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## Quantum Control

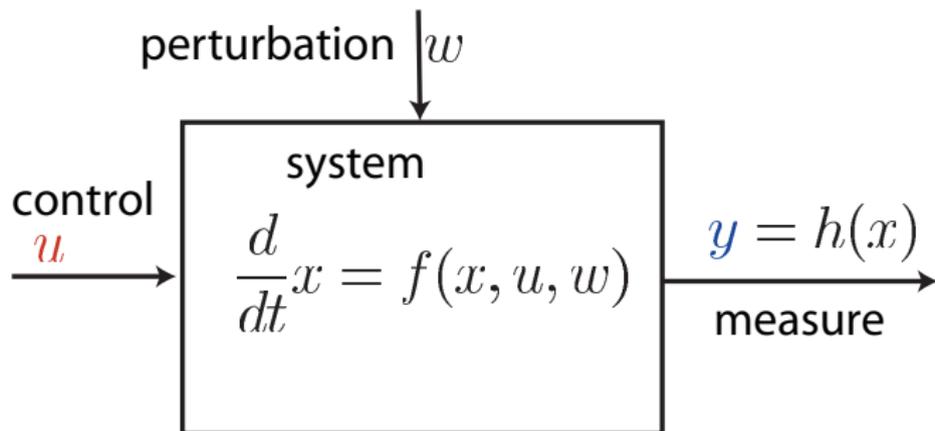
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8th Elgersburg School 2016 (February 28 - March 5, 2016)

Slides and exercises on the web page

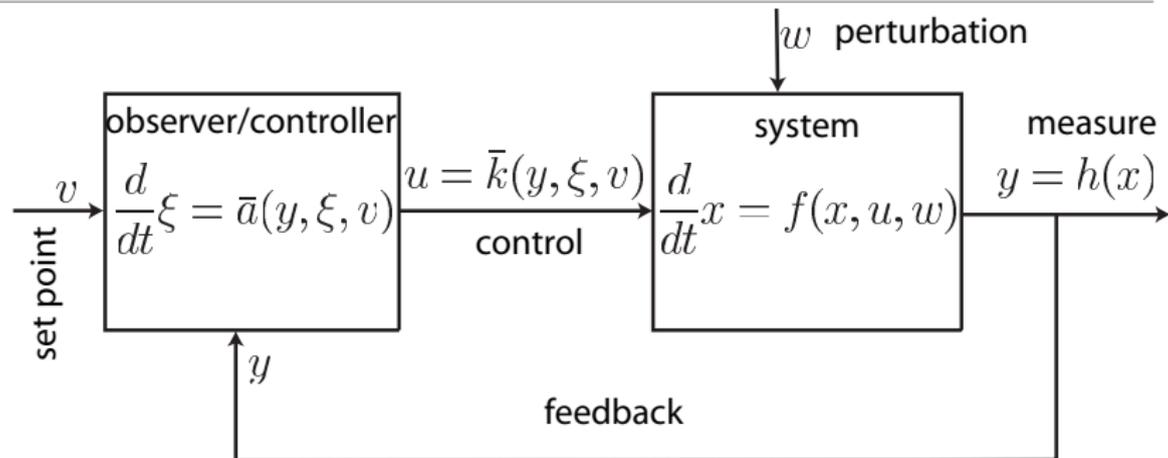
<http://cas.ensmp.fr/~rouchon/index.html>



For the **harmonic oscillator** of pulsation  $\omega$  with **measured position**  $y$ , **controlled by the force**  $u$  and subject to an additional unknown force  $w$ .

$$x = (x_1, x_2) \in \mathbb{R}^2, \quad y = x_1$$
$$\frac{d}{dt}x_1 = x_2, \quad \frac{d}{dt}x_2 = -\omega^2 x_1 + u + w$$

## Feedback for classical systems



**Proportional Integral Derivative (PID)** for  $\frac{d^2}{dt^2}y = -\omega^2 y + u + w$  with the set point  $v = y^c$

$$u = -K_p(y - y^c) - K_d \frac{d}{dt}(y - y^c) - K_{\text{int}} \int (y - y^c)$$

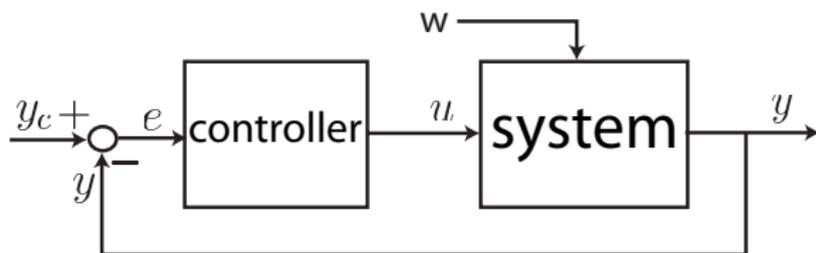
with the positive **gains** ( $K_p, K_d, K_{\text{int}}$ ) tuned as follows ( $0 < \Omega_0 \sim \omega$ ,  $0 < \xi \sim 1$ ,  $0 < \epsilon \ll 1$ ):

$$K_p = \Omega_0^2, \quad K_d = 2\xi\sqrt{\omega^2 + \Omega_0^2}, \quad K_{\text{int}} = \epsilon(\omega^2 + \Omega_0^2)^{3/2}.$$

## Quantum feedback: the back-action of the measurement.

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A typical stabilizing feedback-loop for a classical system



Two kinds of stabilizing feedbacks for quantum systems

1. **Measurement-based feedback: controller is classical;** measurement back-action on the system  $S$  is stochastic (**collapse of the wave-packet**); the measured output  $y$  is a classical signal; the control input  $u$  is a classical variable appearing in some controlled Schrödinger equation;  $u(t)$  depends on the past measurements  $y(\tau)$ ,  $\tau \leq t$ .
2. **Coherent/autonomous feedback and reservoir engineering:** the system  $S$  is coupled to **the controller, another quantum system**; the composite system,  $\mathcal{H}_S \otimes \mathcal{H}_{\text{controller}}$ , is an open-quantum system relaxing to some target (separable) state.

## Several reference books

1. Cohen-Tannoudji, C.; Diu, B. & Laloë, F.: *Mécanique Quantique* Hermann, Paris, 1977, I & II (*quantum physics: a well known and tutorial textbook*)
2. S. Haroche, J.M. Raimond: *Exploring the Quantum: Atoms, Cavities and Photons*. Oxford University Press, 2006. (*quantum physics: spin/spring systems, decoherence, Schrödinger cats, entanglement.* )  
See also lectures at Collège de France:  
<http://www.cqed.org/college/collegeparis.html>
3. H. Wiseman, G. Milburn: *Quantum Measurement and Control*. Cambridge University Press, 2009. (*quantum physics and control: estimation and feedback*)
4. C. Gardiner, P. Zoller: *The Quantum World of Ultra-Cold Atoms and Light: Book I and Book II*, Imperial College Press, London., 2014 and 2015 (*a full suite of theoretical techniques needed for quantum technologies*)
5. Barnett, S. M. & Radmore, P. M.: *Methods in Theoretical Quantum Optics* Oxford University Press, 2003. (*mathematical physics: many useful operator formulae for spin/spring systems* )
6. E. Davies: *Quantum Theory of Open Systems*. Academic Press, 1976.  
(*mathematical physics: functional analysis aspects when the Hilbert space is of infinite dimension* )
7. Gardiner, C. W.: *Handbook of Stochastic Methods for Physics, Chemistry, and the Natural Sciences* [3rd ed], Springer, 2004. (*tutorial introduction to probability, Markov processes, stochastic differential equations and Ito calculus.* )
8. M. Nielsen, I. Chuang: *Quantum Computation and Quantum Information*. Cambridge University Press, 2000. (*tutorial introduction with a computer science and communication view point* )

## Outline of the lectures and exercises

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- Monday:** feedback for classical and for quantum systems; the first experimental realization of a quantum-state feedback (LKB photon box); the quantum harmonic oscillator; three quantum features **Schrödinger deterministic evolution; stochastic collapse of the wave packet; tensor product for composite systems;** entanglement between the probe-qubit and the photons; qubit-measurement back-action on the photons; derivation of the discrete-time Markov model in the ideal case; **Matlab simulations with the wave function; how to cope with imperfections such as detection efficiency and detection error; passage to the density operator formulation; Matlab simulations with the density operator; discussion on the asymptotic behavior.**
- Tuesday:** adding measurement imperfections; decoherence as unread fictitious measurements; creation annihilation operators; discrete-time Markov chain; quantum trajectories; QND measurement of photons; convergence analysis based on martingales and super-martingales. **Realistic Matlab simulation in open-loop including cavity decoherence and thermal photon; Lyapunov stabilization of photon-number state via a measurement-based feedback; closed-loop simulation in the ideal and realistic cases.**

## Outline of the lectures and exercises (end)

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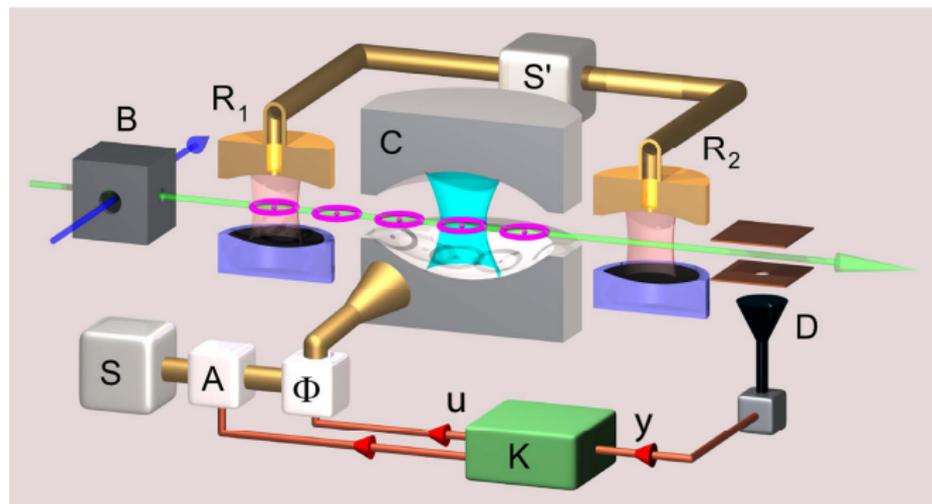
**Wednesday:** The structure of discrete-time models of open-quantum systems: hidden Markov chain; Kraus maps; quantum channels.  
The structure of continuous-time models: stochastic master equation in the diffusive case; Ito calculus for dummies; infinitesimal Kraus maps and Lindblad master equations.

**Thursday:** half-spin system or qubit; Pauli operators; Bloch sphere representation of the density operator; QND measurement of a super-conducting qubit via homodyne or heterodyne measurements; the stochastic master equation; convergence analysis based on martingales. Decoherence attached to fluorescence and dephasing.  
**Simulation of the QND measurement of a super-conducting qubit; feedback stabilization via measurement-based feedback**

**Friday (to be discussed with the participants):** coherent (autonomous feedback) and reservoir engineering: the controller is another open quantum system highly dissipative; dispersive and resonant coupling for spin/pring systems; cooling;  
**Stabilization of a Schrödinger cat via an autonomous feedback scheme**

## The first experimental realization of a **quantum state feedback**

The photon box of the Laboratoire Kastler-Brossel (LKB):  
group of S.Haroche (Nobel Prize 2012), J.M.Raimond and M. Brune.



**Stabilization of a quantum state with exactly  $n = 0, 1, 2, 3, \dots$  photon(s).**

**Experiment:** C. Sayrin et. al., Nature 477, 73-77, September 2011.

**Theory:** I. Dotsenko et al., Physical Review A, 80: 013805-013813, 2009.

R. Somaraju et al., Rev. Math. Phys., 25, 1350001, 2013.

H. Amini et. al., Automatica, 49 (9): 2683-2692, 2013.

<sup>1</sup>Courtesy of Igor Dotsenko. **Sampling period 80  $\mu$ s.**

## Three quantum features emphasized by the LKB photon box<sup>2</sup>

1. **Schrödinger**: wave funct.  $|\psi\rangle \in \mathcal{H}$  or density op.  $\rho \sim |\psi\rangle\langle\psi|$

$$\frac{d}{dt}|\psi\rangle = -\frac{i}{\hbar}\mathbf{H}|\psi\rangle, \quad \frac{d}{dt}\rho = -\frac{i}{\hbar}[\mathbf{H}, \rho], \quad \mathbf{H} = \mathbf{H}_0 + u\mathbf{H}_1$$

2. **Origin of dissipation: collapse of the wave packet** induced by the measurement of observable  $\mathbf{O}$  with spectral decomp.  $\sum_{\mu} \lambda_{\mu} \mathbf{P}_{\mu}$ :

- ▶ measurement outcome  $\mu$  with proba.

$\mathbb{P}_{\mu} = \langle\psi|\mathbf{P}_{\mu}|\psi\rangle = \text{Tr}(\rho\mathbf{P}_{\mu})$  depending on  $|\psi\rangle$ ,  $\rho$  just before the measurement

- ▶ measurement back-action if outcome  $\mu = y$ :

$$|\psi\rangle \mapsto |\psi\rangle_+ = \frac{\mathbf{P}_y|\psi\rangle}{\sqrt{\langle\psi|\mathbf{P}_y|\psi\rangle}}, \quad \rho \mapsto \rho_+ = \frac{\mathbf{P}_y\rho\mathbf{P}_y}{\text{Tr}(\rho\mathbf{P}_y)}$$

3. **Tensor product for the description of composite systems** ( $S, M$ ):

- ▶ Hilbert space  $\mathcal{H} = \mathcal{H}_S \otimes \mathcal{H}_M$
- ▶ Hamiltonian  $\mathbf{H} = \mathbf{H}_S \otimes \mathbf{I}_M + \mathbf{H}_{int} + \mathbf{I}_S \otimes \mathbf{H}_M$
- ▶ observable on sub-system  $M$  only:  $\mathbf{O} = \mathbf{I}_S \otimes \mathbf{O}_M$ .

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<sup>2</sup>S. Haroche and J.M. Raimond. *Exploring the Quantum: Atoms, Cavities and Photons*. Oxford Graduate Texts, 2006.

## Composite system built with an harmonic oscillator and a qubit.

- ▶ **System**  $S$  corresponds to a quantized harmonic oscillator:

$$\mathcal{H}_S = \left\{ \sum_{n=0}^{\infty} \psi_n |n\rangle \mid (\psi_n)_{n=0}^{\infty} \in l^2(\mathbb{C}) \right\},$$

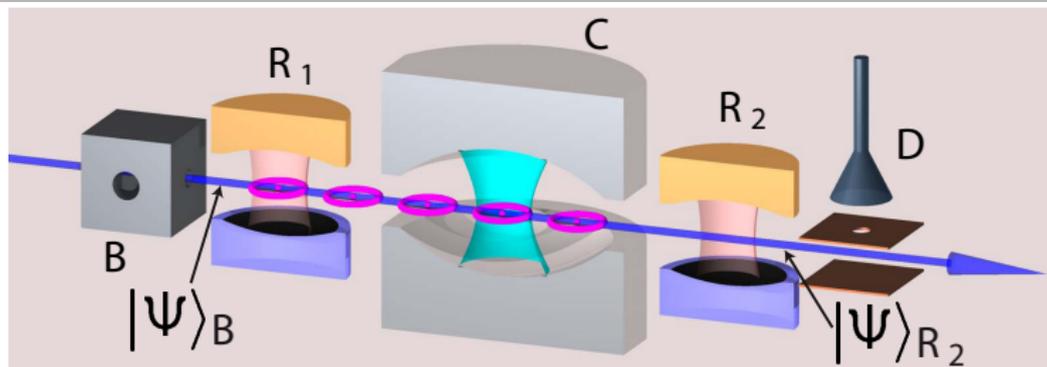
where  $|n\rangle$  represents the Fock state associated to exactly  $n$  photons inside the cavity

- ▶ **Meter**  $M$  is a qu-bit, a 2-level system (idem 1/2 spin system) :  $\mathcal{H}_M = \mathbb{C}^2$ , each atom admits two energy levels and is described by a wave function  $c_g|g\rangle + c_e|e\rangle$  with  $|c_g|^2 + |c_e|^2 = 1$ ; atoms leaving  $B$  are all in state  $|g\rangle$
- ▶ **State of the full system**  $|\Psi\rangle \in \mathcal{H}_S \otimes \mathcal{H}_M$ :

$$|\Psi\rangle = \sum_{n=0}^{+\infty} \psi_{ng} |n\rangle \otimes |g\rangle + \psi_{ne} |n\rangle \otimes |e\rangle, \quad \psi_{ne}, \psi_{ng} \in \mathbb{C}.$$

Ortho-normal basis:  $(|n\rangle \otimes |g\rangle, |n\rangle \otimes |e\rangle)_{n \in \mathbb{N}}$ .

## The Markov ideal model (1)



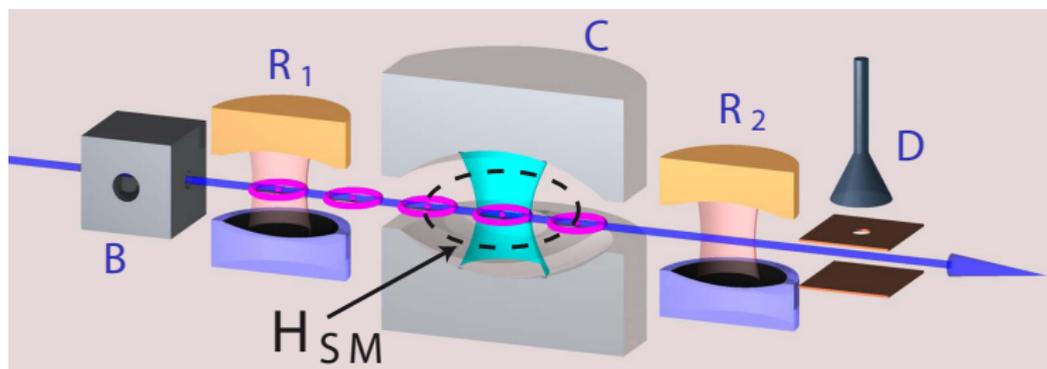
- ▶ When atom comes out  $B$ ,  $|\Psi\rangle_B$  of the full system is **separable**  
 $|\Psi\rangle_B = |\psi\rangle \otimes |g\rangle$ .
- ▶ Just before the measurement in  $D$ , the state is in general **entangled** (not separable):

$$|\Psi\rangle_{R_2} = \mathbf{U}_{SM}(|\psi\rangle \otimes |g\rangle) = (\mathbf{M}_g|\psi\rangle) \otimes |g\rangle + (\mathbf{M}_e|\psi\rangle) \otimes |e\rangle$$

where  $\mathbf{U}_{SM}$  is a unitary transformation (Schrödinger propagator) defining the linear measurement operators  $\mathbf{M}_g$  and  $\mathbf{M}_e$  on  $\mathcal{H}_S$ .

