\hbar} = \frac{\Delta}{2}\sigma_{\mathbf{z}} + i\frac{\Omega}{2} (e^{-i\omega t}\sigma_{\mathbf{z}} + e^{i\omega t}\sigma_{\mathbf{z}}) (e^{i\omega t}\mathbf{a}^{\dagger} - e^{-i\omega t}\mathbf{a})$$

where we used

$$e^{\frac{i\theta}{2}\boldsymbol{\sigma_z}}\;\boldsymbol{\sigma_x}e^{-\frac{i\theta}{2}\boldsymbol{\sigma_z}}=e^{-i\theta}\boldsymbol{\sigma_z}+e^{i\theta}\boldsymbol{\sigma_z},\quad e^{i\theta\left(\boldsymbol{a}^{\dagger}\boldsymbol{a}+\frac{1}{2}\right)}\;\boldsymbol{a}\;e^{-i\theta\left(\boldsymbol{a}^{\dagger}\boldsymbol{a}+\frac{1}{2}\right)}=e^{-i\theta}\boldsymbol{a}$$



Resonant case: first order (Jaynes-Cummings Hamiltonian)

The secular terms in ${\pmb H}_{\rm int}$ are given by (RWA, first order approximation) ${\pmb H}_{\rm rwa}^{\rm 1St} = \frac{\Delta}{2} \sigma_{\pmb z} + i \frac{\Omega}{2} \left(\sigma_{\pmb .} {\pmb a}^\dagger - \sigma_{\pmb +} {\pmb a} \right)$. Since quantum state $|\phi\rangle = e^{+i\omega t \left({\pmb a}^\dagger {\pmb a} + \frac{1}{2}\right)} e^{\frac{+i\omega t}{2} \sigma_{\pmb z}} |\psi\rangle$ obeys approximatively to $i\hbar \frac{d}{dt} |\phi\rangle = {\pmb H}_{\rm rwa}^{\rm 1St} |\phi\rangle$, the original quantum state $|\psi\rangle$ is governed by

$$\label{eq:delta_tilde} i\frac{\textit{d}}{\textit{d}t}|\psi\rangle = \left(\frac{\omega_{\text{eg}}}{2} \sigma_{\!\mathbf{z}} + \omega \left(\mathbf{a}^{\dagger} \mathbf{a} + \frac{\mathbf{I}}{2} \right) + i\frac{\Omega}{2} \! \left(\sigma_{\!\!-} \! \mathbf{a}^{\dagger} - \sigma_{\!\!-} \! \mathbf{a} \right) \right) |\psi\rangle$$

The Jaynes-Cummings Hamiltonian ($\omega_{\rm eg} = \omega_c + \Delta$ with $|\Delta| \ll \omega_c$) reads:

$$m{H}_{JC}/\hbar = rac{\omega_{ ext{eg}}}{2}m{\sigma_z} + \omega_c \left(m{a}^\daggerm{a} + rac{m{l}}{2}
ight) + irac{\Omega}{2}ig(m{\sigma_\cdot a}^\dagger - m{\sigma_+ a}ig)$$

The corresponding PDE is (case $\Delta = 0$):

$$\begin{split} &i\frac{\partial\psi_{e}}{\partial t} = +\frac{\omega}{2}\psi_{e} + \frac{\omega}{2}(x^{2} - \frac{\partial^{2}}{\partial x^{2}})\psi_{e} - i\frac{\Omega}{2\sqrt{2}}\left(x + \frac{\partial}{\partial x}\right)\psi_{g} \\ &i\frac{\partial\psi_{g}}{\partial t} = -\frac{\omega}{2}\psi_{g} + \frac{\omega}{2}(x^{2} - \frac{\partial^{2}}{\partial x^{2}})\psi_{g} + i\frac{\Omega}{2\sqrt{2}}\left(x - \frac{\partial}{\partial x}\right)\psi_{e} \end{split}$$



Dispersive case: passage to the interaction frame

For $\omega\gg |\Delta|\gg |\Omega|$, the dominant term in ${\pmb H}^{1}_{rwa}$ is an isolated qubit. To make the interaction dominant, we go to the interaction frame with $\frac{{\pmb H}_0}{\hbar}=\frac{\omega_{eg}}{2}{\pmb \sigma}_{\pmb z}+\omega_c\left({\pmb a}^\dagger{\pmb a}+\frac{1}{2}\right),\quad \epsilon\frac{{\pmb H}_1}{\hbar}=i\frac{\Omega}{2}{\pmb \sigma}_{\pmb x}({\pmb a}^\dagger-{\pmb a}).$

By setting $|\psi\rangle=\mathrm{e}^{-i\omega_{c}t\left(\mathbf{a}^{\dagger}\mathbf{a}+\frac{1}{2}\right)}\mathrm{e}^{\frac{-i\omega_{egt}}{2}\sigma_{\mathbf{z}}}|\phi\rangle$ we get $i\hbar\frac{d}{dt}|\phi\rangle=\mathbf{H}_{\mathrm{int}}|\phi\rangle$ with

$$\frac{\mathbf{H}_{\text{int}}}{\hbar} = i\frac{\Omega}{2} (e^{-i\omega_{\text{eg}}t} \sigma_{-} + e^{i\omega_{\text{eg}}t} \sigma_{+}) (e^{i\omega_{c}t} \mathbf{a}^{\dagger} - e^{-i\omega_{c}t} \mathbf{a})$$

$$= i\frac{\Omega}{2} (e^{-i\Delta t} \sigma_{-} \mathbf{a}^{\dagger} - e^{i\Delta t} \sigma_{+} \mathbf{a} + e^{i(2\omega_{c} + \Delta)t} \sigma_{+} \mathbf{a}^{\dagger} - e^{-i(2\omega_{c} + \Delta)t} \sigma_{-} \mathbf{a})$$

Thus $m{H}_{\text{rwa}}^{1\text{st}} = \overline{m{H}_{\text{int}}} = 0$: no secular term. We have to compute $m{H}_{\text{rwa}}^{2\text{nd}} = \overline{m{H}_{\text{int}}} - i \overline{\left(m{H}_{\text{int}} - \overline{m{H}_{\text{int}}}\right) \left(\int_t (m{H}_{\text{int}} - \overline{m{H}_{\text{int}}})\right)}$ where $\int_t (m{H}_{\text{int}} - \overline{m{H}_{\text{int}}}) \hbar$ corresponds to

$$\frac{-\Omega}{2} \left(\frac{e^{-i\Delta t}}{\Delta} \boldsymbol{\sigma}.\boldsymbol{a}^{\dagger} + \frac{e^{i\Delta t}}{\Delta} \boldsymbol{\sigma}_{\!+} \boldsymbol{a} - \frac{e^{i(2\omega_{\mathcal{C}} + \Delta)t}}{2\omega_{\mathcal{C}} + \Delta} \boldsymbol{\sigma}_{\!+} \boldsymbol{a}^{\dagger} - \frac{e^{-i(2\omega_{\mathcal{C}} + \Delta)t}}{2\omega_{\mathcal{C}} + \Delta} \boldsymbol{\sigma}_{\!-} \boldsymbol{a} \right)$$

Dispersive spin/spring Hamiltonian and associated PDE

The secular terms in $\mathbf{\textit{H}}^{2^{\Pi U}}_{\text{rwa}}$ are $\frac{-\Omega^2}{4\Delta} \left(\sigma \boldsymbol{.} \sigma_{\!+} \boldsymbol{a}^{\dagger} \boldsymbol{a} - \sigma_{\!+} \sigma_{\!-} \boldsymbol{a} \boldsymbol{a}^{\dagger} \right) + \frac{-\Omega^2}{4(\omega_c + \omega_{\text{eg}})} \left(\sigma \boldsymbol{.} \sigma_{\!+} \boldsymbol{a} \boldsymbol{a}^{\dagger} - \sigma_{\!+} \sigma_{\!-} \boldsymbol{a}^{\dagger} \boldsymbol{a} \right)$ Since $|\Omega| \ll |\Delta| \ll \omega_{\text{eg}}, \omega_c$, we have $\frac{\Omega^2}{4(\omega_c + \omega_{\text{eg}})} \ll \frac{\Omega^2}{4\Delta}$

$$m{H}_{\mathsf{rwa}}^{\mathsf{2}\mathsf{nd}}/\hbar pprox rac{\Omega^2}{4\Delta} \left(m{\sigma_z} \left(m{N} + rac{m{I}}{2}
ight) + rac{m{I}}{2}
ight).$$