Since  $\mathbf{U}_{SM}$  is unitary,  $\mathbf{M}_g^\dagger \mathbf{M}_g + \mathbf{M}_e^\dagger \mathbf{M}_e = \mathbf{I}$ .

## The Markov ideal model (2)



The unitary propagator  $\mathbf{U}_{SM}$  is derived from Jaynes-Cummings Hamiltonian  $\mathbf{H}_{SM}$  in the interaction frame.

Two kinds of qubit/cavity Hamiltonians:

**resonant**,  $\mathbf{H}_{SM}/\hbar = i(\Omega(vt)/2) (\mathbf{a}^\dagger \otimes \sigma_- - \mathbf{a} \otimes \sigma_+)$ ,

**dispersive**,  $\mathbf{H}_{SM}/\hbar = (\Omega^2(vt)/(2\delta)) \mathbf{N} \otimes \sigma_z$ ,

where  $\Omega(x) = \Omega_0 e^{-x^2/w^2}$ ,  $x = vt$  with  $v$  atom velocity,  $\Omega_0$  vacuum Rabi pulsation,  $w$  radial mode-width and where  $\delta = \omega_q - \omega_c$  is the detuning between qubit pulsation  $\omega_q$  and cavity pulsation  $\omega_c$  ( $|\delta| \ll \Omega_0$ ).

The solution of  $i\frac{d}{dt}\mathbf{U} = -\frac{i}{\hbar}\mathbf{H}_{SM}(t)\mathbf{U}$ , with  $\mathbf{U}_0 = \mathbf{I}$  reads

- ▶ for  $\mathbf{H}_{SM}(t)/\hbar = i f(t)(\mathbf{a}^\dagger \otimes |g\rangle\langle e| - \mathbf{a} \otimes |e\rangle\langle g|)$  (**resonant**)

$$\begin{aligned} \mathbf{U}_t = & \cos\left(\frac{\theta_t}{2}\sqrt{\mathbf{N}}\right) \otimes |g\rangle\langle g| + \cos\left(\frac{\theta_t}{2}\sqrt{\mathbf{N}+\mathbf{I}}\right) \otimes |e\rangle\langle e| \\ & - \mathbf{a} \frac{\sin\left(\frac{\theta_t}{2}\sqrt{\mathbf{N}}\right)}{\sqrt{\mathbf{N}}} \otimes |e\rangle\langle g| + \frac{\sin\left(\frac{\theta_t}{2}\sqrt{\mathbf{N}}\right)}{\sqrt{\mathbf{N}}} \mathbf{a}^\dagger \otimes |g\rangle\langle e|. \end{aligned}$$

- ▶ for  $\mathbf{H}_{SM}(t)/\hbar = f(t)\mathbf{N} \otimes (|e\rangle\langle e| - |g\rangle\langle g|)$  (**dispersive**)

$$\mathbf{U}(t) = \exp(i\theta(t)\mathbf{N}) \otimes |g\rangle\langle g| + \exp(-i\theta(t)\mathbf{N}) \otimes |e\rangle\langle e|.$$

where  $\theta(t) = \int_0^t f(\tau) d\tau$ .

## The Markov ideal model (3)

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Just before  $D$ , the field/atom state is **entangled**:

$$\mathbf{M}_g|\psi\rangle \otimes |g\rangle + \mathbf{M}_e|\psi\rangle \otimes |e\rangle$$

Denote by  $\mu \in \{g, e\}$  the measurement outcome in detector  $D$ : with probability  $\mathbb{P}_\mu = \langle \psi | \mathbf{M}_\mu^\dagger \mathbf{M}_\mu | \psi \rangle$  we get  $\mu$ . Just after the measurement outcome  $\mu = y$ , **the state becomes separable**:

$$|\Psi\rangle_D = \frac{1}{\sqrt{\mathbb{P}_y}} (\mathbf{M}_y|\psi\rangle) \otimes |y\rangle = \left( \frac{\mathbf{M}_y}{\sqrt{\langle \psi | \mathbf{M}_y^\dagger \mathbf{M}_y | \psi \rangle}} |\psi\rangle \right) \otimes |y\rangle.$$

**Markov process** (wave function formulation )

$$|\psi\rangle_+ = \begin{cases} \frac{\mathbf{M}_g}{\sqrt{\langle \psi | \mathbf{M}_g^\dagger \mathbf{M}_g | \psi \rangle}} |\psi\rangle & \text{with probability } \mathbb{P}_g = \langle \psi | \mathbf{M}_g^\dagger \mathbf{M}_g | \psi \rangle; \\ \frac{\mathbf{M}_e}{\sqrt{\langle \psi | \mathbf{M}_e^\dagger \mathbf{M}_e | \psi \rangle}} |\psi\rangle & \text{with probability } \mathbb{P}_e = \langle \psi | \mathbf{M}_e^\dagger \mathbf{M}_e | \psi \rangle; \end{cases}$$

See the quantum Monte Carlo simulations of the Matlab script:

[WaveModelPhotonBox.m](#).

## Monday exercise (1)

**Passage to the density operator** Show that the wave function formulation

$$|\psi\rangle_+ = \frac{\mathbf{M}_y}{\sqrt{\langle\psi|\mathbf{M}_y^\dagger\mathbf{M}_y|\psi\rangle}}|\psi\rangle \text{ becomes with the density operator}$$

$$\rho = |\psi\rangle\langle\psi|: \rho_+ = \frac{\mathbf{M}_y\rho\mathbf{M}_y^\dagger}{\text{Tr}(\mathbf{M}_y\rho\mathbf{M}_y^\dagger)} \text{ where } y \text{ is the measurement outcome.}$$

**Detection efficiency alone** The probability to detect the atom is  $\eta \in [0, 1]$ . Thus we have 3 possible outcomes for  $y$ :  $y = g$  if detection in  $g$ ,  $y = e$  if detection in  $e$  and  $y = 0$  if no detection. By definition,  $\rho_+$  is the expectation value of the density operator just after the measurement knowing the measurement outcome and the density operator just before the measurement. Show that

$$\rho_+ = \begin{cases} \frac{\mathbf{M}_g\rho\mathbf{M}_g^\dagger}{\text{Tr}(\mathbf{M}_g\rho\mathbf{M}_g^\dagger)} & \text{if } y = g \equiv -1, \text{ probability } \eta \text{Tr}(\mathbf{M}_g\rho\mathbf{M}_g^\dagger) \\ \frac{\mathbf{M}_e\rho\mathbf{M}_e^\dagger}{\text{Tr}(\mathbf{M}_e\rho\mathbf{M}_e^\dagger)} & \text{if } y = e \equiv +1, \text{ probability } \eta \text{Tr}(\mathbf{M}_e\rho\mathbf{M}_e^\dagger) \\ \mathbf{M}_g\rho\mathbf{M}_g^\dagger + \mathbf{M}_e\rho\mathbf{M}_e^\dagger & \text{if } y = 0, \text{ probability } 1 - \eta \end{cases}$$

**Matlab simulations with  $\eta = 1/3$**  Transform the wave function formulation of `WaveModelPhotonBox.m` into the density operator formulation with a detection efficiency  $\eta = 1/3$ ; show that the photon populations correspond then to the diagonal of  $\rho$ ; what is the main change versus `WaveModelPhotonBox.m`? Look at the evolution of the off-diagonal elements of  $\rho$ : what do you observe numerically?

## Monday exercise (2)

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**Detection errors alone** We assume that the probability to detect  $y = e$  knowing that the true collapse of the atom is  $g$  is denoted by  $\mathbb{P}(y = e/\mu = g) = \eta_g \in [0, 1]$ . Similarly  $\mathbb{P}(y = g/\mu = e) = \eta_e \in [0, 1]$  the probability of erroneous assignment to  $g$  when the atom collapses in  $e$ . Show that  $\rho_+$  is given by the following rule (use the Bayes law on conditional probabilities)

$$\rho_+ = \begin{cases} \frac{(1-\eta_g)\mathbf{M}_g\rho\mathbf{M}_g^\dagger + \eta_e\mathbf{M}_e\rho\mathbf{M}_e^\dagger}{\text{Tr}\left((1-\eta_g)\mathbf{M}_g\rho\mathbf{M}_g^\dagger + \eta_e\mathbf{M}_e\rho\mathbf{M}_e^\dagger\right)} & \text{if } y = g, \text{ prob. } \text{Tr}\left((1-\eta_g)\mathbf{M}_g\rho\mathbf{M}_g^\dagger + \eta_e\mathbf{M}_e\rho\mathbf{M}_e^\dagger\right); \\ \frac{\eta_g\mathbf{M}_g\rho\mathbf{M}_g^\dagger + (1-\eta_e)\mathbf{M}_e\rho\mathbf{M}_e^\dagger}{\text{Tr}\left(\eta_g\mathbf{M}_g\rho\mathbf{M}_g^\dagger + (1-\eta_e)\mathbf{M}_e\rho\mathbf{M}_e^\dagger\right)} & \text{if } y = e, \text{ prob. } \text{Tr}\left(\eta_g\mathbf{M}_g\rho\mathbf{M}_g^\dagger + (1-\eta_e)\mathbf{M}_e\rho\mathbf{M}_e^\dagger\right). \end{cases}$$

**Detection efficiency and errors** What are the transition rules for  $\rho_+$  with a detection efficiency  $\eta$  and errors rates  $\eta_g$  and  $\eta_e$ ?

**Matlab simulations with  $\eta = 1/3$  and  $\eta_g = \eta_e = 1/10$ .** Adapt the previous Matlab simulation with  $\eta = 1/3$  to detection errors with rates  $\eta_g = \eta_e = 1/10$ . What do you observe on the convergence speed? Does it change the asymptotic values of the off diagonal elements of  $\rho$ ?

## Recall: quantum system under measurement (discrete-time)

Quantum state  $\rho$  summarizes our knowledge about the system (quantum equivalent of proba.distr. over possible configurations)

- ▶ Hamiltonian interaction of **target system** with **measurement system**: propagator in  $\mathcal{H}_S \otimes \mathcal{H}_M$

$$U(|\psi_S\rangle \otimes |\psi_M\rangle) = \mathbf{M}_g |\psi_S\rangle \otimes |g\rangle + \mathbf{M}_e |\psi_S\rangle \otimes |e\rangle$$

with  $\mathbf{M}_g^\dagger \mathbf{M}_g + \mathbf{M}_e^\dagger \mathbf{M}_e = I$ .

- ▶ Collapse of **measurement system** (from quantum to classical) at detection implies stochastic evolution of target system:

$$\rho_+ = \begin{cases} \frac{\mathbf{M}_g \rho \mathbf{M}_g^\dagger}{\text{Tr}(\mathbf{M}_g \rho \mathbf{M}_g^\dagger)} \text{ if } y = g, \text{ prob. } \text{Tr}(\mathbf{M}_g \rho \mathbf{M}_g^\dagger); \\ \frac{\mathbf{M}_e \rho \mathbf{M}_e^\dagger}{\text{Tr}(\mathbf{M}_e \rho \mathbf{M}_e^\dagger)} \text{ if } y = e, \text{ prob. } \text{Tr}(\mathbf{M}_e \rho \mathbf{M}_e^\dagger). \end{cases}$$

Here, QND measurement of photon number:

$$\begin{aligned} \mathbf{M}_g &= \sum_{n \in \mathbb{N}} \cos \phi_n |n\rangle \langle n| \\ \mathbf{M}_e &= \sum_{n \in \mathbb{N}} \sin \phi_n |n\rangle \langle n| \end{aligned}$$

- ▶ For any real function  $f$ ,  $\text{Tr}(f(\mathbf{N})\rho)$  is a martingale:

$$\mathbb{E}(\text{Tr}(f(\mathbf{N})\rho_{k+1}) \mid \rho_k) = \text{Tr}(f(\mathbf{N})\rho_k).$$

**Interpretation:** in particular for  $f(\mathbf{N}) = |n_{\text{target}}\rangle\langle n_{\text{target}}|$ , we have

$$\mathbb{E}(\langle n_{\text{target}} | \rho_{k+1} | n_{\text{target}} \rangle) = \langle n_{\text{target}} | \rho_k | n_{\text{target}} \rangle$$

i.e. the probability to be at  $|n_{\text{target}}\rangle$  stays constant.

- ▶  $V(\rho) = 1 - \sum_{n \geq 0} (\langle n | \rho | n \rangle)^2$  is a super-martingale:

$$\mathbb{E}(V(\rho_{k+1}) \mid \rho_k) - V(\rho_k) = -W(\rho_k) \leq 0$$

since we have  $W(\rho) = \sum_n W_n(\rho)$  with all  $W_n(\rho)$  nonnegative:<sup>3</sup>

$$W_n(\rho) = \text{Tr}(\mathbf{M}_g \rho \mathbf{M}_g^\dagger) \text{Tr}(\mathbf{M}_e \rho \mathbf{M}_e^\dagger) \left( \frac{|\cos(\varphi_n)|^2 \langle n | \rho | n \rangle}{\text{Tr}(\mathbf{M}_g \rho \mathbf{M}_g^\dagger)} - \frac{|\sin(\varphi_n)|^2 \langle n | \rho | n \rangle}{\text{Tr}(\mathbf{M}_e \rho \mathbf{M}_e^\dagger)} \right)^2$$

**Interpretation:**  $\rho$  gets closer to satisfying  $\sum_n \rho_{n,n}^2 = \sum_n \rho_{n,n} = 1$  i.e. to a form  $\rho = |\bar{n}\rangle\langle\bar{n}|$  (“pure state” = maximal information state) for an a priori random  $n$ . **Information extracted by measurement makes state “less uncertain” a posteriori but not more predictable a priori.**

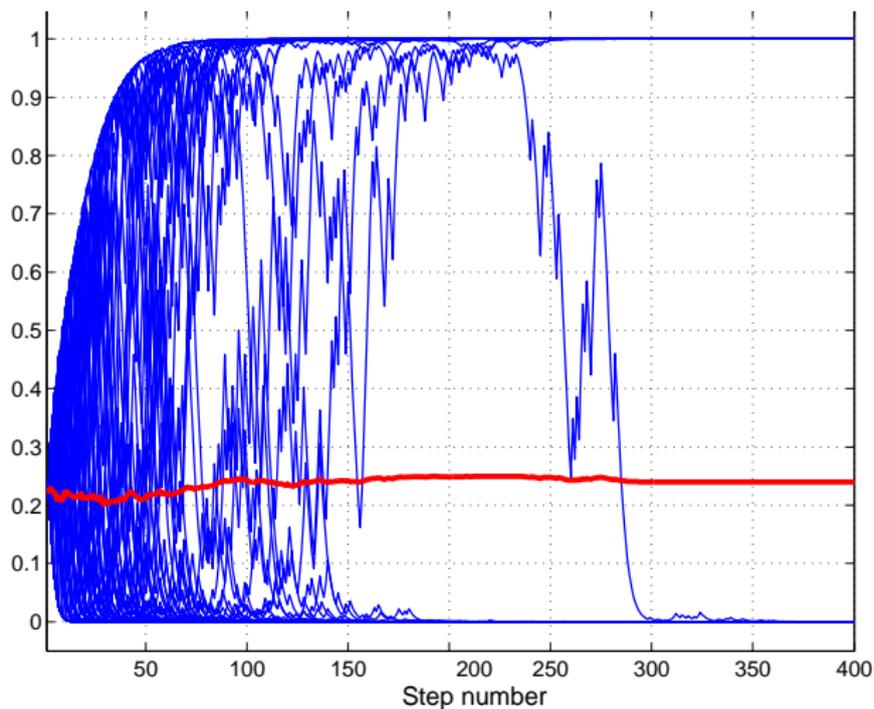
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<sup>3</sup>[Use the identity  $p x^2 + (1-p)y^2 - (p x + (1-p)y)^2 = p(1-p)(x-y)^2$ ]

## Asymptotic behavior: numerical simulations

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100 Monte-Carlo simulations of  $\text{Tr}(\rho_k|3\rangle\langle 3|)$  versus  $k$



This is an idealized situation: **with pure state**  $\rho = |\psi\rangle\langle\psi|$ , we have

$$\rho_+ = |\psi_+\rangle\langle\psi_+| = \mathbf{M}_\mu \rho \mathbf{M}_\mu^\dagger / \text{Tr}(\mathbf{M}_\mu \rho \mathbf{M}_\mu^\dagger)$$

when the atom collapses in  $\mu = g, e$  with proba.  $\text{Tr}(\mathbf{M}_\mu \rho \mathbf{M}_\mu^\dagger)$ .

We will now add **perturbations from the environment**.

## Recall: LKB photon-box: Markov process with detection efficiency

**Detection efficiency:** the probability to detect the atom is  $\eta \in [0, 1]$ .  
Three possible outcomes for  $y \in \{g, e, 0\}$ .

**The only possible update is based on  $\rho$ :** expectation  $\rho_+$  of  $|\psi_+\rangle\langle\psi_+|$  knowing  $\rho$  and the outcome  $y \in \{g, e, 0\}$ .

$$\rho_+ = \begin{cases} \frac{\mathbf{M}_g \rho \mathbf{M}_g^\dagger}{\text{Tr}(\mathbf{M}_g \rho \mathbf{M}_g)} & \text{if } y = g, \text{ probability } \eta \text{Tr}(\mathbf{M}_g \rho \mathbf{M}_g) \\ \frac{\mathbf{M}_e \rho \mathbf{M}_e^\dagger}{\text{Tr}(\mathbf{M}_e \rho \mathbf{M}_e)} & \text{if } y = e, \text{ probability } \eta \text{Tr}(\mathbf{M}_e \rho \mathbf{M}_e) \\ \mathbf{M}_g \rho \mathbf{M}_g^\dagger + \mathbf{M}_e \rho \mathbf{M}_e^\dagger & \text{if } y = 0, \text{ probability } 1 - \eta \end{cases}$$

$\rho_+$  does not remain pure: the quantum state  $\rho_+$  becomes a “mixed state” (rank  $> 1$ ) reflecting a classical probability distribution.  
 $|\psi_+\rangle$  becomes physically inaccessible=irrelevant.

**General viewpoint:** add another measurement device with possible outcomes  $\lambda \in \{\dots\}$ , with operators  $\tilde{M}_\lambda$ .

These measurement outcomes are inaccessible ( $\eta = 0$ ): the associated information is lost into the environment.