Since quantum state $|\phi\rangle = e^{+i\omega_c t\left(N+\frac{1}{2}\right)}e^{\frac{+i\omega_e t}{2}\sigma_{\!\!\!\!2}}|\psi\rangle$ obeys approximatively to $i\hbar\frac{d}{dt}|\phi\rangle = \boldsymbol{H}^{2nd}_{\text{rwa}}|\phi\rangle$, the original quantum state $|\psi\rangle$ is governed by $i\frac{d}{dt}|\psi\rangle = \left(\frac{\boldsymbol{H}_{\text{disp}}}{\hbar} + \frac{\Omega^2}{8\Delta}\right)|\psi\rangle$ with

$$m{H}_{disp}/\hbar = rac{\omega_{eg}}{2} m{\sigma_z} + \omega_{\mathcal{C}} \left(m{N} + rac{m{I}}{2}
ight) - rac{\chi}{2} \ m{\sigma_z} \left(m{N} + rac{m{I}}{2}
ight) \quad ext{ and } \chi = rac{-\Omega^2}{2\Delta}$$

The corresponding PDE is:

$$i\frac{\partial \psi_e}{\partial t} = +\frac{\omega_{eg}}{2}\psi_e + \frac{1}{2}(\omega_c - \frac{\chi}{2})(x^2 - \frac{\partial^2}{\partial x^2})\psi_e$$
$$i\frac{\partial \psi_g}{\partial t} = -\frac{\omega_{eg}}{2}\psi_g + \frac{1}{2}(\omega_c + \frac{\chi}{2})(x^2 - \frac{\partial^2}{\partial x^2})\psi_g$$



Exercise: resonant spin-spring system with controls

Consider the resonant spin-spring model with $\Omega \ll |\omega|$:

$$\frac{H}{\hbar} = \frac{\omega}{2}\sigma_{\mathbf{z}} + \omega\left(\mathbf{a}^{\dagger}\mathbf{a} + \frac{1}{2}\right) + i\frac{\Omega}{2}\sigma_{\mathbf{x}}(\mathbf{a}^{\dagger} - \mathbf{a}) + u(\mathbf{a} + \mathbf{a}^{\dagger})$$

with a real control input $u(t) \in \mathbb{R}$:

1 Show that with the resonant control $u(t) = ue^{-i\omega t} + u^*e^{i\omega t}$ with complex amplitude u such that $|u| \ll \omega$, the first order RWA approximation yields the following dynamics in the interaction frame:

$$irac{d}{dt}|\psi
angle = \left(irac{\Omega}{2}(m{\sigma}.m{a}^\dagger - m{\sigma}_{\!m{+}}m{a}) + m{u}m{a}^\dagger + m{u}^*m{a}
ight)|\psi
angle$$

2 Set $\mathbf{v} \in \mathbb{C}$ solution of $\frac{d}{dt}\mathbf{v} = -i\mathbf{u}$ and consider the following change of frame $|\phi\rangle = D_{-\mathbf{v}}|\psi\rangle$ with the displacement operator $D_{-\mathbf{v}} = e^{-\mathbf{v}\mathbf{a}^{\dagger} + \mathbf{v}^{*}\mathbf{a}}$. Show that, up to a global phase change, we have, with $\tilde{\mathbf{u}} = i\frac{\Omega}{2}\mathbf{v}$,

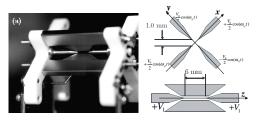
$$irac{d}{dt}|\phi
angle = \left(rac{i\Omega}{2}ig(m{\sigma_{ extbf{-}}}m{a}^{\dagger} - m{\sigma_{ extbf{+}}}m{a}ig) + ig(m{ ilde{u}}m{\sigma_{ extbf{+}}} + m{ ilde{u}}^{*}m{\sigma_{ extbf{-}}}ig)
ight)|\phi
angle$$