**The only possible update is based on  $\rho$ :** expectation  $\rho_+$  of  $|\psi_+\rangle\langle\psi_+|$  knowing  $\rho$ , the (imperfect) detection  $y$ , and nothing about  $\lambda$ .

$$\rho_{+/2} = \sum_\lambda \tilde{M}_\lambda \rho \tilde{M}_\lambda^\dagger \quad \text{where } \sum_\lambda \tilde{M}_\lambda^\dagger \tilde{M}_\lambda = I$$
$$\rho_+ = \begin{cases} \frac{M_g \rho_{+/2} M_g^\dagger}{\text{Tr}(M_g \rho_{+/2} M_g)} & \text{if } y = g, \text{ probability } \eta \text{Tr}(M_g \rho_{+/2} M_g) \\ \frac{M_e \rho_{+/2} M_e^\dagger}{\text{Tr}(M_e \rho_{+/2} M_e)} & \text{if } y = e, \text{ probability } \eta \text{Tr}(M_e \rho_{+/2} M_e) \\ M_g \rho_{+/2} M_g^\dagger + M_e \rho_{+/2} M_e^\dagger & \text{if } y = 0, \text{ probability } 1 - \eta \end{cases}$$

Under  $\rho \mapsto \rho_{+/2}$  implied by the environment alone,  $\rho = |\psi\rangle\langle\psi|$  does not remain pure. This has been called **decoherence**. Its effects, similar to damping in classical systems, are well-known historically.

The field in the **cavity** interacts weakly with **other fields in the universe**. Overall Hilbert space (simplified model):  $\mathcal{H}_S \otimes \mathcal{H}_E$ . Resonant interaction:

$$\mathbf{H}_{SE}/\hbar = i\sqrt{\gamma}(\mathbf{a}^\dagger \otimes \mathbf{b} - \mathbf{b}^\dagger \otimes \mathbf{a})$$

Propagator over  $dt = 1$  for  $\gamma \ll 1$ :

$$\mathbf{U} \simeq \mathbf{I} + i\sqrt{\gamma}(\mathbf{a}^\dagger \otimes \mathbf{b} - \mathbf{b}^\dagger \otimes \mathbf{a}) - \frac{\gamma}{2}(\mathbf{a}^\dagger \otimes \mathbf{b} - \mathbf{b}^\dagger \otimes \mathbf{a})^2$$

For environment at zero temperature, the initial environment state is  $|\psi_E\rangle = |0\rangle$  such that  $\mathbf{b}|\psi_E\rangle = 0$  and  $\mathbf{b}^\dagger|\psi_E\rangle = |1\rangle$ . Thus:

$$\mathbf{U}(|\psi_S\rangle \otimes |\psi_E\rangle) = \tilde{\mathbf{M}}_{-1}|\psi_S\rangle \otimes |1\rangle_E + \tilde{\mathbf{M}}_0|\psi_S\rangle \otimes |0\rangle_E$$

with  $\tilde{\mathbf{M}}_{-1} = \sqrt{\gamma}\mathbf{a}$  and  $\tilde{\mathbf{M}}_0 = \mathbf{I} - \frac{\gamma}{2}\mathbf{a}^\dagger\mathbf{a}$  to first order (proba  $O(\gamma)$ ).

Markov chain evolution operators:

- ▶ **zero photon annihilation** during  $\Delta T$ : Kraus operator

$$\tilde{\mathbf{M}}_0 = \mathbf{I} - \frac{\Delta T}{2} \mathbf{L}_{-1}^\dagger \mathbf{L}_{-1}, \text{ probability } \approx \text{Tr} \left( \tilde{\mathbf{M}}_0 \rho_t \tilde{\mathbf{M}}_0^\dagger \right) \text{ with back action } \rho_{t+\Delta T} \approx \frac{\tilde{\mathbf{M}}_0 \rho_t \tilde{\mathbf{M}}_0^\dagger}{\text{Tr} \left( \tilde{\mathbf{M}}_0 \rho_t \tilde{\mathbf{M}}_0^\dagger \right)}.$$

- ▶ **one photon annihilation** during  $\Delta T$ : Kraus operator

$$\tilde{\mathbf{M}}_{-1} = \sqrt{\Delta T} \mathbf{L}_{-1}, \text{ probability } \approx \text{Tr} \left( \tilde{\mathbf{M}}_{-1} \rho_t \tilde{\mathbf{M}}_{-1}^\dagger \right) \text{ with back action } \rho_{t+\Delta T} \approx \frac{\tilde{\mathbf{M}}_{-1} \rho_t \tilde{\mathbf{M}}_{-1}^\dagger}{\text{Tr} \left( \tilde{\mathbf{M}}_{-1} \rho_t \tilde{\mathbf{M}}_{-1}^\dagger \right)}$$

where

$$\mathbf{L}_{-1} = \sqrt{\gamma} \mathbf{a}$$

is the **Lindblad operator associated to cavity damping** (see below the continuous time models) with  $1/\gamma = T_{cav}$  the photon life time and  $\Delta T \ll T_{cav}$  the sampling period ( $T_{cav} = 100 \text{ ms}$  and  $\Delta T \approx 100 \text{ } \mu\text{s}$  for the LKB photon Box).

## LKB photon-box: Decoherence through Cavity decay

At nonzero temperature, three possible outcomes:

- ▶ **zero photon annihilation** during  $\Delta T$ : Kraus operator

$$\tilde{\mathbf{M}}_0 = \mathbf{I} - \frac{\Delta T}{2} \mathbf{L}_{-1}^\dagger \mathbf{L}_{-1} - \frac{\Delta T}{2} \mathbf{L}_1^\dagger \mathbf{L}_1, \text{ probability } \approx \text{Tr} \left( \tilde{\mathbf{M}}_0 \rho_t \tilde{\mathbf{M}}_0^\dagger \right) \text{ with back action } \rho_{t+\Delta T} \approx \frac{\tilde{\mathbf{M}}_0 \rho_t \tilde{\mathbf{M}}_0^\dagger}{\text{Tr} \left( \tilde{\mathbf{M}}_0 \rho_t \tilde{\mathbf{M}}_0^\dagger \right)}.$$

- ▶ **one photon annihilation** during  $\Delta T$ : Kraus operator

$$\tilde{\mathbf{M}}_{-1} = \sqrt{\Delta T} \mathbf{L}_{-1}, \text{ probability } \approx \text{Tr} \left( \tilde{\mathbf{M}}_{-1} \rho_t \tilde{\mathbf{M}}_{-1}^\dagger \right) \text{ with back action } \rho_{t+\Delta T} \approx \frac{\tilde{\mathbf{M}}_{-1} \rho_t \tilde{\mathbf{M}}_{-1}^\dagger}{\text{Tr} \left( \tilde{\mathbf{M}}_{-1} \rho_t \tilde{\mathbf{M}}_{-1}^\dagger \right)}$$

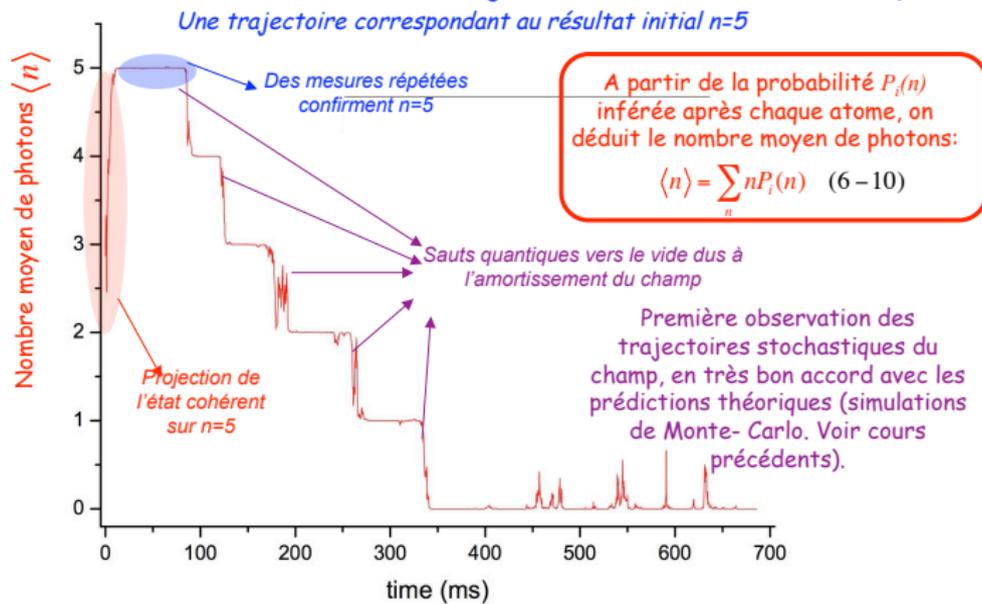
- ▶ **one photon creation** during  $\Delta T$ : Kraus operator  $\tilde{\mathbf{M}}_1 = \sqrt{\Delta T} \mathbf{L}_1$ , probability  $\approx \text{Tr} \left( \tilde{\mathbf{M}}_1 \rho_t \tilde{\mathbf{M}}_1^\dagger \right)$  with back action  $\rho_{t+\Delta T} \approx \frac{\tilde{\mathbf{M}}_1 \rho_t \tilde{\mathbf{M}}_1^\dagger}{\text{Tr} \left( \tilde{\mathbf{M}}_1 \rho_t \tilde{\mathbf{M}}_1^\dagger \right)}$

where

$$\mathbf{L}_{-1} = \sqrt{\frac{1+n_{th}}{T_{cav}}} \mathbf{a}, \quad \mathbf{L}_1 = \sqrt{\frac{n_{th}}{T_{cav}}} \mathbf{a}^\dagger$$

are the **Lindblad operators associated to cavity decoherence**:  $n_{th}$  is the average presence of thermal photons ( $n_{th} \approx 0.05$  for the LKB photon box).

## Valeur moyenne du nombre de photons le long d'une longue séquence de mesure: observation d'une trajectoire stochastique



<sup>4</sup>From Serge Haroche, Collège de France, notes de cours 2007/2008.

## Summary: quantum measurement and the route to feedback

- ▶ The **environment** measuring our quantum system implies **decoherence**. The state moves stochastically; the best an external observer (we) can do is describe the **expected evolution** by

$$\rho_+ = \sum_{\lambda} \tilde{\mathbf{M}}_{\lambda} \rho \tilde{\mathbf{M}}_{\lambda}^{\dagger} \quad \text{where } \sum_{\lambda} \tilde{\mathbf{M}}_{\lambda}^{\dagger} \tilde{\mathbf{M}}_{\lambda} = \mathbf{I}$$

- ▶ To correct this decoherence with measurement-based feedback, we couple the system to a measurement device. This is described, with left stochastic matrix  $(\eta_{\mu', \mu})$  to model uncertainties, by

$$\rho_+ = \frac{\sum_{\mu} \eta_{y, \mu} \mathbf{M}_{\mu} \rho \mathbf{M}_{\mu}^{\dagger}}{\text{Tr} \left( \sum_{\mu} \eta_{y, \mu} \mathbf{M}_{\mu} \rho \mathbf{M}_{\mu}^{\dagger} \right)} \quad \text{when } y = \mu'; \text{ proba}=\text{denominator.}$$

- ▶ **Only measuring** thus implies a stochastic evolution. **On average:**
  - ▶ The information extracted by measurement makes the state purer, “less uncertain”.
  - ▶ The probability to converge to a target  $|n_{\text{target}}\rangle$  is not improved. (This is due to “QND type measurement”. It can in fact be improved, see reservoir engineering.)

## Summary: quantum measurement and the route to feedback

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- ▶ To actually get closer to target: apply feedback knowing system state  $\rho$ .

LKB actuator:

$u = 0$ : **dispersive** interaction i.e. just measure, ideally

$$\mathbf{M}_g(0) = \cos(\phi_N), \mathbf{M}_e(0) = \sin(\phi_N)$$

$u = 1$ : **resonant** interaction with atom prepared in  $|e\rangle$  (add energy)

$$\mathbf{M}_g(1) = \frac{\sin\left(\frac{\theta_{0+}}{2}\sqrt{N}\right)}{\sqrt{N}} \mathbf{a}^\dagger \text{ and } \mathbf{M}_e(1) = \cos\left(\frac{\theta_{0+}}{2}\sqrt{N+1}\right)$$

$u = -1$ : **resonant** interaction with atom prepared in  $|g\rangle$  (subtract energy)

$$\mathbf{M}_g(-1) = \cos\left(\frac{\theta_{0-}}{2}\sqrt{N}\right) \text{ and } \mathbf{M}_e(-1) = -\mathbf{a} \frac{\sin\left(\frac{\theta_{0-}}{2}\sqrt{N}\right)}{\sqrt{N}}$$

with  $\theta_{0+}, \theta_{0-}$  constant parameters.

## Tuesday exercise (1)

Consider the model with  $\eta = 1$  and  $\eta_e = \eta_g = 0$  (template `FeedbackTemplate_0.m`)

**Actuation effect** Show that the control Lyapunov function

$$V(\rho) = \text{Tr} \left( (\mathbf{N} - n_{\text{target}} \mathbf{I})^2 \rho \right)$$

evolves as follows with the LKB actuator:

$$\begin{aligned} \mathbb{E}(V(\rho_{k+1} | \rho_k, u = 1)) - V(\rho_k) = \\ \text{Tr} \left( \rho_k \sin^2 \left( \frac{\theta_{0+}}{2} \sqrt{\mathbf{N} + \mathbf{I}} \right) (1 + 2(\mathbf{N} - n_{\text{target}} \mathbf{I})) \right) \end{aligned}$$

$$\begin{aligned} \mathbb{E}(V(\rho_{k+1} | \rho_k, u = -1)) - V(\rho_k) = \\ \text{Tr} \left( \rho_k \sin^2 \left( \frac{\theta_{0-}}{2} \sqrt{\mathbf{N}} \right) (1 - 2(\mathbf{N} - n_{\text{target}} \mathbf{I})) \right) . \end{aligned}$$

How does it evolve when selecting  $u = 0$ ?

**Hints:** Use the following commutation relation and its hermitian conjugate:

$\mathbf{a}f(\mathbf{N}) = f(\mathbf{N} + \mathbf{I})\mathbf{a}$  for any  $f(\mathbf{N}) = \sum_{n \geq 0} f(n)|n\rangle\langle n|$ . If you want an easier intermediate step, check expected  $\langle n | \rho_{k+1} | n \rangle$  as a function of  $u \in \{-1, 0, +1\}$ .

## Tuesday exercise (2)

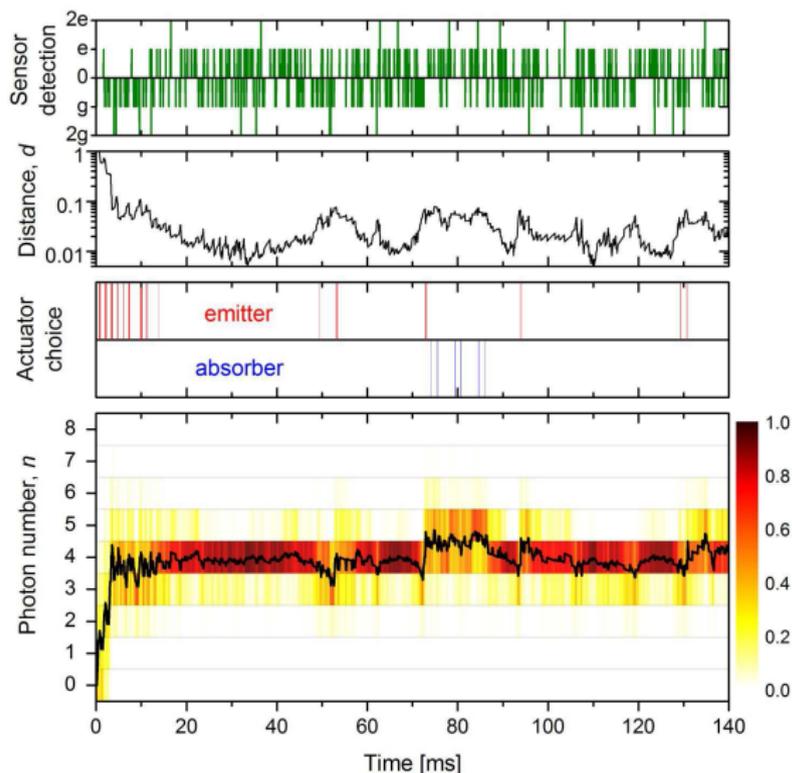
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**Feedback in idealized case** Use the above formulas to define a feedback strategy: how select  $u$  knowing  $\rho_k$ , to drive the system towards  $|n_{\text{target}}\rangle\langle n_{\text{target}}|$  with  $n_{\text{target}} = 3$ ? Program this into the matlab template `FeedbackTemplate_0.m`, using  $\phi_0 = \pi/7$ ,  $\phi_R = 0$ ,  $\theta_{0+} = 2\pi/\sqrt{n_{\text{target}} + 2}$ ,  $\theta_{0-} = 2\pi/\sqrt{n_{\text{target}} - 1}$ . Check how you converge to  $|n_{\text{target}}\rangle$ .  
What can you guarantee analytically?

**Parameter tuning** Investigate the effect of  $\phi_0$ ,  $\phi_R$ ,  $\theta_{0+}$  and  $\theta_{0-}$ . One suggests to consider the special values  $\theta_{0+} = 2\pi/\sqrt{n_{\text{target}} + 1}$  and  $\theta_{0-} = 2\pi/\sqrt{n_{\text{target}}}$ .  
Can you understand why  $\theta_{0+} = 2\pi/\sqrt{n_{\text{target}} + 2}$ ,  $\theta_{0-} = 2\pi/\sqrt{n_{\text{target}} - 1}$  is a good choice for robustness issues?

**Decoherence** Add the effect of decoherence into the simulation. Observe its effect on the evolution both with and without feedback. Can you adapt the feedback law to get better results?

## Closed-loop experimental results



Zhou et al. Field locked to Fock state by quantum feedback with single photon corrections. Physical Review Letter, 2012, 108, 243602.

See the closed-loop quantum Monte Carlo simulations of the Matlab script: [RealisticFeedbackPhotonBox.m](#).