- Take the orthonormal basis $\{|g,n\rangle,|e,n\rangle\}$ with $n\in\mathbb{N}$ being the photon number and where for instance $|g,n\rangle$ stands for the tensor product $|g\rangle\otimes|n\rangle$. Set $|\phi\rangle=\sum_n\phi_{g,n}|g,n\rangle+\phi_{e,n}|e,n\rangle$ with $\phi_{g,n},\phi_{e,n}\in\mathbb{C}$ depending on t and $\sum_n|\phi_{g,n}|^2+|\phi_{e,n}|^2=1$. Show that, for $n\geq 0$ $i\frac{d}{dt}\phi_{g,n+1}=i\frac{\Omega}{2}\sqrt{n+1}\phi_{e,n}+\tilde{\pmb{u}}^*\phi_{e,n+1},\quad i\frac{d}{dt}\phi_{e,n}=-i\frac{\Omega}{2}\sqrt{n+1}\phi_{g,n+1}+\tilde{\pmb{u}}\phi_{g,n}$ and $i\frac{d}{dt}\phi_{g,0}=\tilde{\pmb{u}}^*\phi_{e,0}.$
- 4 Assume that $|\phi\rangle_0 = |g,0\rangle$. Construct an open-loop control $[0,T] \ni t \mapsto \tilde{\boldsymbol{u}}(t)$ such that $|\phi\rangle_T \approx |g,1\rangle$ (hint: use an impulse for $t \in [0,\epsilon]$ followed by 0 on $[\epsilon,T]$ with $\epsilon \ll T$ and well chosen T).
- Generalize the above open-loop control when the goal state $|\phi\rangle_T$ is $|g,n\rangle$ with any arbitrary photon number n.

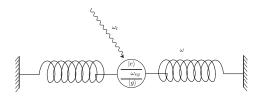
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A single trapped ion



1D ion trap, picture borrowed from S. Haroche course at CDF.



A classical cartoon of spin-spring system.



A single trapped ion

A composite system:

internal degree of freedom+vibration inside the 1D trap

Hilbert space:

$$\mathbb{C}^2 \otimes L^2(\mathbb{R},\mathbb{C})$$

Hamiltonian:

$$\frac{\boldsymbol{H}}{\hbar} = \omega_{\boldsymbol{m}} \left(\boldsymbol{a}^{\dagger} \boldsymbol{a} + \frac{1}{2} \right) + \frac{\omega_{eg}}{2} \sigma_{\boldsymbol{z}} + \left(u_{l} e^{i(\omega_{l} t - \eta_{l} (\boldsymbol{a} + \boldsymbol{a}^{\dagger}))} + u_{l}^{*} e^{-i(\omega_{l} t - \eta_{l} (\boldsymbol{a} + \boldsymbol{a}^{\dagger}))} \right) \sigma_{\boldsymbol{x}}$$

Parameters:

 ω_m : harmonic oscillator of the trap,

 ω_{eq} : optical transition of the internal state,

 ω_l : lasers frequency,

 $\eta_I = \omega_I/c$: Lamb-Dicke parameter.

Scales:

$$|\omega_{\it I} - \omega_{\rm eg}| \ll \omega_{\rm eg}, \quad \omega_{\it m} \ll \omega_{\rm eg}, \quad |u_{\it I}| \ll \omega_{\rm eg}, \quad \left|\frac{\it d}{\it dt}u_{\it I}\right| \ll \omega_{\rm eg}|u_{\it I}|.$$

PDE formulation

The Schrödinger equation $i\hbar \frac{d}{dt}|\psi\rangle = \mathbf{H}|\psi\rangle$, with

$$\frac{\boldsymbol{H}}{\hbar} = \omega_{m} \left(\boldsymbol{a}^{\dagger} \boldsymbol{a} + \frac{1}{2} \right) + \frac{\omega_{eg}}{2} \sigma_{\boldsymbol{z}} + \left(u_{l} e^{i(\omega_{l} t - \eta_{l} (\boldsymbol{a} + \boldsymbol{a}^{\dagger}))} + u_{l}^{*} e^{-i(\omega_{l} t - \eta_{l} (\boldsymbol{a} + \boldsymbol{a}^{\dagger}))} \right) \sigma_{\boldsymbol{x}}$$

can be written in the form

$$\begin{split} &i\frac{\partial \psi_g}{\partial t} = \frac{\omega_m}{2} \left(x^2 - \frac{\partial^2}{\partial x^2} \right) \psi_g - \frac{\omega_{eg}}{2} \psi_g + \left(u_l e^{i(\omega_l t - \sqrt{2}\eta_l x)} + u_l^* e^{-i(\omega_l t - \sqrt{2}\eta_l x)} \right) \psi_e, \\ &i\frac{\partial \psi_e}{\partial t} = \frac{\omega_m}{2} \left(x^2 - \frac{\partial^2}{\partial x^2} \right) \psi_e + \frac{\omega_{eg}}{2} \psi_e + \left(u_l e^{i(\omega_l t - \sqrt{2}\eta_l x)} + u_l^* e^{-i(\omega_l t - \sqrt{2}\eta_l x)} \right) \psi_g. \end{split}$$

■ This system is approximately controllable in $(L^2(\mathbb{R},\mathbb{C}))^2$: S. Ervedoza and J.-P. Puel, Annales de l'IHP (c), 26(6): 2111-2136, 2009.