## Stochastic Master Equation (SME) and quantum filtering

**Discrete-time models** are **Markov processes**

$$\rho_{k+1} = \frac{\mathbf{K}_{y_k}(\rho_k)}{\text{Tr}(\mathbf{K}_{y_k}(\rho_k))}, \text{ with proba. } p_{y_k}(\rho_k) = \text{Tr}(\mathbf{K}_{y_k}(\rho_k))$$

where each  $\mathbf{K}_y$  is a linear completely positive map admitting the expression

$$\mathbf{K}_y(\rho) = \sum_{\mu} \mathbf{M}_{y,\mu} \rho \mathbf{M}_{y,\mu}^{\dagger} \quad \text{with} \quad \sum_{y,\mu} \mathbf{M}_{y,\mu}^{\dagger} \mathbf{M}_{y,\mu} = \mathbf{I}.$$

$\mathbf{K} = \sum_y \mathbf{K}_y$  corresponds to a **Kraus maps** (ensemble average, quantum channel)

$$\mathbb{E}(\rho_{k+1} | \rho_k) = \mathbf{K}(\rho_k) = \sum_y \mathbf{K}_y(\rho_k).$$

**Quantum filtering (Belavkin quantum filters)**

**data:** initial quantum state  $\rho_0$ , past measurement outcomes  $y_l$  for  $l \in \{0, \dots, k-1\}$ ;

**goal:** estimation of  $\rho_k$  via the recurrence (quantum filter)

$$\rho_{l+1} = \frac{\mathbf{K}_{y_l}(\rho_l)}{\text{Tr}(\mathbf{K}_{y_l}(\rho_l))}, \quad l = 0, \dots, k-1.$$

## Continuous/discrete-time Stochastic Master Equation (SME)

**Discrete-time models** are **Markov processes**

$$\rho_{k+1} = \frac{\mathbf{K}_{y_k}(\rho_k)}{\text{Tr}(\mathbf{K}_{y_k}(\rho_k))}, \text{ with proba. } p_{y_k}(\rho_k) = \text{Tr}(\mathbf{K}_{y_k}(\rho_k))$$

associated to **Kraus maps** (ensemble average, quantum channel)

$$\mathbb{E}(\rho_{k+1}|\rho_k) = \mathbf{K}(\rho_k) = \sum_y \mathbf{K}_y(\rho_k)$$

**Continuous-time models** are **stochastic differential systems**

$$d\rho_t = \left( -\frac{i}{\hbar}[\mathbf{H}, \rho_t] + \sum_{\nu} \mathbf{L}_{\nu} \rho_t \mathbf{L}_{\nu}^{\dagger} - \frac{1}{2}(\mathbf{L}_{\nu}^{\dagger} \mathbf{L}_{\nu} \rho_t + \rho_t \mathbf{L}_{\nu}^{\dagger} \mathbf{L}_{\nu}) \right) dt \\ + \sum_{\nu} \sqrt{\eta_{\nu}} \left( \mathbf{L}_{\nu} \rho_t + \rho_t \mathbf{L}_{\nu}^{\dagger} - \text{Tr}((\mathbf{L}_{\nu} + \mathbf{L}_{\nu}^{\dagger}) \rho_t) \rho_t \right) dW_{\nu,t}$$

driven by **Wiener process**<sup>5</sup>  $dW_{\nu,t} = dy_{\nu,t} - \sqrt{\eta_{\nu}} \text{Tr}((\mathbf{L}_{\nu} + \mathbf{L}_{\nu}^{\dagger}) \rho_t) dt$   
with measures  $y_{\nu,t}$ , detection efficiencies  $\eta_{\nu} \in [0, 1]$  and  
**Lindblad-Kossakowski** master equations ( $\eta_{\nu} \equiv 0$ ):

$$\frac{d}{dt} \rho = -\frac{i}{\hbar}[\mathbf{H}, \rho] + \sum_{\nu} \mathbf{L}_{\nu} \rho \mathbf{L}_{\nu}^{\dagger} - \frac{1}{2}(\mathbf{L}_{\nu}^{\dagger} \mathbf{L}_{\nu} \rho + \rho \mathbf{L}_{\nu}^{\dagger} \mathbf{L}_{\nu})$$

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<sup>5</sup>and/or Poisson processes, see next slides.

Given a SDE

$$dX_t = F(X_t, t)dt + \sum_{\nu} G_{\nu}(X_t, t)dW_{\nu,t},$$

we have the following chain rule summarized by the heuristic formulae:

$$dW_{\nu,t} = O(\sqrt{dt}), \quad dW_{\nu,t}dW_{\nu',t} = \delta_{\nu,\nu'} dt.$$

**Itô's rule** Defining  $f_t = f(X_t)$  a  $C^2$  function of  $X$ , we have

$$df_t = \left( \frac{\partial f}{\partial X} \Big|_{X_t} F(X_t, t) + \frac{1}{2} \sum_{\nu} \frac{\partial^2 f}{\partial X^2} \Big|_{X_t} (G_{\nu}(X_t, t), G_{\nu}(X_t, t)) \right) dt + \sum_{\nu} \frac{\partial f}{\partial X} \Big|_{X_t} G_{\nu}(X_t, t) dW_{\nu,t}.$$

Furthermore

$$\mathbb{E} \left( \frac{d}{dt} f_t \mid X_t \right) = \mathbb{E} \left( \frac{\partial f}{\partial X} \Big|_{X_t} F(X_t, t) + \frac{1}{2} \sum_{\nu} \frac{\partial^2 f}{\partial X^2} \Big|_{X_t} (G_{\nu}(X_t, t), G_{\nu}(X_t, t)) \right).$$

## Continuous/discrete-time diffusive SME

With a single imperfect measure  $d\mathbf{y}_t = \sqrt{\eta} \text{Tr} \left( (\mathbf{L} + \mathbf{L}^\dagger) \rho_t \right) dt + d\mathbf{W}_t$  and detection efficiency  $\eta \in [0, 1]$ , the quantum state  $\rho_t$  is usually mixed and obeys to

$$d\rho_t = \left( -\frac{i}{\hbar} [\mathbf{H}, \rho_t] + \mathbf{L}\rho_t\mathbf{L}^\dagger - \frac{1}{2} \left( \mathbf{L}^\dagger\mathbf{L}\rho_t + \rho_t\mathbf{L}^\dagger\mathbf{L} \right) \right) dt \\ + \sqrt{\eta} \left( \mathbf{L}\rho_t + \rho_t\mathbf{L}^\dagger - \text{Tr} \left( (\mathbf{L} + \mathbf{L}^\dagger) \rho_t \right) \rho_t \right) d\mathbf{W}_t$$

driven by the Wiener process  $d\mathbf{W}_t$  (Gaussian law of mean 0 and variance  $dt$ ).

With **Itô rules**, it can be written as the following "discrete-time" Markov model

$$\rho_{t+dt} = \frac{\mathbf{M}_{d\mathbf{y}_t} \rho_t \mathbf{M}_{d\mathbf{y}_t}^\dagger + (1 - \eta) \mathbf{L} \rho_t \mathbf{L}^\dagger dt}{\text{Tr} \left( \mathbf{M}_{d\mathbf{y}_t} \rho_t \mathbf{M}_{d\mathbf{y}_t}^\dagger + (1 - \eta) \mathbf{L} \rho_t \mathbf{L}^\dagger dt \right)}$$

with  $\mathbf{M}_{d\mathbf{y}_t} = \mathbf{I} + \left( -\frac{i}{\hbar} \mathbf{H} - \frac{1}{2} \left( \mathbf{L}^\dagger \mathbf{L} \right) \right) dt + \sqrt{\eta} d\mathbf{y}_t \mathbf{L}$ . The probability to detect  $d\mathbf{y}_t$  is given by the following density

$$\mathbb{P} \left( d\mathbf{y}_t \in [s, s + ds] \right) = \frac{\text{Tr} \left( \mathbf{M}_s \rho_t \mathbf{M}_s^\dagger + (1 - \eta) \mathbf{L} \rho_t \mathbf{L}^\dagger dt \right)}{\sqrt{2\pi}} e^{-\frac{s^2}{2dt}} ds$$

close to a Gaussian law of variance  $dt$  and mean  $\sqrt{\eta} \text{Tr} \left( (\mathbf{L} + \mathbf{L}^\dagger) \rho_t \right) dt$ .

## Continuous/discrete-time jump SME

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With Poisson process  $\mathbf{N}(t)$ ,  $\langle d\mathbf{N}(t) \rangle = (\bar{\theta} + \bar{\eta} \text{Tr}(V\rho_t V^\dagger)) dt$ , and detection imperfections modeled by  $\bar{\theta} \geq 0$  and  $\bar{\eta} \in [0, 1]$ , the quantum state  $\rho_t$  is usually mixed and obeys to

$$d\rho_t = \left( -i[H, \rho_t] + V\rho_t V^\dagger - \frac{1}{2}(V^\dagger V\rho_t + \rho_t V^\dagger V) \right) dt \\ + \left( \frac{\bar{\theta}\rho_t + \bar{\eta}V\rho_t V^\dagger}{\bar{\theta} + \bar{\eta} \text{Tr}(V\rho_t V^\dagger)} - \rho_t \right) \left( d\mathbf{N}(t) - (\bar{\theta} + \bar{\eta} \text{Tr}(V\rho_t V^\dagger)) dt \right)$$

For  $\mathbf{N}(t + dt) - \mathbf{N}(t) = 1$  we have  $\rho_{t+dt} = \frac{\bar{\theta}\rho_t + \bar{\eta}V\rho_t V^\dagger}{\bar{\theta} + \bar{\eta} \text{Tr}(V\rho_t V^\dagger)}$ .

For  $d\mathbf{N}(t) = 0$  we have

$$\rho_{t+dt} = \frac{M_0 \rho_t M_0^\dagger + (1 - \bar{\eta}) V \rho_t V^\dagger dt}{\text{Tr} \left( M_0 \rho_t M_0^\dagger + (1 - \bar{\eta}) V \rho_t V^\dagger dt \right)}$$

with  $M_0 = I + (-iH + \frac{1}{2}(\bar{\eta} \text{Tr}(V\rho_t V^\dagger) I - V^\dagger V)) dt$ .

The quantum state  $\rho_t$  is usually mixed and obeys to

$$\begin{aligned}
 d\rho_t = & \left( -i[H, \rho_t] + L\rho_t L^\dagger - \frac{1}{2}(L^\dagger L\rho_t + \rho_t L^\dagger L) + V\rho_t V^\dagger - \frac{1}{2}(V^\dagger V\rho_t + \rho_t V^\dagger V) \right) dt \\
 & + \sqrt{\eta} \left( L\rho_t + \rho_t L^\dagger - \text{Tr} \left( (L + L^\dagger)\rho_t \right) \rho_t \right) dW_t \\
 & + \left( \frac{\bar{\theta}\rho_t + \bar{\eta}V\rho_t V^\dagger}{\bar{\theta} + \bar{\eta} \text{Tr} (V\rho_t V^\dagger)} - \rho_t \right) \left( dN(t) - \left( \bar{\theta} + \bar{\eta} \text{Tr} (V\rho_t V^\dagger) \right) dt \right)
 \end{aligned}$$

For  $N(t + dt) - N(t) = 1$  we have  $\rho_{t+dt} = \frac{\bar{\theta}\rho_t + \bar{\eta}V\rho_t V^\dagger}{\bar{\theta} + \bar{\eta} \text{Tr} (V\rho_t V^\dagger)}$ .

For  $dN(t) = 0$  we have

$$\rho_{t+dt} = \frac{M_{dy_t} \rho_t M_{dy_t}^\dagger + (1 - \eta)L\rho_t L^\dagger dt + (1 - \bar{\eta})V\rho_t V^\dagger dt}{\text{Tr} \left( M_{dy_t} \rho_t M_{dy_t}^\dagger + (1 - \eta)L\rho_t L^\dagger dt + (1 - \bar{\eta})V\rho_t V^\dagger dt \right)}$$

with  $M_{dy_t} = I + \left( -iH - \frac{1}{2}L^\dagger L + \frac{1}{2}(\bar{\eta} \text{Tr} (V\rho_t V^\dagger) I - V^\dagger V) \right) dt + \sqrt{\eta} dy_t L$ .

# Continuous/discrete-time general diffusive-jump SME

The quantum state  $\rho_t$  is usually mixed and obeys to

$$d\rho_t = \left( -i[H, \rho_t] + \sum_{\nu} L_{\nu} \rho_t L_{\nu}^{\dagger} - \frac{1}{2}(L_{\nu}^{\dagger} L_{\nu} \rho_t + \rho_t L_{\nu}^{\dagger} L_{\nu}) + V_{\mu} \rho_t V_{\mu}^{\dagger} - \frac{1}{2}(V_{\mu}^{\dagger} V_{\mu} \rho_t + \rho_t V_{\mu}^{\dagger} V_{\mu}) \right) dt \\ + \sum_{\nu} \sqrt{\eta_{\nu}} \left( L_{\nu} \rho_t + \rho_t L_{\nu}^{\dagger} - \text{Tr} \left( (L_{\nu} + L_{\nu}^{\dagger}) \rho_t \right) \rho_t \right) d\mathbf{W}_{\nu,t} \\ + \sum_{\mu} \left( \frac{\bar{\theta}_{\mu} \rho_t + \sum_{\mu'} \bar{\eta}_{\mu,\mu'} V_{\mu'} \rho_t V_{\mu'}^{\dagger}}{\bar{\theta}_{\mu} + \sum_{\mu'} \bar{\eta}_{\mu,\mu'} \text{Tr} \left( V_{\mu'} \rho_t V_{\mu'}^{\dagger} \right)} - \rho_t \right) \left( d\mathbf{N}_{\mu}(t) - \left( \bar{\theta}_{\mu} + \sum_{\mu'} \bar{\eta}_{\mu,\mu'} \text{Tr} \left( V_{\mu'} \rho_t V_{\mu'}^{\dagger} \right) \right) dt \right)$$

where  $\eta_{\nu} \in [0, 1]$ ,  $\bar{\theta}_{\mu}, \bar{\eta}_{\mu,\mu'} \geq 0$  with  $\bar{\eta}_{\mu'} = \sum_{\mu} \bar{\eta}_{\mu,\mu'} \leq 1$  are parameters modelling measurements imperfections.

If, for some  $\mu$ ,  $\mathbf{N}_{\mu}(t + dt) - \mathbf{N}_{\mu}(t) = 1$ , we have  $\rho_{t+dt} = \frac{\bar{\theta}_{\mu} \rho_t + \sum_{\mu'} \bar{\eta}_{\mu,\mu'} V_{\mu'} \rho_t V_{\mu'}^{\dagger}}{\bar{\theta}_{\mu} + \sum_{\mu'} \bar{\eta}_{\mu,\mu'} \text{Tr} \left( V_{\mu'} \rho_t V_{\mu'}^{\dagger} \right)}$ .

When  $\forall \mu, d\mathbf{N}_{\mu}(t) = 0$ , we have

$$\rho_{t+dt} = \frac{M_{d\mathbf{y}_t} \rho_t M_{d\mathbf{y}_t}^{\dagger} + \sum_{\nu} (1 - \eta_{\nu}) L_{\nu} \rho_t L_{\nu}^{\dagger} dt + \sum_{\mu} (1 - \bar{\eta}_{\mu}) V_{\mu} \rho_t V_{\mu}^{\dagger} dt}{\text{Tr} \left( M_{d\mathbf{y}_t} \rho_t M_{d\mathbf{y}_t}^{\dagger} + \sum_{\nu} (1 - \eta_{\nu}) L_{\nu} \rho_t L_{\nu}^{\dagger} dt + \sum_{\mu} (1 - \bar{\eta}_{\mu}) V_{\mu} \rho_t V_{\mu}^{\dagger} dt \right)}$$

with  $M_{d\mathbf{y}_t} = I + \left( -iH - \frac{1}{2} \sum_{\nu} L_{\nu}^{\dagger} L_{\nu} + \frac{1}{2} \sum_{\mu} \left( \bar{\eta}_{\mu} \text{Tr} \left( V_{\mu} \rho_t V_{\mu}^{\dagger} \right) I - V_{\mu}^{\dagger} V_{\mu} \right) \right) dt + \sum_{\nu} \sqrt{\eta_{\nu}} d\mathbf{y}_{\nu,t} L_{\nu}$  and where  $d\mathbf{y}_{\nu,t} = \sqrt{\eta_{\nu}} \text{Tr} \left( (L_{\nu} + L_{\nu}^{\dagger}) \rho_t \right) dt + d\mathbf{W}_{\nu,t}$ .

## The Lindblad master differential equation (finite dimensional case)

$$\frac{d}{dt}\rho = -\frac{i}{\hbar}[\mathbf{H}, \rho] + \sum_{\nu} \mathbf{L}_{\nu}\rho\mathbf{L}_{\nu}^{\dagger} - \frac{1}{2}(\mathbf{L}_{\nu}^{\dagger}\mathbf{L}_{\nu}\rho + \rho\mathbf{L}_{\nu}^{\dagger}\mathbf{L}_{\nu}) \triangleq \mathcal{L}(\rho)$$

where

- ▶  $\mathbf{H}$  is the Hamiltonian that could depend on  $t$  (Hermitian operator on the underlying Hilbert space  $\mathcal{H}$ )
- ▶ the  $\mathbf{L}_{\nu}$ 's are operators on  $\mathcal{H}$  that are not necessarily Hermitian.

Qualitative properties:

1. **Positivity and trace conservation:** if  $\rho_0$  is a density operator, then  $\rho(t)$  remains a density operator for all  $t > 0$ .
2. For any  $t \geq 0$ , the propagator  $e^{t\mathcal{L}}$  is a Kraus map: exists a collection of operators ( $M_{\mu}$ ) such that  $\sum_{\mu} M_{\mu}^{\dagger}M_{\mu} = I$  with  $e^{t\mathcal{L}}(\rho) = \sum_{\mu} M_{\mu}\rho M_{\mu}^{\dagger}$  (Kraus theorem characterizing completely positive linear maps).
3. **Contraction** for many distances such as **the nuclear distance**: take two trajectories  $\rho$  and  $\rho'$ ; for any  $0 \leq t_1 \leq t_2$ ,

$$\text{Tr} (|\rho(t_2) - \rho'(t_2)|) \leq \text{Tr} (|\rho(t_1) - \rho'(t_1)|)$$

where for any Hermitian operator  $A$ ,  $|A| = \sqrt{A^2}$  and  $\text{Tr} (|A|)$  corresponds to the sum of the absolute values of its eigenvalues.

## Properties of the trace distance $D(\rho, \rho') = \text{Tr}(|\rho - \rho'|)/2$ .

1. Unitary invariance: for any unitary operator  $U$  ( $U^\dagger U = I$ ),  
 $D(U\rho U^\dagger, U\rho' U^\dagger) = D(\rho, \rho')$ .
2. For any density operators  $\rho$  and  $\rho'$ ,

$$D(\rho, \rho') = \max_{\substack{P \text{ such that} \\ 0 \leq P = P^\dagger \leq I}} \text{Tr}(P(\rho - \rho')).$$

3. Triangular inequality: for any density operators  $\rho$ ,  $\rho'$  and  $\rho''$

$$D(\rho, \rho'') \leq D(\rho, \rho') + D(\rho', \rho'').$$

## Kraus maps are contractions for several "distances"<sup>6</sup>

For any Kraus map  $\rho \mapsto \mathbf{K}(\rho) = \sum_{\mu} M_{\mu} \rho M_{\mu}^{\dagger}$  ( $\sum_{\mu} M_{\mu}^{\dagger} M_{\mu} = I$ )  
 $d(\mathbf{K}(\rho), \mathbf{K}(\sigma)) \leq d(\rho, \sigma)$  with

- ▶ trace distance:  $d_{tr}(\rho, \sigma) = \frac{1}{2} \text{Tr}(|\rho - \sigma|)$ .
- ▶ Bures distance:  $d_B(\rho, \sigma) = \sqrt{1 - F(\rho, \sigma)}$  with fidelity  $F(\rho, \sigma) = \text{Tr}(\sqrt{\sqrt{\rho}\sigma\sqrt{\rho}})$ .
- ▶ Chernoff distance:  $d_C(\rho, \sigma) = \sqrt{1 - Q(\rho, \sigma)}$  where  $Q(\rho, \sigma) = \min_{0 \leq s \leq 1} \text{Tr}(\rho^s \sigma^{1-s})$ .
- ▶ Relative entropy:  $d_S(\rho, \sigma) = \sqrt{\text{Tr}(\rho(\log \rho - \log \sigma))}$ .
- ▶  $\chi^2$ -divergence:  $d_{\chi^2}(\rho, \sigma) = \sqrt{\text{Tr}((\rho - \sigma)\sigma^{-\frac{1}{2}}(\rho - \sigma)\sigma^{-\frac{1}{2}})}$ .
- ▶ Hilbert's projective metric: if  $\text{supp}(\rho) = \text{supp}(\sigma)$   
 $d_h(\rho, \sigma) = \log \left( \left\| \rho^{-\frac{1}{2}} \sigma \rho^{-\frac{1}{2}} \right\|_{\infty} \left\| \sigma^{-\frac{1}{2}} \rho \sigma^{-\frac{1}{2}} \right\|_{\infty} \right)$   
otherwise  $d_h(\rho, \sigma) = +\infty$ .