Law-Eberly method

Main idea

Control is superposition of 3 mono-chromatic plane waves with:

- 1 frequency ω_{eg} (ion transition frequency) and amplitude u;
- 2 frequency $\omega_{eg} \omega_m$ (red shift by a vibration quantum) and amplitude u_r ;
- 3 frequency $\omega_{\rm eg} + \omega_m$ (blue shift by a vibration quantum) and amplitude u_b ;

Control Hamiltonian:

$$\begin{split} \frac{\boldsymbol{H}}{\hbar} = & \omega_m \left(\boldsymbol{a}^\dagger \boldsymbol{a} + \frac{\boldsymbol{I}}{2} \right) + \frac{\omega_{eg}}{2} \sigma_{\boldsymbol{z}} + \left(u e^{i(\omega_{eg}t - \eta(\boldsymbol{a} + \boldsymbol{a}^\dagger))} + u^* e^{-i(\omega_{eg}t - \eta(\boldsymbol{a} + \boldsymbol{a}^\dagger))} \right) \sigma_{\boldsymbol{x}} \\ & + \left(u_b e^{i((\omega_{eg} + \omega_m)t - \eta_b(\boldsymbol{a} + \boldsymbol{a}^\dagger))} + u_b^* e^{-i((\omega_{eg} + \omega_m)t - \eta_b(\boldsymbol{a} + \boldsymbol{a}^\dagger))} \right) \sigma_{\boldsymbol{x}} \\ & + \left(u_r e^{i((\omega_{eg} - \omega_m)t - \eta_r(\boldsymbol{a} + \boldsymbol{a}^\dagger))} + u_r^* e^{-i((\omega_{eg} - \omega_m)t - \eta_r(\boldsymbol{a} + \boldsymbol{a}^\dagger))} \right) \sigma_{\boldsymbol{x}}. \end{split}$$

Lamb-Dicke parameters:

$$\eta=\eta_{eg}pprox \eta_{r}pprox \eta_{b}\ll 1$$
 .

Law-Eberly method: rotating frame

Rotating frame: $|\psi\rangle=e^{-i\omega_mt\left(\pmb{a}^\dagger\pmb{a}+\frac{1}{2}\right)}e^{\frac{-i\omega_{\rm eg}t}{2}\sigma_{\rm z}}|\phi\rangle$

$$\begin{split} \frac{\mathbf{H}_{\text{int}}}{\hbar} &= e^{i\omega_m t \left(\mathbf{a}^{\dagger} \mathbf{a}\right)} \left(u e^{i\omega_{\text{eg}} t} e^{-i\eta \left(\mathbf{a} + \mathbf{a}^{\dagger}\right)} + u^* e^{-i\omega_{\text{eg}} t} e^{i\eta \left(\mathbf{a} + \mathbf{a}^{\dagger}\right)} \right) \\ & e^{-i\omega_m t \left(\mathbf{a}^{\dagger} \mathbf{a}\right)} \left(e^{i\omega_{\text{eg}} t} | e \rangle \langle g | + e^{-i\omega_{\text{eg}} t} | g \rangle \langle e | \right) \\ &+ e^{i\omega_m t \left(\mathbf{a}^{\dagger} \mathbf{a}\right)} \left(u_b e^{i(\omega_{\text{eg}} + \omega_m) t} e^{-i\eta_b (\mathbf{a} + \mathbf{a}^{\dagger})} + u_b^* e^{-i(\omega_{\text{eg}} + \omega_m) t} e^{i\eta_b (\mathbf{a} + \mathbf{a}^{\dagger})} \right) \\ & e^{-i\omega_m t \left(\mathbf{a}^{\dagger} \mathbf{a}\right)} \left(e^{i\omega_{\text{eg}} t} | e \rangle \langle g | + e^{-i\omega_{\text{eg}} t} | g \rangle \langle e | \right) \\ &+ e^{i\omega_m t \left(\mathbf{a}^{\dagger} \mathbf{a}\right)} \left(u_r e^{i(\omega_{\text{eg}} - \omega_m) t} e^{-i\eta_r (\mathbf{a} + \mathbf{a}^{\dagger})} + u_r^* e^{-i(\omega_{\text{eg}} - \omega_m) t} e^{i\eta_r (\mathbf{a} + \mathbf{a}^{\dagger})} \right) \\ & e^{-i\omega_m t \left(\mathbf{a}^{\dagger} \mathbf{a}\right)} \left(e^{i\omega_{\text{eg}} t} | e \rangle \langle g | + e^{-i\omega_{\text{eg}} t} | g \rangle \langle e | \right) \end{split}$$

Law-Eberly method: RWA

Commutation of exponentials in $(\mathbf{a} + \mathbf{a}^{\dagger})$ and $(\mathbf{a}^{\dagger}\mathbf{a})$ is non-trivial.

■ Approximation $e^{i\epsilon(\boldsymbol{a}+\boldsymbol{a}^{\dagger})}\approx 1+i\epsilon(\boldsymbol{a}+\boldsymbol{a}^{\dagger})$ for $\epsilon=\pm\eta,\eta_b,\eta_r$

Then averaging: neglecting highly oscillating terms of frequencies $2\omega_{\rm eg}$, $2\omega_{\rm eg}\pm\omega_{m}$, $2(\omega_{\rm eg}\pm\omega_{m})$ and $\pm\omega_{m}$, as

$$|u|,|u_b|,|u_r|\ll \omega_m,\; \left|\frac{d}{dt}u\right|\ll \omega_m|u|,\; \left|\frac{d}{dt}u_b\right|\ll \omega_m|u_b|,\; \left|\frac{d}{dt}u_r\right|\ll \omega_m|u_r|.$$

First order approximation:

$$\begin{split} \frac{\textit{\textbf{H}}_{\text{rwa}}}{\hbar} &= u|g\rangle\langle e| + u^*|e\rangle\langle g| + \bar{u}_b\textit{\textbf{a}}|g\rangle\langle e| + \bar{u}_b^*\textit{\textbf{a}}^\dagger|e\rangle\langle g| \\ &+ \bar{u}_r\textit{\textbf{a}}^\dagger|g\rangle\langle e| + \bar{u}_r^*\textit{\textbf{a}}|e\rangle\langle g| \end{split}$$

where

$$\bar{u}_b = -i\eta_b u_b$$
 and $\bar{u}_r = -i\eta_r u_r$



PDE form

$$i\frac{\partial\phi_{g}}{\partial t} = \left(u + \frac{\bar{u}_{b}}{\sqrt{2}}\left(x + \frac{\partial}{\partial x}\right) + \frac{\bar{u}_{r}}{\sqrt{2}}\left(x - \frac{\partial}{\partial x}\right)\right)\phi_{e}$$

$$i\frac{\partial\phi_{e}}{\partial t} = \left(u^{*} + \frac{\bar{u}_{b}^{*}}{\sqrt{2}}\left(x - \frac{\partial}{\partial x}\right) + \frac{\bar{u}_{r}^{*}}{\sqrt{2}}\left(x + \frac{\partial}{\partial x}\right)\right)\phi_{g}$$

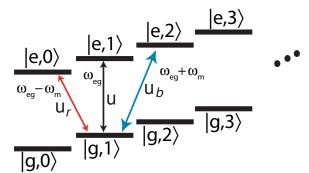
Hilbert basis: $\{|g,n\rangle,|e,n\rangle\}_{n=0}^{\infty}$

Dynamics:

$$i\frac{d}{dt}\phi_{g,n} = u\phi_{e,n} + \bar{u}_r\sqrt{n}\phi_{e,n-1} + \bar{u}_b\sqrt{n+1}\phi_{e,n+1}$$

$$i\frac{d}{dt}\phi_{e,n} = u^*\phi_{g,n} + \bar{u}_r^*\sqrt{n+1}\phi_{g,n+1} + \bar{u}_b^*\sqrt{n}\phi_{g,n-1}$$

Physical interpretation:



Law-Eberly method: spectral controllability

Truncation to *n*-phonon space:

$$\mathcal{H}_n = \operatorname{span}\{|g,0\rangle,|e,0\rangle,\ldots,|g,n\rangle,|e,n\rangle\}$$

We consider $|\phi\rangle_0, |\phi\rangle_T \in \mathcal{H}_n$ and we look for u, \bar{u}_b and \bar{u}_r , s.t.

for
$$|\phi\rangle(t=0)=|\phi\rangle_0$$
 we have $|\phi\rangle(t=T)=|\phi\rangle_T$.