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<sup>6</sup>A good summary in M.J. Kastoryano PhD thesis: Quantum Markov Chain Mixing and Dissipative Engineering. University of Copenhagen, December 2011.

## Non-commutative consensus and Hilbert's metric<sup>7 8</sup>

The Schrödinger approach  $d_h(\rho, \sigma) = \log \left( \left\| \rho^{-\frac{1}{2}} \sigma \rho^{-\frac{1}{2}} \right\|_{\infty} \left\| \sigma^{-\frac{1}{2}} \rho \sigma^{-\frac{1}{2}} \right\|_{\infty} \right)$

$$\begin{aligned} \mathbf{K}(\rho) &= \sum M_{\mu} \rho M_{\mu}^{\dagger}, \quad \sum M_{\mu}^{\dagger} M_{\mu} = I \\ \frac{d}{dt} \rho &= -i[H, \rho] + \sum L_{\mu} \rho L_{\mu}^{\dagger} - \frac{1}{2} L_{\mu}^{\dagger} L_{\mu} \rho - \frac{1}{2} \rho L_{\mu}^{\dagger} L_{\mu} \end{aligned}$$

Contraction ratio:  $\tanh \left( \frac{\Delta(\mathbf{K})}{4} \right)$  with  $\Delta(\mathbf{K}) = \max_{\rho, \sigma > 0} d_h(\mathbf{K}(\rho), \mathbf{K}(\sigma))$

The Heisenberg approach (dual of Schrödinger approach):

$$\begin{aligned} \mathbf{K}^*(A) &= \sum M_{\mu}^{\dagger} A M_{\mu}, \quad \mathbf{K}^*(I) = I \\ \frac{d}{dt} A &= i[H, A] + \sum L_{\mu}^{\dagger} A L_{\mu} - \frac{1}{2} L_{\mu}^{\dagger} L_{\mu} A - \frac{1}{2} A L_{\mu}^{\dagger} L_{\mu}, \quad A = I \text{ steady-state.} \end{aligned}$$

"Contraction of the spectrum":

$$\lambda_{\min}(A) \leq \lambda_{\min}(\mathbf{K}^*(A)) \leq \lambda_{\max}(\mathbf{K}^*(A)) \leq \lambda_{\max}(A).$$

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<sup>7</sup>R. Sepulchre et al.: Consensus in non-commutative spaces. CDC 2010.

<sup>8</sup>D. Reeb et al.: Hilbert's projective metric in quantum information theory. J. Math. Phys. 52, 082201 (2011).

## Recall: Continuous-time quantum SME

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$$d\rho_t = \left( -\frac{i}{\hbar} [\mathbf{H}(\mathbf{u}), \rho_t] + \sum_{\mu} \mathbf{L}_{\mu} \rho_t \mathbf{L}_{\mu}^{\dagger} - \frac{1}{2} \left( \mathbf{L}_{\mu}^{\dagger} \mathbf{L}_{\mu} \rho_t + \rho_t \mathbf{L}_{\mu}^{\dagger} \mathbf{L}_{\mu} \right) \right) dt$$
$$+ \sqrt{\eta_{\mu}} \left( \mathbf{L}_{\mu} \rho_t + \rho_t \mathbf{L}_{\mu}^{\dagger} - \text{Tr} \left( (\mathbf{L}_{\mu} + \mathbf{L}_{\mu}^{\dagger}) \rho_t \right) \rho_t \right) d\mathbf{W}_t$$
$$d\mathbf{y}_t^{\mu} = \sqrt{\eta_{\mu}} \text{Tr} \left( (\mathbf{L}_{\mu} + \mathbf{L}_{\mu}^{\dagger}) \rho_t \right) dt + d\mathbf{W}_t^{\mu}$$

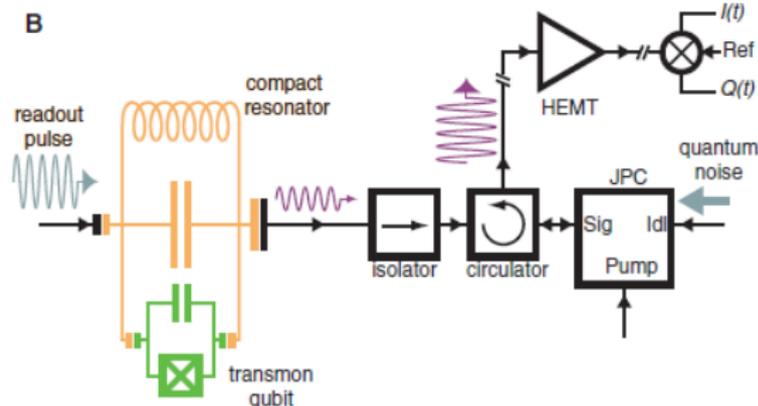
with

independent Wiener processes  $d\mathbf{W}_t^{\mu}$  (Gaussian law of mean 0 and variance  $dt$ )  
detection efficiencies  $\eta_{\mu} \in [0, 1]$ .

This SME must be understood in the Itô sense, compute with Itô rules.

Possibly  $\eta_{\mu} = 0$  for some  $\mu$ . This describes **decoherence** implied by external perturbations from the environment.

## A key physical example in circuit QED: QND measure of $\sigma_z$ <sup>9</sup>



### Superconducting qubit

dispersively coupled to a cavity traversed by a microwave signal (input/output theory). The back-action on the qubit state of a single measurement of both output field quadratures  $I_t$  and  $Q_t$  is described by a simple SME for the qubit density operator.

$$d\rho_t = \left( -\frac{i}{2} [u\sigma_x + v\sigma_y, \rho_t] + \gamma(\sigma_z \rho \sigma_z - \rho_t) \right) dt \\ + \sqrt{\eta\gamma/2} (\sigma_z \rho_t + \rho_t \sigma_z - 2 \text{Tr}(\sigma_z \rho_t) \rho_t) dW_t^I + i\sqrt{\eta\gamma/2} [\sigma_z, \rho_t] dW_t^Q$$

with  $I_t$  and  $Q_t$  given by  $dI_t = \sqrt{\eta\gamma/2} \text{Tr}(2\sigma_z \rho_t) dt + dW_t^I$  and  $dQ_t = dW_t^Q$ , where  $\gamma \geq 0$  is related to the measurement strength and  $\eta \in [0, 1]$  is the detection efficiency.  $u$  and  $v$  are the two control inputs.

<sup>9</sup>M. Hatridge et al. Quantum Back-Action of an Individual Variable-Strength Measurement. Science, 2013, 339, 178-181.

## Qubit with QND measure of $\sigma_z$ : asymptotic behavior in open-loop

Consider the following SME with  $u = v = 0$  and  $\eta > 0$ :

$$d\rho_t = \left( -\frac{i}{2}[u\sigma_x + v\sigma_y, \rho_t] + \gamma(\sigma_z\rho\sigma_z - \rho_t) \right) dt \\ + \sqrt{\eta\gamma/2}(\sigma_z\rho_t + \rho_t\sigma_z - 2\text{Tr}(\sigma_z\rho_t)\rho_t) dW_t^1 + i\sqrt{\eta\gamma/2}[\sigma_z, \rho_t] dW_t^2$$

Almost sure convergence:

- ▶ For any initial state  $\rho_0$ , the solution  $\rho_t$  converges almost surely as  $t \rightarrow \infty$  to one of the states  $|g\rangle\langle g|$  or  $|e\rangle\langle e|$ .
- ▶ The probability of convergence to  $|g\rangle\langle g|$  (respectively  $|e\rangle\langle e|$ ) is given by  $p_g = \text{Tr}(|g\rangle\langle g|\rho_0)$  (respectively  $\text{Tr}(|e\rangle\langle e|\rho_0)$ ).

Proof:

- ▶ martingale  $V_e(\rho) = \text{Tr}(|e\rangle\langle e|\rho) = (1+z)/2 \Rightarrow \mathbb{E}(dV_e|\rho_t) = 0$
- ▶ sub-martingale  $V(\rho) = \text{Tr}^2(\sigma_z\rho) = z^2$   
 $\Rightarrow \mathbb{E}(dV|\rho_t) = 2\eta\gamma(1-z^2)^2 dt \geq 0$ .

Confirmed by the quantum Monte Carlo simulations:

[TemplateQubit\\_0.m](#)

## Adding decoherence due to spontaneous emission

---

$$\begin{aligned}d\rho_t = & \left( -\frac{i}{2}[u\sigma_x + v\sigma_y, \rho_t] + \gamma(\sigma_z\rho\sigma_z - \rho_t) \right) dt \\ & + \sqrt{\eta\gamma/2}(\sigma_z\rho_t + \rho_t\sigma_z - 2 \text{Tr}(\sigma_z\rho_t)\rho_t) dW_t^I + i\sqrt{\eta\gamma/2}[\sigma_z, \rho_t] dW_t^Q \\ & + \left( L_e\rho_t L_e^\dagger - \frac{1}{2} \left( L_e^\dagger L_e\rho_t + \rho_t L_e^\dagger L_e \right) \right) dt\end{aligned}$$

where  $L_e = \sqrt{1/T_1}\sigma_-$  and  $T_1$  is the average lifetime of the excited state  $|e\rangle$ .

**For  $u = v = 0$ :** all trajectories converge towards  $|g\rangle$ , the ground state.

Proof:

▶ super-martingale  $V_e(\rho) = \text{Tr}(|e\rangle\langle e|\rho) = (1 + z)/2$

$$\Rightarrow \mathbb{E}(dV_e|\rho_t) = -\frac{1}{T_1} V_e dt$$

Confirmed by quantum Monte Carlo simulations and by experiments.

### Feedback stabilization of the excited state

**Actuation effect** Consider the ideal model

$$d\rho_t = \left( -\frac{i}{2}[u\sigma_x + v\sigma_y, \rho_t] + \gamma(\sigma_z\rho\sigma_z - \rho_t) \right) dt \\ + \sqrt{\eta\gamma/2}(\sigma_z\rho_t + \rho_t\sigma_z - 2\text{Tr}(\sigma_z\rho_t)\rho_t) dW_t^I + i\sqrt{\eta\gamma/2}[\sigma_z, \rho_t] dW_t^Q$$

with  $u$  and  $v$  arbitrary. Show that the control Lyapunov function  $V(\rho) = 1 - V_e(\rho) = (1 - z)/2$  evolves in expectation as

$$\mathbb{E}(dV_t|\rho_t) = v \text{Tr}(\sigma_x\rho_t)/2 - u \text{Tr}(\sigma_y\rho_t)/2 = vx/2 - uy/2.$$

**Feedback design** Using this observation, design a feedback law to stabilize the target state  $\rho = |e\rangle\langle e|$  (i.e.  $z = 1$  in the Bloch sphere representation).

Implement this feedback into the simulation `TemplateQubit_0.m`

**Decoherence effect** Add the decoherence due to spontaneous emission into the simulation. (See Wednesday's lecture about discretizing the SDE.)

So far we have made “observer-based feedback”:

- ▶ On the basis of detection results  $y_t$ , we update  $\rho_t$  which describes everything an external observer can now about the quantum system’s state. This is the “quantum filter”.
- ▶ We take control decisions  $u_t$  on the basis of the value of  $\rho_t$

Quantum control is useful for building “quantum IT devices”.

These devices are supposed to do things that classical systems cannot. In particular, the quantum state is supposed to evolve in a way that cannot be efficiently simulated in a classical system.

This is not compatible with running an observer of  $\rho$  on a classical computer for control purposes.

⇒ need controllers of lower complexity

$$\begin{aligned}d\rho_t = & \left( -\frac{i}{2}[\mathbf{u}\sigma_x + \mathbf{v}\sigma_y, \rho_t] + \gamma(\sigma_z\rho\sigma_z - \rho_t) \right) dt \\ & + \sqrt{\eta\gamma/2}(\sigma_z\rho_t + \rho_t\sigma_z - 2 \text{Tr}(\sigma_z\rho_t)\rho_t) d\mathbf{W}'_t + i\sqrt{\eta\gamma/2}[\sigma_z, \rho_t] d\mathbf{W}^Q_t \\ & + \left( \mathbf{L}_e\rho_t\mathbf{L}_e^\dagger - \frac{1}{2} \left( \mathbf{L}_e^\dagger\mathbf{L}_e\rho_t + \rho_t\mathbf{L}_e^\dagger\mathbf{L}_e \right) \right) dt\end{aligned}$$

with outputs:

$$d\mathbf{l}_t = \sqrt{\eta\gamma/2} \text{Tr}(2\sigma_z\rho_t) dt + d\mathbf{W}'_t \quad \text{and} \quad d\mathbf{Q}_t = d\mathbf{W}^Q_t .$$

Proportional Control:

$$u_t dt = u_0 dt + g_{u,I} d\mathbf{l}_t + g_{u,Q} d\mathbf{Q}_t, \quad v_t dt = v_0 dt + g_{v,I} d\mathbf{l}_t + g_{v,Q} d\mathbf{Q}_t .$$

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<sup>10</sup>H.Wiseman & G.Milburn, Phys.Rev.A, 1990s

## Closed-loop equation under Markovian feedback

---

Remarkably, the closed-loop system follows a canonical quantum SME with modified noise operators. Proof on simplified case (SISO):

$$d\rho_t = \left( -\frac{i}{2}[H_0 + H_1(t), \rho_t] + \gamma(\sigma_z \rho \sigma_z - \rho_t) \right) dt \\ + \sqrt{\eta\gamma}(\sigma_z \rho_t + \rho_t \sigma_z - 2 \text{Tr}(\sigma_z \rho_t) \rho_t) dW_t'$$

with  $H_0 = u_0 \sigma_x$  and

with  $H_1(t) dt = g_{u,l} dl_t \sigma_x = g_{u,l} (\sqrt{\eta\gamma} \text{Tr}(2\sigma_z \rho_t) dt + dW_t') \sigma_x$ .

Itô formulation takes causality into account: first we measure, then we apply feedback associated to that measurement. Thus:

$$\rho_{t+dt} = e^{-\frac{i}{2}H_1(t)dt} \left\{ \rho_t - \frac{i}{2}dt[H_0, \rho_t] + \gamma(\sigma_z \rho \sigma_z - \rho_t) dt \right. \\ \left. + \sqrt{\eta\gamma}(\sigma_z \rho_t + \rho_t \sigma_z - 2 \text{Tr}(\sigma_z \rho_t) \rho_t) dW_t' \right\} e^{+\frac{i}{2}H_1(t)dt}$$

## Closed-loop equation under Markovian feedback

---

Use the Baker-Campbell-Hausdorff formula

$$e^A B e^{-A} = B + [A, B] + [A, [A, B]]/2 + O(\|A\|^3)$$

with Itô calculus and neglect terms of order  $O(dt^{3/2})$ . We get:

$$\begin{aligned} \rho_{t+dt} - \rho_t = & \left( -\frac{i}{2}[H_0 + H_b, \rho_t] + (\mathbf{L}_1 \rho \mathbf{L}_1^\dagger - \mathbf{L}_1^\dagger \mathbf{L}_1 \rho_t / 2 - \rho_t \mathbf{L}_1^\dagger \mathbf{L}_1 / 2) + \right. \\ & \left. (\mathbf{L}_2 \rho \mathbf{L}_2^\dagger - \mathbf{L}_2^\dagger \mathbf{L}_2 \rho_t / 2 - \rho_t \mathbf{L}_2^\dagger \mathbf{L}_2 / 2) \right) dt \\ & + \left( \sqrt{\eta} (\mathbf{L}_1 \rho_t + \rho_t \mathbf{L}_1^\dagger - \text{Tr}(\mathbf{L}_1 \rho_t + \rho_t \mathbf{L}_1^\dagger) \rho_t) \right. \\ & \left. + \sqrt{1-\eta} (\mathbf{L}_2 \rho_t + \rho_t \mathbf{L}_2^\dagger - \text{Tr}(\mathbf{L}_2 \rho_t + \rho_t \mathbf{L}_2^\dagger) \rho_t) \right) dW_t \end{aligned}$$

with

- ▶  $H_b = \frac{g\sqrt{\gamma}}{2} (\sigma_x \sigma_z + \sigma_z \sigma_x) = 0$
- ▶  $\mathbf{L}_1 = \sqrt{\gamma} \sigma_z - i\sqrt{\eta} g_{u,l} \sigma_x / 2$
- ▶  $\mathbf{L}_2 = -i\sqrt{1-\eta} g_{u,l} \sigma_x / 2$ .

## Closed-loop equation: perfect case

---

For  $\eta = 1$  we get the expected evolution:

$$\mathbb{E}(d\rho|\rho_t) = \left( -\frac{i}{2}[H_0, \rho_t] + (\mathbf{L}_1 \rho \mathbf{L}_1^\dagger - \mathbf{L}_1^\dagger \mathbf{L}_1 \rho_t / 2 - \rho_t \mathbf{L}_1^\dagger \mathbf{L}_1 / 2) \right) dt$$

with  $\mathbf{L}_1 = \sqrt{\gamma} \sigma_z - i\sqrt{\eta} g_{u,l} \sigma_x / 2$ .

This is a canonical Lindblad master equation with decoherence operator  $\mathbf{L}_1$  tunable through  $g_{u,l}$ .

For instance taking  $g_{u,l} = 2\sqrt{\gamma/\eta}$  we get

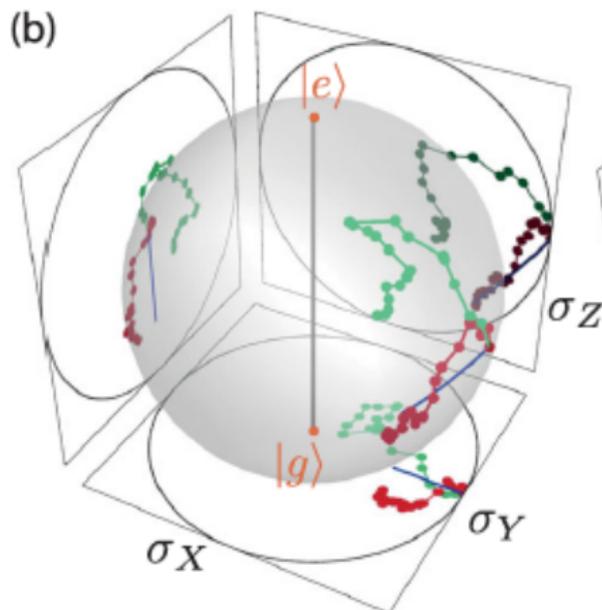
$$\mathbf{L}_1 = 2\sqrt{\gamma} U(|g\rangle\langle e|) U^\dagger = 2\sqrt{\gamma} U \sigma U^\dagger$$

$$\text{with } U|g\rangle = (|e\rangle - i|g\rangle)/\sqrt{2} \text{ and } U|e\rangle = (|e\rangle + i|g\rangle)/\sqrt{2}.$$

This closed-loop system stabilizes  $|\psi\rangle = (|e\rangle - i|g\rangle)/\sqrt{2}$  much like  $\sigma$  stabilizes  $|g\rangle$ . Other  $g_{u,l}$  allow to stabilize other states.

group of B.Huard, ENS Paris.

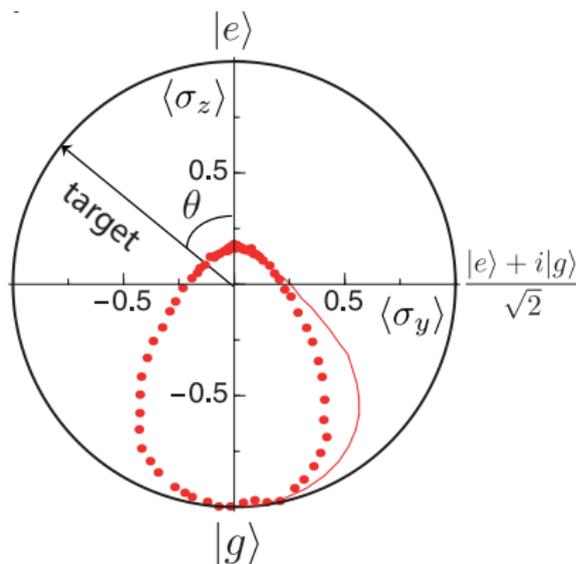
Measurement  $L$  operator:  $\sigma_x$  and  $i\sigma_x$  (fluorescence field) instead of  $\sigma_z$  and  $i\sigma_z$  (field sent to interact with the setup).



Open-loop: system always eventually converges to  $|g\rangle$

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Measurement  $L$  operator:  $\sigma_x$  and  $i\sigma_x$  (fluorescence field) instead of  $\sigma_z$  and  $i\sigma_z$  (field sent to interact with the setup).



Closed-loop: various states stabilized by Markovian feedback,  $\eta = 0.35$ .

## The driven and damped classical oscillator

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Dynamics in the  $(x', p')$  phase plane with  $\omega \gg \kappa, \sqrt{u_1^2 + u_2^2}$ :

$$\frac{d}{dt}x' = \omega p', \quad \frac{d}{dt}p' = -\omega x' - \kappa p' - 2u_1 \sin(\omega t) + 2u_2 \cos(\omega t)$$

Define the frame rotating at  $\omega$  by  $(x', p') \mapsto (x, p)$  with

$$x' = \cos(\omega t)x + \sin(\omega t)p, \quad p' = -\sin(\omega t)x + \cos(\omega t)p.$$

Removing highly oscillating terms (rotating wave approximation), from

$$\begin{aligned} \frac{d}{dt}x &= -\kappa \sin^2(\omega t)x + 2u_1 \sin^2(\omega t) + (\kappa p - 2u_2) \sin(\omega t) \cos(\omega t) \\ \frac{d}{dt}p &= -\kappa \cos^2(\omega t)p + 2u_2 \cos^2(\omega t) + (\kappa x - 2u_1) \sin(\omega t) \cos(\omega t) \end{aligned}$$

we get, with  $\alpha = x + ip$  and  $u = u_1 + iu_2$ :

$$\frac{d}{dt}\alpha = -\frac{\kappa}{2}\alpha + u.$$

From  $x' + ip' = \alpha' = e^{-i\omega t}\alpha$ , we have  $\frac{d}{dt}\alpha' = -(\frac{\kappa}{2} + i\omega)\alpha' + ue^{-i\omega t}$

- ▶ The Lindblad master equation:

$$\frac{d}{dt}\rho = [u\mathbf{a}^\dagger - u^*\mathbf{a}, \rho] + \kappa (\mathbf{a}\rho\mathbf{a}^\dagger - \frac{1}{2}\mathbf{a}^\dagger\mathbf{a}\rho - \frac{1}{2}\rho\mathbf{a}^\dagger\mathbf{a}).$$

- ▶ Change of frame  $\rho = \mathbf{D}_{-\bar{\alpha}}\xi\mathbf{D}_{-\bar{\alpha}}$  with  $\mathbf{D}_{\bar{\alpha}} = e^{\bar{\alpha}\mathbf{a}^\dagger - \bar{\alpha}^*\mathbf{a}}$ . We get

$$\frac{d}{dt}\xi = \kappa (\mathbf{a}\xi\mathbf{a}^\dagger - \frac{1}{2}\mathbf{a}^\dagger\mathbf{a}\xi - \frac{1}{2}\xi\mathbf{a}^\dagger\mathbf{a})$$

since  $\mathbf{D}_{-\bar{\alpha}}\mathbf{a}\mathbf{D}_{\bar{\alpha}} = \mathbf{a} + \bar{\alpha}$ .

- ▶ Informal convergence proof with the strict Lyapunov function  $V(\xi) = \text{Tr}(\xi\mathbf{N})$ :

$$\frac{d}{dt}V(\xi) = -\kappa V(\xi) \Rightarrow V(\xi(t)) = V(\xi_0)e^{-\kappa t}.$$

Since  $\xi(t)$  is Hermitian and non-negative,  $\xi(t)$  tends to  $|0\rangle\langle 0|$  when  $t \mapsto +\infty$ .

### Theorem

Consider with  $u \in \mathbb{C}$ ,  $\kappa > 0$ , the following Cauchy problem

$$\frac{d}{dt}\rho = [u\mathbf{a}^\dagger - u^*\mathbf{a}, \rho] + \kappa (\mathbf{a}\rho\mathbf{a}^\dagger - \frac{1}{2}\mathbf{a}^\dagger\mathbf{a}\rho - \frac{1}{2}\rho\mathbf{a}^\dagger\mathbf{a}), \quad \rho(0) = \rho_0.$$

Assume that the initial state  $\rho_0$  is a density operator with finite energy  $\text{Tr}(\rho_0\mathbf{N}) < +\infty$ . Then exists a unique solution to the Cauchy problem in the the Banach space  $\mathcal{K}^1(\mathcal{H})$ . It is defined for all  $t > 0$  with  $\rho(t)$  a density operator (Hermitian, non-negative and trace-class) that remains in the domain of the Lindblad super-operator

$$\rho \mapsto [u\mathbf{a}^\dagger - u^*\mathbf{a}, \rho] + \kappa (\mathbf{a}\rho\mathbf{a}^\dagger - \frac{1}{2}\mathbf{a}^\dagger\mathbf{a}\rho - \frac{1}{2}\rho\mathbf{a}^\dagger\mathbf{a}).$$

This means that  $t \mapsto \rho(t)$  is differentiable in the Banach space  $\mathcal{K}^1(\mathcal{H})$ . Moreover  $\rho(t)$  converges for the trace-norm towards  $|\bar{\alpha}\rangle\langle\bar{\alpha}|$  when  $t$  tends to  $+\infty$ , where  $|\bar{\alpha}\rangle$  is the coherent state of complex amplitude  $\bar{\alpha} = \frac{2u}{\kappa}$ .

### Lemma

Consider with  $u \in \mathbb{C}$ ,  $\kappa > 0$ , the following Cauchy problem

$$\frac{d}{dt}\rho = [u\mathbf{a}^\dagger - u^*\mathbf{a}, \rho] + \kappa (\mathbf{a}\rho\mathbf{a}^\dagger - \frac{1}{2}\mathbf{a}^\dagger\mathbf{a}\rho - \frac{1}{2}\rho\mathbf{a}^\dagger\mathbf{a}), \quad \rho(0) = \rho_0.$$

1. for any initial density operator  $\rho_0$  with  $\text{Tr}(\rho_0\mathbf{N}) < +\infty$ , we have  $\frac{d}{dt}\alpha = -\frac{\kappa}{2}(\alpha - \bar{\alpha})$  where  $\alpha = \text{Tr}(\rho\mathbf{a})$ .
2. Assume that  $\rho_0 = |\beta_0\rangle\langle\beta_0|$  where  $\beta_0$  is some complex amplitude. Then for all  $t \geq 0$ ,  $\rho(t) = |\beta(t)\rangle\langle\beta(t)|$  remains a coherent state of amplitude  $\beta(t)$  solution of the following equation:  
 $\frac{d}{dt}\beta = -\frac{\kappa}{2}(\beta - \bar{\alpha})$  with  $\beta(0) = \beta_0$ .

Statement 2 relies on:

$$\mathbf{a}|\beta\rangle = \beta|\beta\rangle, \quad |\beta\rangle = e^{-\frac{\beta\beta^*}{2}} e^{\beta\mathbf{a}^\dagger} |0\rangle \quad \frac{d}{dt}|\beta\rangle = \left(-\frac{1}{2}(\beta^*\dot{\beta} + \beta\dot{\beta}^*) + \dot{\beta}\mathbf{a}^\dagger\right) |\beta\rangle.$$

## Driven and damped quantum oscillator with thermal photon

Parameters  $\omega \gg \kappa, |u|$  and  $n_{\text{th}} \geq 0$ :

$$\begin{aligned} \frac{d}{dt}\rho = & [u\mathbf{a}^\dagger - u^*\mathbf{a}, \rho] + (1 + n_{\text{th}})\kappa \left( \mathbf{a}\rho\mathbf{a}^\dagger - \frac{1}{2}\mathbf{a}^\dagger\mathbf{a}\rho - \frac{1}{2}\rho\mathbf{a}^\dagger\mathbf{a} \right) \\ & + n_{\text{th}}\kappa \left( \mathbf{a}^\dagger\rho\mathbf{a} - \frac{1}{2}\mathbf{a}\mathbf{a}^\dagger\rho - \frac{1}{2}\rho\mathbf{a}\mathbf{a}^\dagger \right). \end{aligned}$$

**Key issue:**  $\lim_{t \rightarrow +\infty} \rho(t) = ?$ .

The passage to **another representation** via the Wigner function:

- ▶ Since  $\mathbf{D}_\alpha e^{i\pi N} \mathbf{D}_{-\alpha}$  bounded and Hermitian operator (the dual of  $\mathcal{K}^1(\mathcal{H})$  is  $\mathcal{B}(\mathcal{H})$ ),

$$W^{\{\rho\}}(x, p) = \frac{2}{\pi} \text{Tr}(\rho \mathbf{D}_\alpha e^{i\pi N} \mathbf{D}_{-\alpha}) \quad \text{with} \quad \alpha = x + ip \in \mathbb{C},$$

defines a real and bounded function  $|W^{\{\rho\}}(x, p)| \leq \frac{2}{\pi}$ .

- ▶ For a coherent state  $\rho = |\beta\rangle\langle\beta|$  with  $\beta \in \mathbb{C}$ :

$$W^{\{|\beta\rangle\langle\beta|\}}(x, p) = \frac{2}{\pi} e^{-2|\beta - (x+ip)|^2}.$$

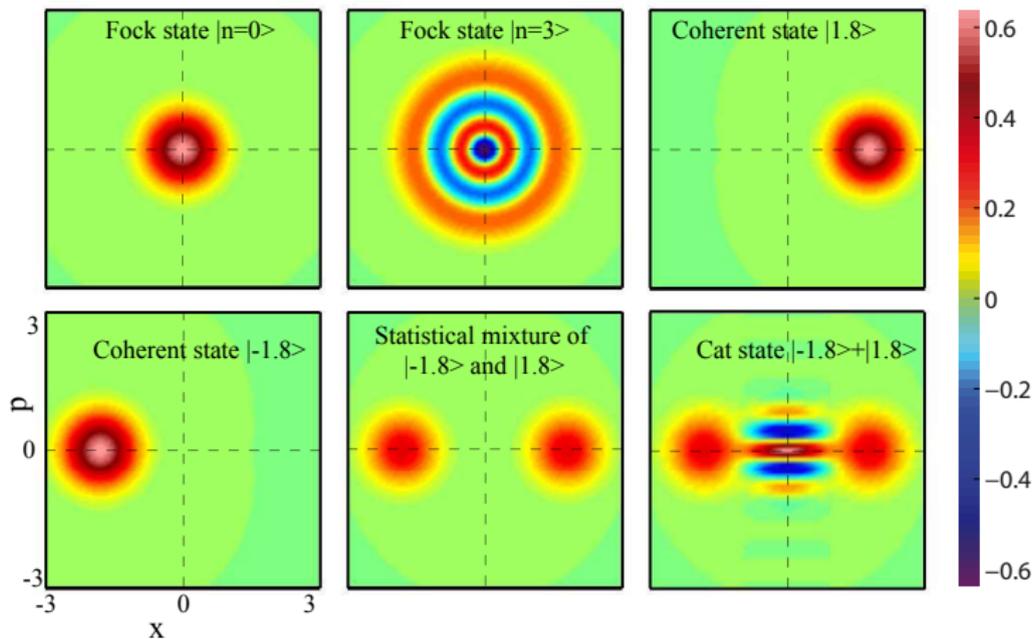
## Wigner functions of some quantum states for an harmonic oscillator

Coherent state of amplitude  $\beta \in \mathbb{C}$ :  $|\beta\rangle = \sum_{n \geq 0} \left( e^{-|\beta|^2/2} \frac{\beta^n}{\sqrt{n!}} \right) |n\rangle$ ;

Phase-cat states:  $\mathcal{N}(|\beta\rangle + |-\beta\rangle)$ .

Wigner function  $W^\rho$  associated  $\rho$ :

$$W^\rho : \mathbb{C} \ni x + ip \rightarrow \frac{2}{\pi} \text{Tr} (\rho \mathbf{D}_{x+ip} e^{i\pi \mathbf{N}} \mathbf{D}_{-(x+ip)})$$



## The partial differential equation satisfied by the Wigner function (1)

With  $\mathbf{D}_\alpha = e^{\alpha \mathbf{a}^\dagger} e^{-\alpha^* \mathbf{a}} e^{-\alpha \alpha^* / 2} = e^{-\alpha^* \mathbf{a}} e^{\alpha \mathbf{a}^\dagger} e^{\alpha \alpha^* / 2}$  we have:

$$\frac{\pi}{2} W^{\{\rho\}}(\alpha, \alpha^*) = \text{Tr} \left( \rho e^{\alpha \mathbf{a}^\dagger} e^{-\alpha^* \mathbf{a}} e^{i\pi \mathbf{N}} e^{\alpha^* \mathbf{a}} e^{-\alpha \mathbf{a}^\dagger} \right)$$

where  $\alpha$  and  $\alpha^*$  are seen as independent variables:

$$\frac{\partial}{\partial \alpha} = \frac{1}{2} \left( \frac{\partial}{\partial x} - i \frac{\partial}{\partial p} \right), \quad \frac{\partial}{\partial \alpha^*} = \frac{1}{2} \left( \frac{\partial}{\partial x} + i \frac{\partial}{\partial p} \right)$$

We have  $\frac{\pi}{2} \frac{\partial}{\partial \alpha} W^{\{\rho\}}(\alpha, \alpha^*) = \text{Tr} \left( (\rho \mathbf{a}^\dagger - \mathbf{a}^\dagger \rho) \mathbf{D}_\alpha e^{i\pi \mathbf{N}} \mathbf{D}_{-\alpha} \right)$  Since  $\mathbf{a}^\dagger \mathbf{D}_\alpha e^{i\pi \mathbf{N}} \mathbf{D}_{-\alpha} = \mathbf{D}_\alpha e^{i\pi \mathbf{N}} \mathbf{D}_{-\alpha} (2\alpha^* - \mathbf{a}^\dagger)$ , we get

$$\frac{\partial}{\partial \alpha} W^{\{\rho\}}(\alpha, \alpha^*) = 2\alpha^* W^{\{\rho\}}(\alpha, \alpha^*) - 2W^{\{\mathbf{a}^\dagger \rho\}}(\alpha, \alpha^*).$$

Thus  $W^{\{\mathbf{a}^\dagger \rho\}}(\alpha, \alpha^*) = \alpha^* W^{\{\rho\}}(\alpha, \alpha^*) - \frac{1}{2} \frac{\partial}{\partial \alpha} W^{\{\rho\}}(\alpha, \alpha^*)$ , i.e.

$$W^{\{\mathbf{a}^\dagger \rho\}} = \left( \alpha^* - \frac{1}{2} \frac{\partial}{\partial \alpha} \right) W^{\{\rho\}}.$$

## The partial differential equation satisfied by the Wigner function (2)

Similar computations yield to the following correspondence rules:

$$\begin{aligned}W^{\{\rho\mathbf{a}\}} &= \left(\alpha - \frac{1}{2}\frac{\partial}{\partial\alpha^*}\right) W^{\{\rho\}}, & W^{\{\mathbf{a}\rho\}} &= \left(\alpha + \frac{1}{2}\frac{\partial}{\partial\alpha^*}\right) W^{\{\rho\}} \\W^{\{\rho\mathbf{a}^\dagger\}} &= \left(\alpha^* + \frac{1}{2}\frac{\partial}{\partial\alpha}\right) W^{\{\rho\}}, & W^{\{\mathbf{a}^\dagger\rho\}} &= \left(\alpha^* - \frac{1}{2}\frac{\partial}{\partial\alpha}\right) W^{\{\rho\}}.\end{aligned}$$

Thus

$$\begin{aligned}\frac{d}{dt}\rho &= [u\mathbf{a}^\dagger - u^*\mathbf{a}, \rho] + (1 + n_{\text{th}})\kappa (\mathbf{a}\rho\mathbf{a}^\dagger - \frac{1}{2}\mathbf{a}^\dagger\mathbf{a}\rho - \frac{1}{2}\rho\mathbf{a}^\dagger\mathbf{a}) \\ &\quad + n_{\text{th}}\kappa (\mathbf{a}^\dagger\rho\mathbf{a} - \frac{1}{2}\mathbf{a}\mathbf{a}^\dagger\rho - \frac{1}{2}\rho\mathbf{a}\mathbf{a}^\dagger).\end{aligned}$$

becomes

$$\frac{\partial}{\partial t} W^{\{\rho\}} = \frac{\kappa}{2} \left( \frac{\partial}{\partial\alpha}(\alpha - \bar{\alpha}) + \frac{\partial}{\partial\alpha^*}(\alpha^* - \bar{\alpha}^*) + (1 + 2n_{\text{th}})\frac{\partial^2}{\partial\alpha\partial\alpha^*} \right) W^{\{\rho\}}$$

## Solutions of the quantum Fokker-Planck equation

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Since the Green function of

$$\begin{aligned} \frac{\partial}{\partial t} W^{\{\rho\}} &= \frac{\kappa}{2} \left( \frac{\partial}{\partial x} \left( (x - \bar{x}) W^{\{\rho\}} \right) + \frac{\partial}{\partial p} \left( (p - \bar{p}) W^{\{\rho\}} \right) \right) \\ &\quad + \frac{1+2n_{\text{th}}}{4} \left( \frac{\partial^2 W^{\{\rho\}}}{\partial x^2} + \frac{\partial^2 W^{\{\rho\}}}{\partial p^2} \right) \end{aligned}$$

is the following time-varying Gaussian function

$$G(x, p, t, x_0, p_0) = \frac{\exp \left( - \frac{\left( x - \bar{x} - (x_0 - \bar{x}) e^{-\frac{\kappa t}{2}} \right)^2 + \left( p - \bar{p} - (p_0 - \bar{p}) e^{-\frac{\kappa t}{2}} \right)^2}{(n_{\text{th}} + \frac{1}{2})(1 - e^{-\kappa t})} \right)}{\pi(n_{\text{th}} + \frac{1}{2})(1 - e^{-\kappa t})}$$

we can compute  $W_t^{\{\rho\}}$  from  $W_0^{\{\rho\}}$  for all  $t > 0$ :

$$W_t^{\{\rho\}}(x, p) = \int_{\mathbb{R}^2} W_0^{\{\rho\}}(x', p') G(x, p, t, x', p') dx' dp'.$$

### Combining

▶  $W_t^{\{\rho\}}(x, p) = \int_{\mathbb{R}^2} W_0^{\{\rho\}}(x', p') G(x, p, t, x', p') dx' dp'$ .