- If u^1 , \bar{u}_b^1 and \bar{u}_r^1 bring $|\phi\rangle_0$ to $|g,0\rangle$ at time T/2,
- and u^2 , \bar{u}_b^2 and \bar{u}_r^2 bring $|\phi\rangle_T$ to $|g,0\rangle$ at time T/2, then

$$u = u^{1},$$
 $u_{b} = u_{b}^{1},$ $u_{r} = u_{r}^{1}$ for $t \in [0, T/2],$ $u = -u^{2},$ $u_{b} = -u_{b}^{2},$ $u_{r} = -u_{r}^{2}$ for $t \in [T/2, T],$

bring $|\phi\rangle_0$ to $|\phi\rangle_T$ at time T.



Law-Eberly method: iterative reduction from \mathcal{H}_n to \mathcal{H}_{n-1}

Take $|\phi_0\rangle \in \mathcal{H}_n$ and $\overline{T} > 0$:

■ For $t \in [0, \frac{\overline{T}}{2}]$, $\bar{u}_r(t) = \bar{u}_b(t) = 0$, and

$$ar{u}(t) = rac{2i}{\overline{T}} \arctan \left| rac{\phi_{e,n}(0)}{\phi_{g,n}(0)} \right| e^{i \arg(\phi_{g,n}(0)\phi_{e,n}^*(0))}$$

implies $\phi_{e,n}(\overline{T}/2) = 0$;

■ For $t \in [\frac{\overline{T}}{2}, \overline{T}]$, $\bar{u}_b(t) = \bar{u}(t) = 0$, and

$$\overline{u}_r(t) = \frac{2i}{\overline{T}\sqrt{n}} \arctan \left| \frac{\phi_{g,n}(\overline{\frac{T}{2}})}{\phi_{e,n-1}(\overline{\frac{T}{2}})} \right| e^{i \arg \left(\phi_{g,n}(\overline{\frac{T}{2}})\phi_{e,n-1}^*(\overline{\frac{T}{2}})\right)}$$

implies that $\phi_{e,n}(\overline{T}) = 0$ and that $\phi_{g,n}(\overline{T}) = 0$.

The two pulses \bar{u} and \bar{u}_r lead to some $|\phi\rangle(\overline{T}) \in \mathcal{H}_{n-1}$.

Law-Eberly method

Repeating *n* times, we have

$$|\phi\rangle(n\overline{T})\in\mathcal{H}_0= ext{span}\{|g,0\rangle,\langle e,0|\}.$$

• for $t \in [n\overline{T}, (n + \frac{1}{2})\overline{T}]$, the control

$$egin{aligned} ar{u}_{r}(t) &= ar{u}_{b}(t) = 0, \\ ar{u}(t) &= rac{2i}{\overline{T}} \arctan \left| rac{\phi_{e,0}(n\overline{T})}{\phi_{g,0}(n\overline{T})}
ight| e^{i \arg \left(\phi_{g,0}(n\overline{T})\phi_{e,0}^{*}(n\overline{T})\right)} \end{aligned}$$

implies
$$|\phi
angle_{(n+rac{1}{2})\overline{T}}=e^{i heta}|g,0
angle.$$

Exercise: resonant spin-spring system with controls

Consider the resonant spin-spring model with $\Omega \ll |\omega|$:

$$\frac{H}{\hbar} = \frac{\omega}{2}\sigma_{\mathbf{z}} + \omega\left(\mathbf{a}^{\dagger}\mathbf{a} + \frac{1}{2}\right) + i\frac{\Omega}{2}\sigma_{\mathbf{x}}(\mathbf{a}^{\dagger} - \mathbf{a}) + u(\mathbf{a} + \mathbf{a}^{\dagger})$$

with a real control input $u(t) \in \mathbb{R}$:

1 Show that with the resonant control $u(t) = ue^{i\omega t} + u^*e^{-i\omega t}$ with complex amplitude u such that $|u| \ll \omega$, the first order RWA approximation yields the following dynamics in the interaction frame:

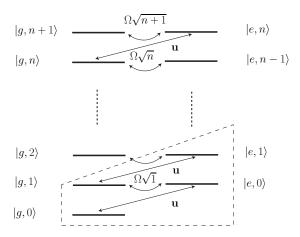
$$irac{d}{dt}|\psi
angle = \left(irac{\Omega}{2}(m{\sigma}.m{a}^\dagger - m{\sigma}_{\!m{+}}m{a}) + m{u}m{a}^\dagger + m{u}^*m{a}
ight)|\psi
angle$$

2 Set $\mathbf{v} \in \mathbb{C}$ solution of $\frac{d}{dt}\mathbf{v} = -i\mathbf{u}$ and consider the following change of frame $|\phi\rangle = D_{-\mathbf{v}}|\psi\rangle$ with the displacement operator $D_{-\mathbf{v}} = e^{-\mathbf{v}\mathbf{a}^{\dagger} + \mathbf{v}^*\mathbf{a}}$. Show that, up to a global phase change, we have, with $\tilde{\mathbf{u}} = i\frac{2}{\Omega}\mathbf{v}$,

$$irac{d}{dt}|\phi
angle = \left(rac{i\Omega}{2}ig(m{\sigma_{ extbf{-}}}m{a}^{\dagger} - m{\sigma_{ extbf{+}}}m{a}ig) + ig(m{ ilde{u}}m{\sigma_{ extbf{+}}} + m{ ilde{u}}^{*}m{\sigma_{ extbf{-}}}ig)
ight)|\phi
angle$$

- Take the orthonormal basis $\{|g,n\rangle,|e,n\rangle\}$ with $n\in\mathbb{N}$ being the photon number and where for instance $|g,n\rangle$ stands for the tensor product $|g\rangle\otimes|n\rangle$. Set $|\phi\rangle=\sum_n\phi_{g,n}|g,n\rangle+\phi_{e,n}|e,n\rangle$ with $\phi_{g,n},\phi_{e,n}\in\mathbb{C}$ depending on t and $\sum_n|\phi_{g,n}|^2+|\phi_{e,n}|^2=1$. Show that, for $n\geq 0$ $i\frac{d}{dt}\phi_{g,n+1}=i\frac{\Omega}{2}\sqrt{n+1}\phi_{e,n}+\tilde{\pmb{u}}^*\phi_{e,n+1},\quad i\frac{d}{dt}\phi_{e,n}=-i\frac{\Omega}{2}\sqrt{n+1}\phi_{g,n+1}+\tilde{\pmb{u}}\phi_{g,n}$ and $i\frac{d}{dt}\phi_{g,0}=\tilde{\pmb{u}}^*\phi_{e,0}.$
- 4 Assume that $|\phi\rangle_0 = |g,0\rangle$. Construct an open-loop control $[0,T] \ni t \mapsto \tilde{\boldsymbol{u}}(t)$ such that $|\phi\rangle_T \approx |g,1\rangle$ (hint: use an impulse for $t \in [0,\epsilon]$ followed by 0 on $[\epsilon,T]$ with $\epsilon \ll T$ and well chosen T).
- Generalize the above open-loop control when the goal state $|\phi\rangle_T$ is $|g,n\rangle$ with any arbitrary photon number n.

Control for resonant spin-spring: schematic



Schematic of Jaynes-Cummings model

Control for resonant spin-spring: real case

We consider $|\phi\rangle_0$ and $|\phi\rangle_T$ in \mathcal{H}_n such that:

$$\langle g, k \mid \phi_0 \rangle, \langle e, k \mid \phi_0 \rangle \in \mathbb{R}$$
 and $\langle g, k \mid \phi_T \rangle, \langle e, k \mid \phi_T \rangle \in \mathbb{R},$

and we consider pure imaginary controls: $\tilde{u} = i\tilde{v}, \ \tilde{v} \in \mathbb{R}$.

Model in the real case:

$$egin{aligned} rac{d}{dt}\phi_{g,0} &= - ilde{v}\phi_{e,0} \ rac{d}{dt}\phi_{g,n+1} &= -rac{\Omega}{2}\sqrt{n+1}\phi_{e,n} - ilde{v}\phi_{e,n+1}, \ rac{d}{dt}\phi_{e,n} &= rac{\Omega}{2}\sqrt{n+1}\phi_{g,n+1} + ilde{v}\phi_{g,n}. \end{aligned}$$