- ▶  $G$  uniformly bounded and

$$\lim_{t \rightarrow +\infty} G(x, p, t, x', p') = \frac{1}{\pi(n_{\text{th}} + \frac{1}{2})} \exp\left(-\frac{(x - \bar{x})^2 + (p - \bar{p})^2}{(n_{\text{th}} + \frac{1}{2})}\right)$$

▶  $W_0^{\{\rho\}}$  in  $L^1$  with  $\iint_{\mathbb{R}^2} W_0^{\{\rho\}} = 1$

- ▶ dominate convergence theorem

shows that all the solutions converge to a unique steady-state Gaussian density function, centered in  $(\bar{x}, \bar{p})$  with variance  $\frac{1}{2} + n_{\text{th}}$ :

$$\forall (x, p) \in \mathbb{R}^2, \quad \lim_{t \rightarrow +\infty} W_t^{\{\rho\}}(x, p) = \frac{1}{\pi(n_{\text{th}} + \frac{1}{2})} \exp\left(-\frac{(x - \bar{x})^2 + (p - \bar{p})^2}{(n_{\text{th}} + \frac{1}{2})}\right).$$

## Friday exercise

Two-photon losses for the quantum harmonic oscillator correspond to  $\rho(t)$  governed by

$$\frac{d}{dt}\rho = \mathbf{L}\rho\mathbf{L}^\dagger - \frac{1}{2}(\mathbf{L}^\dagger\mathbf{L}\rho + \rho\mathbf{L}^\dagger\mathbf{L}) \triangleq \mathcal{L}(\rho), \quad \rho(0) = \rho_0 \text{ with } \mathbf{L} = \mathbf{a}^2. \text{ We recall that for any scalar function } f,$$

$\mathbf{a}f(\mathbf{N}) = f(\mathbf{N} + 1)\mathbf{a}$ , and that for any integer  $n \geq 1$ ,  $\mathbf{a}|n\rangle = \sqrt{n}|n-1\rangle$  and  $\mathbf{a}|0\rangle = 0$  ( $|n\rangle_{n \in \mathbb{N}}$  is the Hilbert basis corresponding to photon-number states).

- Show that  $\mathbf{L}^\dagger\mathbf{L} = \mathbf{N}(\mathbf{N} - 1)$ . Set  $p_n = \langle n|\rho|n\rangle$  for  $n \geq 0$ . Show that  $\frac{d}{dt}p_n = (n+1)(n+2)p_{n+2} - n(n-1)p_n$ . Deduce that the density operators  $\bar{\rho}$  such that  $\mathcal{L}(\bar{\rho}) = 0$  have their supports in  $\text{span}(|0\rangle, |1\rangle)$ :  
 $\exists \bar{p}_0 \in [0, 1], \exists c \in \mathbb{C}, \bar{\rho} = \bar{p}_0|0\rangle\langle 0| + (1 - \bar{p}_0)|1\rangle\langle 1| + \bar{c}|1\rangle\langle 0| + \bar{c}^*|0\rangle\langle 1|$ .
- For any operator  $J$  (not necessarily Hermitian) prove that  $\frac{d}{dt}(\text{Tr}(\rho J)) = \text{Tr}(\rho \mathcal{L}^*(J))$  where  $\mathcal{L}^*(J) = \mathbf{L}^\dagger J \mathbf{L} - \frac{1}{2}(\mathbf{L}^\dagger J + J \mathbf{L}^\dagger \mathbf{L})$ .
- For any increasing scalar function  $f$ , prove that  $\mathcal{L}^*(f(\mathbf{N})) \leq 0$ . Deduce that  $V(\rho) = \text{Tr}(N\rho)$  is a Lyapunov function and prove that, formally, for any initial density operator  $\rho_0$ ,  $\lim_{t \rightarrow +\infty} \rho(t)$  exists and corresponds to a steady state  $\bar{\rho}$  characterized in question 1. Show that  $\bar{\rho}$  depends linearly on the initial condition  $\rho_0$ . Such dependence is denoted by  $\bar{\rho} = \mathbf{K}(\rho_0)$ . The remaining part of the exercise consists in providing an explicit formulation of this map.
- An operator  $J$  is said to be invariant iff  $\mathcal{L}^*(J) = 0$ . Show that, for any invariant operator  $J$ ,  $\text{Tr}(\rho J)$  is a first integral.
- Prove that  $f(\mathbf{N})$  is an invariant operator if  $f$  is 2-periodic. Show that  $J_0 = \sum_{n \geq 0} |2n\rangle\langle 2n|$  is invariant and deduce that  $\langle 0|\mathbf{K}(\rho_0)|0\rangle = \text{Tr}(J_0\rho_0)$  and  $\langle 1|\mathbf{K}(\rho_0)|1\rangle = 1 - \text{Tr}(J_0\rho_0)$ .
- Prove that  $f(\mathbf{N})\mathbf{a}$  is an invariant operator if  $f(1) = 0$  and for all integer  $n \geq 2$  we have  $nf(n) = (n-1)f(n-2)$ .
- Consider a real function  $f$  such that  $f(0) = 1$  and, for all  $n \geq 1$ ,  $f(2n-1) = 0$  with  $f(2n) = \prod_{k=1}^n \frac{2k-1}{2k}$ . Check that  $J_1 = f(\mathbf{N})\mathbf{a}$  is a bounded and invariant operator. Deduce that

$$\text{Tr}(\rho_0 J_1) = \sum_{n \geq 0} \sqrt{2n+1} f(2n) \langle 2n+1|\rho_0|2n\rangle = \langle 1|\mathbf{K}(\rho_0)|0\rangle.$$

- Conclude that

$$\mathbf{K}(\rho_0) = \text{Tr}(J_0\rho_0)|0\rangle\langle 0| + (1 - \text{Tr}(J_0\rho_0))|1\rangle\langle 1| + \text{Tr}(\rho_0 J_1)|1\rangle\langle 0| + \text{Tr}(\rho_0 J_1^\dagger)|0\rangle\langle 1|.$$

## 2-level system, i.e. a qubit (half-spin system)

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- ▶ Hilbert space:

$$\mathcal{H}_M = \mathbb{C}^2 = \left\{ c_g |g\rangle + c_e |e\rangle, c_g, c_e \in \mathbb{C} \right\}.$$

- ▶ Quantum state space:

$$\mathcal{D} = \left\{ \rho \in \mathcal{L}(\mathcal{H}_M), \rho^\dagger = \rho, \text{Tr}(\rho) = 1, \rho \geq 0 \right\}.$$

- ▶ Operators and commutations:

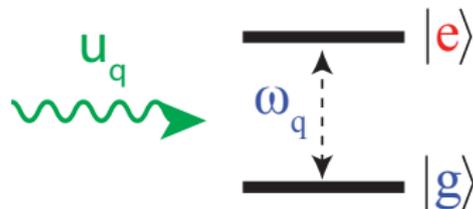
$$\sigma_- = |g\rangle\langle e|, \sigma_+ = \sigma_-^\dagger = |e\rangle\langle g|$$

$$\sigma_x = \sigma_- + \sigma_+ = |g\rangle\langle e| + |e\rangle\langle g|;$$

$$\sigma_y = i\sigma_- - i\sigma_+ = i|g\rangle\langle e| - i|e\rangle\langle g|;$$

$$\sigma_z = \sigma_+ \sigma_- - \sigma_- \sigma_+ = |e\rangle\langle e| - |g\rangle\langle g|;$$

$$\sigma_x^2 = I, \sigma_x \sigma_y = i\sigma_z, [\sigma_x, \sigma_y] = 2i\sigma_z, \dots$$

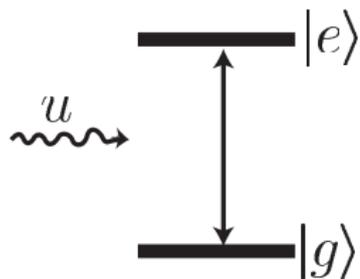


- ▶ Hamiltonian:  $\mathbf{H}_M/\hbar = \omega_q \sigma_z/2 + \mathbf{u}_q \sigma_x$ .

- ▶ Bloch sphere representation:

$$\mathcal{D} = \left\{ \frac{1}{2} (I + x\sigma_x + y\sigma_y + z\sigma_z) \mid (x, y, z) \in \mathbb{R}^3, x^2 + y^2 + z^2 \leq 1 \right\}$$

## 2-level system (spin-1/2)



The simplest quantum system: a ground state  $|g\rangle$  of energy  $\omega_g$ ; an excited state  $|e\rangle$  of energy  $\omega_e$ . The quantum state  $|\psi\rangle \in \mathbb{C}^2$  is a linear superposition  $|\psi\rangle = \psi_g|g\rangle + \psi_e|e\rangle$  and obey to the Schrödinger equation ( $\psi_g$  and  $\psi_e$  depend on  $t$ ).

**Schrödinger equation** for the uncontrolled 2-level system ( $\hbar = 1$ ):

$$i \frac{d}{dt} |\psi\rangle = \mathbf{H}_0 |\psi\rangle = (\omega_e |e\rangle\langle e| + \omega_g |g\rangle\langle g|) |\psi\rangle$$

where  $\mathbf{H}_0$  is the Hamiltonian, a Hermitian operator  $\mathbf{H}_0^\dagger = \mathbf{H}_0$ . Energy is defined up to a constant:  $\mathbf{H}_0$  and  $\mathbf{H}_0 + \varpi(t)\mathbf{I}$  ( $\varpi(t) \in \mathbb{R}$  arbitrary) are attached to the same physical system. If  $|\psi\rangle$  satisfies  $i \frac{d}{dt} |\psi\rangle = \mathbf{H}_0 |\psi\rangle$  then  $|\chi\rangle = e^{-i\vartheta(t)} |\psi\rangle$  with  $\frac{d}{dt} \vartheta = \varpi$  obeys to  $i \frac{d}{dt} |\chi\rangle = (\mathbf{H}_0 + \varpi \mathbf{I}) |\chi\rangle$ . Thus for any  $\vartheta$ ,  $|\psi\rangle$  and  $e^{-i\vartheta} |\psi\rangle$  represent the same physical system: The **global phase** of a quantum system  $|\psi\rangle$  can be chosen **arbitrarily at any time**.

## The controlled 2-level system

Take origin of energy such that  $\omega_g$  (resp.  $\omega_e$ ) becomes  $-\frac{\omega_e - \omega_g}{2}$  (resp.  $\frac{\omega_e - \omega_g}{2}$ ) and set  $\omega_{eg} = \omega_e - \omega_g$

The solution of  $i\frac{d}{dt}|\psi\rangle = H_0|\psi\rangle = \frac{\omega_{eg}}{2}(|e\rangle\langle e| - |g\rangle\langle g|)|\psi\rangle$  is

$$|\psi\rangle_t = \psi_{g0} e^{\frac{i\omega_{eg}t}{2}} |g\rangle + \psi_{e0} e^{\frac{-i\omega_{eg}t}{2}} |e\rangle.$$

With a classical electromagnetic field described by  $u(t) \in \mathbb{R}$ ,  
the coherent evolution the controlled Hamiltonian

$$H(t) = \frac{\omega_{eg}}{2} \sigma_z + \frac{u(t)}{2} \sigma_x = \frac{\omega_{eg}}{2} (|e\rangle\langle e| - |g\rangle\langle g|) + \frac{u(t)}{2} (|e\rangle\langle g| + |g\rangle\langle e|)$$

The controlled Schrödinger equation  $i\frac{d}{dt}|\psi\rangle = (H_0 + u(t)H_1)|\psi\rangle$  reads:

$$i\frac{d}{dt} \begin{pmatrix} \psi_e \\ \psi_g \end{pmatrix} = \frac{\omega_{eg}}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \psi_e \\ \psi_g \end{pmatrix} + \frac{u(t)}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \psi_e \\ \psi_g \end{pmatrix}.$$

### The 3 Pauli Matrices<sup>11</sup>

$$\sigma_x = |e\rangle\langle g| + |g\rangle\langle e|, \quad \sigma_y = -i|e\rangle\langle g| + i|g\rangle\langle e|, \quad \sigma_z = |e\rangle\langle e| - |g\rangle\langle g|$$

<sup>11</sup>They correspond, up to multiplication by  $i$ , to the 3 imaginary quaternions.

$$\sigma_x = |e\rangle\langle g| + |g\rangle\langle e|, \quad \sigma_y = -i|e\rangle\langle g| + i|g\rangle\langle e|, \quad \sigma_z = |e\rangle\langle e| - |g\rangle\langle g|$$
$$\sigma_x^2 = I, \quad \sigma_x\sigma_y = i\sigma_z, \quad [\sigma_x, \sigma_y] = 2i\sigma_z, \quad \text{circular permutation} \dots$$

- ▶ Since for any  $\theta \in \mathbb{R}$ ,  $e^{i\theta\sigma_x} = \cos\theta + i\sin\theta\sigma_x$  (idem for  $\sigma_y$  and  $\sigma_z$ ), the solution of  $i\frac{d}{dt}|\psi\rangle = \frac{\omega_{eg}}{2}\sigma_z|\psi\rangle$  is

$$|\psi\rangle_t = e^{\frac{-i\omega_{eg}t}{2}\sigma_z}|\psi\rangle_0 = \left( \cos\left(\frac{\omega_{eg}t}{2}\right) I - i\sin\left(\frac{\omega_{eg}t}{2}\right) \sigma_z \right) |\psi\rangle_0$$

- ▶ For  $\alpha, \beta = x, y, z$ ,  $\alpha \neq \beta$  we have

$$\sigma_\alpha e^{i\theta\sigma_\beta} = e^{-i\theta\sigma_\beta} \sigma_\alpha, \quad \left( e^{i\theta\sigma_\alpha} \right)^{-1} = \left( e^{i\theta\sigma_\alpha} \right)^\dagger = e^{-i\theta\sigma_\alpha}.$$

and also

$$e^{-\frac{i\theta}{2}\sigma_\alpha} \sigma_\beta e^{\frac{i\theta}{2}\sigma_\alpha} = e^{-i\theta\sigma_\alpha} \sigma_\beta = \sigma_\beta e^{i\theta\sigma_\alpha}$$

## Qubit model: Bloch sphere representation

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$\rho$  is a nonnegative Hermitian operator on  $\text{span}(|g\rangle, |e\rangle) \simeq \mathbb{C}^2$  such that  $\text{Tr}(\rho) = 1$

We can write any such  $\rho$  as

$$\rho = \frac{I + x\sigma_x + y\sigma_y + z\sigma_z}{2}$$

and  $\rho$  positive is equivalent to  $\text{Tr}(\rho^2) = x^2 + y^2 + z^2 \leq 1$ . We have

$$x = \text{Tr}(\sigma_x \rho), \quad y = \text{Tr}(\sigma_y \rho) \quad \text{and} \quad z = \text{Tr}(\sigma_z \rho).$$

Thus  $\rho$  can be represented by  $(x, y, z) \in \mathbb{R}^3$ , cartesian coordinates of vector  $\vec{M}$  inside the Bloch sphere ( $\text{Tr}(\rho^2) = x^2 + y^2 + z^2 \leq 1$ ):

$$\frac{d}{dt}\rho_t = -\frac{i}{2}[u\sigma_x + v\sigma_y, \rho_t] \quad \Leftrightarrow \quad \frac{d}{dt}\vec{M} = (u\vec{e}_x + v\vec{e}_y) \times \vec{M}.$$

Here  $u$  and  $v$  stand for the rotation speed around x-axis and y-axis.

## Quantum harmonic oscillator (spring system)

- ▶ Hilbert space:

$$\mathcal{H}_S = \left\{ \sum_{n \geq 0} \psi_n |n\rangle, (\psi_n)_{n \geq 0} \in \ell^2(\mathbb{C}) \right\} \equiv L^2(\mathbb{R}, \mathbb{C})$$

- ▶ Quantum state space:

$$\mathcal{D} = \{ \rho \in \mathcal{L}(\mathcal{H}_S), \rho^\dagger = \rho, \text{Tr}(\rho) = 1, \rho \geq 0 \}.$$

- ▶ Operators and commutations:

$$\mathbf{a}|n\rangle = \sqrt{n} |n-1\rangle, \mathbf{a}^\dagger |n\rangle = \sqrt{n+1} |n+1\rangle;$$

$$\mathbf{N} = \mathbf{a}^\dagger \mathbf{a}, \mathbf{N}|n\rangle = n|n\rangle;$$

$$[\mathbf{a}, \mathbf{a}^\dagger] = \mathbf{I}, \mathbf{a}f(\mathbf{N}) = f(\mathbf{N} + \mathbf{I})\mathbf{a};$$

$$\mathbf{D}_\alpha = e^{\alpha \mathbf{a}^\dagger - \alpha^\dagger \mathbf{a}}.$$

$$\mathbf{a} = \mathbf{X} + i\mathbf{P} = \frac{1}{\sqrt{2}} \left( \mathbf{X} + \frac{\partial}{\partial \mathbf{X}} \right), [\mathbf{X}, \mathbf{P}] = i\hbar/2.$$

- ▶ Hamiltonian:  $\mathbf{H}_S/\hbar = \omega_c \mathbf{a}^\dagger \mathbf{a} + \mathbf{u}_c (\mathbf{a} + \mathbf{a}^\dagger)$ .

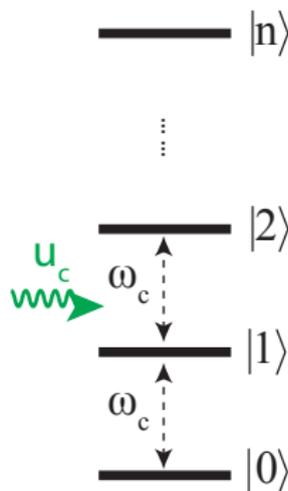
(associated classical dynamics:

$$\frac{dx}{dt} = \omega_c p, \frac{dp}{dt} = -\omega_c x - \sqrt{2}u_c).$$

- ▶ Classical pure state  $\equiv$  coherent state  $|\alpha\rangle$

$$\alpha \in \mathbb{C}: |\alpha\rangle = \sum_{n \geq 0} \left( e^{-|\alpha|^2/2} \frac{\alpha^n}{\sqrt{n!}} \right) |n\rangle; |\alpha\rangle \equiv \frac{1}{\pi^{1/4}} e^{i\sqrt{2}x\Im\alpha} e^{-\frac{(x - \sqrt{2}\Re\alpha)^2}{2}}$$

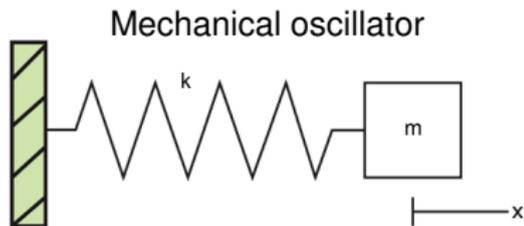
$$\mathbf{a}|\alpha\rangle = \alpha|\alpha\rangle, \mathbf{D}_\alpha|0\rangle = |\alpha\rangle.$$



## Harmonic oscillator

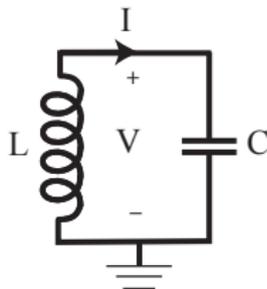
Classical Hamiltonian formulation of  $\frac{d^2}{dt^2}x = -\omega^2 x$

$$\frac{d}{dt}x = \omega p = \frac{\partial \mathbb{H}}{\partial p}, \quad \frac{d}{dt}p = -\omega x = -\frac{\partial \mathbb{H}}{\partial x}, \quad \mathbb{H} = \frac{\omega}{2}(p^2 + x^2).$$



Frictionless spring:  $\frac{d^2}{dt^2}x = -\frac{k}{m}x$ .

Electrical oscillator:



LC oscillator:

$$\frac{d}{dt}I = \frac{V}{L}, \quad \frac{d}{dt}V = -\frac{I}{C}, \quad \left(\frac{d^2}{dt^2}I = -\frac{1}{LC}I\right).$$

## Quantum regime

$k_B T \ll \hbar \omega$  : typically for the photon box experiment in these lectures,  
 $\omega = 51 \text{ GHz}$  and  $T = 0.8 \text{ K}$ .

## Harmonic oscillator<sup>12</sup>: quantization and correspondence principle

$$\frac{d}{dt}\mathbf{x} = \omega\mathbf{p} = \frac{\partial\mathbb{H}}{\partial\mathbf{p}}, \quad \frac{d}{dt}\mathbf{p} = -\omega\mathbf{x} = -\frac{\partial\mathbb{H}}{\partial\mathbf{x}}, \quad \mathbb{H} = \frac{\omega}{2}(\mathbf{p}^2 + \mathbf{x}^2).$$

**Quantization:** probability wave function  $|\psi\rangle_t \sim (\psi(x, t))_{x \in \mathbb{R}}$  with  $|\psi\rangle_t \sim \psi(\cdot, t) \in L^2(\mathbb{R}, \mathbb{C})$  obeys to the Schrödinger equation ( $\hbar = 1$  in all the lectures)

$$i\frac{d}{dt}|\psi\rangle = \mathbf{H}|\psi\rangle, \quad \mathbf{H} = \omega(\mathbf{P}^2 + \mathbf{X}^2) = -\frac{\omega}{2}\frac{\partial^2}{\partial x^2} + \frac{\omega}{2}x^2$$

where  $\mathbf{H}$  results from  $\mathbb{H}$  by replacing  $x$  by position operator  $\sqrt{2}\mathbf{X}$  and  $p$  by momentum operator  $\sqrt{2}\mathbf{P} = -i\frac{\partial}{\partial x}$ .  $\mathbf{H}$  is a Hermitian operator on  $L^2(\mathbb{R}, \mathbb{C})$ , with its domain to be given.

**PDE model:**  $i\frac{\partial\psi}{\partial t}(x, t) = -\frac{\omega}{2}\frac{\partial^2\psi}{\partial x^2}(x, t) + \frac{\omega}{2}x^2\psi(x, t), \quad x \in \mathbb{R}.$

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<sup>12</sup>Two references: C. Cohen-Tannoudji, B. Diu, and F. Laloë. *Mécanique Quantique*, volume I & II. Hermann, Paris, 1977.

M. Barnett and P. M. Radmore. *Methods in Theoretical Quantum Optics*. Oxford University Press, 2003.

## Harmonic oscillator: annihilation and creation operators

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Average position  $\langle \mathbf{X} \rangle_t = \langle \psi | \mathbf{X} | \psi \rangle$  and momentum  $\langle \mathbf{P} \rangle_t = \langle \psi | \mathbf{P} | \psi \rangle$ :

$$\langle \mathbf{X} \rangle_t = \frac{1}{\sqrt{2}} \int_{-\infty}^{+\infty} x |\psi|^2 dx, \quad \langle \mathbf{P} \rangle_t = -\frac{i}{\sqrt{2}} \int_{-\infty}^{+\infty} \psi^* \frac{\partial \psi}{\partial x} dx.$$

**Annihilation**  $\mathbf{a}$  and **creation** operators  $\mathbf{a}^\dagger$  (domains to be given):

$$\mathbf{a} = \mathbf{X} + i\mathbf{P} = \frac{1}{\sqrt{2}} \left( x + \frac{\partial}{\partial x} \right), \quad \mathbf{a}^\dagger = \mathbf{X} - i\mathbf{P} = \frac{1}{\sqrt{2}} \left( x - \frac{\partial}{\partial x} \right)$$

**Commutation relationships:**

$$[\mathbf{X}, \mathbf{P}] = \frac{i}{2}I, \quad [\mathbf{a}, \mathbf{a}^\dagger] = I, \quad \mathbf{H} = \omega(\mathbf{P}^2 + \mathbf{X}^2) = \omega \left( \mathbf{a}^\dagger \mathbf{a} + \frac{1}{2} \right).$$

Set  $\mathbf{X}_\lambda = \frac{1}{2} (e^{-i\lambda} \mathbf{a} + e^{i\lambda} \mathbf{a}^\dagger)$  for any angle  $\lambda$ :

$$\left[ \mathbf{X}_\lambda, \mathbf{X}_{\lambda + \frac{\pi}{2}} \right] = \frac{i}{2}I.$$

Spectrum of Hamiltonian  $\mathbf{H} = -\frac{\omega}{2} \frac{\partial^2}{\partial x^2} + \frac{\omega}{2} x^2$  :

$$E_n = \omega(n + \frac{1}{2}), \quad \psi_n(x) = \left(\frac{1}{\pi}\right)^{1/4} \frac{1}{\sqrt{2^n n!}} e^{-x^2/2} H_n(x), \quad H_n(x) = (-1)^n e^{x^2} \frac{d^n}{dx^n} e^{-x^2}.$$

**Spectral decomposition of  $\mathbf{a}^\dagger \mathbf{a}$  using  $[\mathbf{a}, \mathbf{a}^\dagger] = 1$ :**

- ▶ If  $|\psi\rangle$  is an eigenstate associated to eigenvalue  $\lambda$ ,  $\mathbf{a}|\psi\rangle$  and  $\mathbf{a}^\dagger|\psi\rangle$  are also eigenstates associated to  $\lambda - 1$  and  $\lambda + 1$ .
- ▶  $\mathbf{a}^\dagger \mathbf{a}$  is semi-definite positive.
- ▶ The ground state  $|\psi_0\rangle$  is necessarily associated to eigenvalue 0 and is given by the Gaussian function  $\psi_0(x) = \frac{1}{\pi^{1/4}} \exp(-x^2/2)$ .

## Harmonic oscillator: spectral decomposition and Fock states

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$[\mathbf{a}, \mathbf{a}^\dagger] = 1$ : spectrum of  $\mathbf{a}^\dagger \mathbf{a}$  is non-degenerate and is  $\mathbb{N}$ .

**Fock state** with  $n$  photons (phonons): the eigenstate of  $\mathbf{a}^\dagger \mathbf{a}$  associated to the eigenvalue  $n$  ( $|n\rangle \sim \psi_n(x)$ ):

$$\mathbf{a}^\dagger \mathbf{a}|n\rangle = n|n\rangle, \quad \mathbf{a}|n\rangle = \sqrt{n}|n-1\rangle, \quad \mathbf{a}^\dagger|n\rangle = \sqrt{n+1}|n+1\rangle.$$

The **ground state**  $|0\rangle$  is called 0-photon state or vacuum state.

The operator  $\mathbf{a}$  (resp.  $\mathbf{a}^\dagger$ ) is the annihilation (resp. creation) operator since it transfers  $|n\rangle$  to  $|n-1\rangle$  (resp.  $|n+1\rangle$ ) and thus decreases (resp. increases) the quantum number  $n$  by one unit.

**Hilbert space of quantum system:**  $\mathcal{H} = \{\sum_n c_n |n\rangle \mid (c_n) \in \ell^2(\mathbb{C})\} \sim L^2(\mathbb{R}, \mathbb{C})$ .

**Domain of  $\mathbf{a}$  and  $\mathbf{a}^\dagger$ :**  $\{\sum_n c_n |n\rangle \mid (c_n) \in \ell^1(\mathbb{C})\}$ .

**Domain of  $\mathbf{H}$  or  $\mathbf{a}^\dagger \mathbf{a}$ :**  $\{\sum_n c_n |n\rangle \mid (c_n) \in \ell^2(\mathbb{C})\}$ .

$$\ell^k(\mathbb{C}) = \{(c_n) \in \ell^2(\mathbb{C}) \mid \sum n^k |c_n|^2 < \infty\}, \quad k = 1, 2.$$

## Harmonic oscillator: displacement operator

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Quantization of  $\frac{d^2}{dt^2}x = -\omega^2x - \omega\sqrt{2}u$ , ( $\mathbb{H} = \frac{\omega}{2}(p^2 + x^2) + \sqrt{2}ux$ )

$$H = \omega \left( \mathbf{a}^\dagger \mathbf{a} + \frac{1}{2} \right) + u(\mathbf{a} + \mathbf{a}^\dagger).$$

The associated controlled PDE

$$i \frac{\partial \psi}{\partial t}(x, t) = -\frac{\omega}{2} \frac{\partial^2 \psi}{\partial x^2}(x, t) + \left( \frac{\omega}{2} x^2 + \sqrt{2}ux \right) \psi(x, t).$$

Glauber **displacement operator**  $D_\alpha$  (unitary) with  $\alpha \in \mathbb{C}$ :

$$D_\alpha = e^{\alpha \mathbf{a}^\dagger - \alpha^* \mathbf{a}} = e^{2i\Im \alpha X - 2\Re \alpha P}$$

From **Baker-Campbell Hausdorf formula**, for all operators  $\mathbf{A}$  and  $\mathbf{B}$ ,

$$e^{\mathbf{A}} \mathbf{B} e^{-\mathbf{A}} = \mathbf{B} + [\mathbf{A}, \mathbf{B}] + \frac{1}{2!} [\mathbf{A}, [\mathbf{A}, \mathbf{B}]] + \frac{1}{3!} [\mathbf{A}, [\mathbf{A}, [\mathbf{A}, \mathbf{B}]]] + \dots$$

we get the **Glauber formula**<sup>13</sup> when  $[\mathbf{A}, [\mathbf{A}, \mathbf{B}]] = [\mathbf{B}, [\mathbf{A}, \mathbf{B}]] = 0$ :

$$e^{\mathbf{A}+\mathbf{B}} = e^{\mathbf{A}} e^{\mathbf{B}} e^{-\frac{1}{2}[\mathbf{A}, \mathbf{B}]}.$$

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<sup>13</sup>Take s derivative of  $e^{s(\mathbf{A}+\mathbf{B})}$  and of  $e^{s\mathbf{A}} e^{s\mathbf{B}} e^{-\frac{s^2}{2}[\mathbf{A}, \mathbf{B}]}$ .

## Harmonic oscillator: identities resulting from Glauber formula

With  $\mathbf{A} = \alpha \mathbf{a}^\dagger$  and  $\mathbf{B} = -\alpha^* \mathbf{a}$ , Glauber formula gives:

$$\mathbf{D}_\alpha = e^{-\frac{|\alpha|^2}{2}} e^{\alpha \mathbf{a}^\dagger} e^{-\alpha^* \mathbf{a}} = e^{+\frac{|\alpha|^2}{2}} e^{-\alpha^* \mathbf{a}} e^{\alpha \mathbf{a}^\dagger}$$

$$\mathbf{D}_{-\alpha} \mathbf{a} \mathbf{D}_\alpha = \mathbf{a} + \alpha \mathbf{I} \quad \text{and} \quad \mathbf{D}_{-\alpha} \mathbf{a}^\dagger \mathbf{D}_\alpha = \mathbf{a}^\dagger + \alpha^* \mathbf{I}.$$

With  $\mathbf{A} = 2i\Im\alpha \mathbf{X} \sim i\sqrt{2}\Im\alpha x$  and  $\mathbf{B} = -2i\Re\alpha \mathbf{P} \sim -\sqrt{2}\Re\alpha \frac{\partial}{\partial x}$ , Glauber formula gives<sup>14</sup>:

$$\mathbf{D}_\alpha = e^{-i\Re\alpha\Im\alpha} e^{i\sqrt{2}\Im\alpha x} e^{-\sqrt{2}\Re\alpha \frac{\partial}{\partial x}}$$

$$(\mathbf{D}_\alpha |\psi\rangle)_{x,t} = e^{-i\Re\alpha\Im\alpha} e^{i\sqrt{2}\Im\alpha x} \psi(x - \sqrt{2}\Re\alpha, t)$$

**Exercise:** Prove that, for any  $\alpha, \beta, \epsilon \in \mathbb{C}$ , we have

$$\mathbf{D}_{\alpha+\beta} = e^{\frac{\alpha^* \beta - \alpha \beta^*}{2}} \mathbf{D}_\alpha \mathbf{D}_\beta$$

$$\mathbf{D}_{\alpha+\epsilon} \mathbf{D}_{-\alpha} = \left(1 + \frac{\alpha \epsilon^* - \alpha^* \epsilon}{2}\right) \mathbf{I} + \epsilon \mathbf{a}^\dagger - \epsilon^* \mathbf{a} + \mathbf{O}(|\epsilon|^2)$$

$$\left(\frac{d}{dt} \mathbf{D}_\alpha\right) \mathbf{D}_{-\alpha} = \left(\frac{\alpha \frac{d}{dt} \alpha^* - \alpha^* \frac{d}{dt} \alpha}{2}\right) \mathbf{I} + \left(\frac{d}{dt} \alpha\right) \mathbf{a}^\dagger - \left(\frac{d}{dt} \alpha^*\right) \mathbf{a}.$$

<sup>14</sup>Note that the operator  $e^{-r\partial/\partial x}$  corresponds to a translation of  $x$  by  $r$ .

## Harmonic oscillator: lack of controllability

Take  $|\psi\rangle$  solution of the **controlled Schrödinger equation**  
 $i\frac{d}{dt}|\psi\rangle = (\omega(\mathbf{a}^\dagger\mathbf{a} + \frac{1}{2}) + u(\mathbf{a} + \mathbf{a}^\dagger))|\psi\rangle$ . Set  $\langle\mathbf{a}\rangle = \langle\psi|\mathbf{a}|\psi\rangle$ . Then

$$\frac{d}{dt}\langle\mathbf{a}\rangle = -i\omega\langle\mathbf{a}\rangle - iu.$$

From  $\mathbf{a} = \mathbf{X} + i\mathbf{P}$ , we have  $\langle\mathbf{a}\rangle = \langle\mathbf{X}\rangle + i\langle\mathbf{P}\rangle$  where  
 $\langle\mathbf{X}\rangle = \langle\psi|\mathbf{X}|\psi\rangle \in \mathbb{R}$  and  $\langle\mathbf{P}\rangle = \langle\psi|\mathbf{P}|\psi\rangle \in \mathbb{R}$ . Consequently:

$$\frac{d}{dt}\langle\mathbf{X}\rangle = \omega\langle\mathbf{P}\rangle, \quad \frac{d}{dt}\langle\mathbf{P}\rangle = -\omega\langle\mathbf{X}\rangle - u.$$

Consider the **change of frame**  $|\psi\rangle = e^{-i\theta_t} D_{\langle\mathbf{a}\rangle_t} |\chi\rangle$  with

$$\theta_t = \int_0^t (\omega|\langle\mathbf{a}\rangle|^2 + u\Re(\langle\mathbf{a}\rangle)) dt, \quad D_{\langle\mathbf{a}\rangle_t} = e^{\langle\mathbf{a}\rangle_t\mathbf{a}^\dagger - \langle\mathbf{a}\rangle_t^*\mathbf{a}},$$

Then  $|\chi\rangle$  obeys to **autonomous Schrödinger equation**

$$i\frac{d}{dt}|\chi\rangle = \omega(\mathbf{a}^\dagger\mathbf{a} + \frac{1}{2})|\chi\rangle.$$

The dynamics of  $|\psi\rangle$  can be decomposed into two parts:

- ▶ a **controllable part of dimension two** for  $\langle\mathbf{a}\rangle$
- ▶ an uncontrollable part of infinite dimension for  $|\chi\rangle$ .

### Coherent states

$$|\alpha\rangle = \mathbf{D}_\alpha|0\rangle = e^{-\frac{|\alpha|^2}{2}} \sum_{n=0}^{+\infty} \frac{\alpha^n}{\sqrt{n!}} |n\rangle, \quad \alpha \in \mathbb{C}$$

are the states reachable from vacuum set. They are also the **eigenstate** of  $\mathbf{a}$ :  $\mathbf{a}|\alpha\rangle = \alpha|\alpha\rangle$ .

A widely known result in quantum optics<sup>15</sup>: classical currents and sources (generalizing the role played by  $u$ ) only generate classical light (**quasi-classical states** of the quantized field generalizing the coherent state introduced here)

We just propose here a control theoretic interpretation in terms of reachable set from vacuum.

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<sup>15</sup>See complement  $B_{III}$ , page 217 of C. Cohen-Tannoudji, J. Dupont-Roc, and G. Grynberg. *Photons and Atoms: Introduction to Quantum Electrodynamics*. Wiley, 1989.